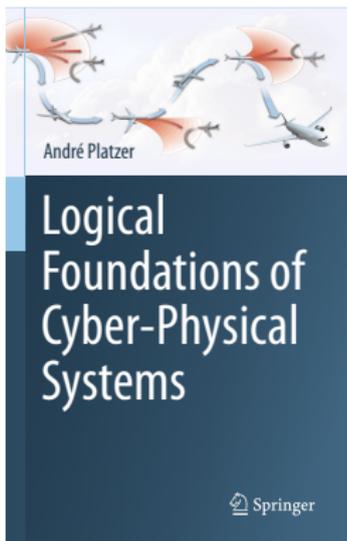


Logical Foundations of Autonomous Cyber-Physical Systems



André Platzer

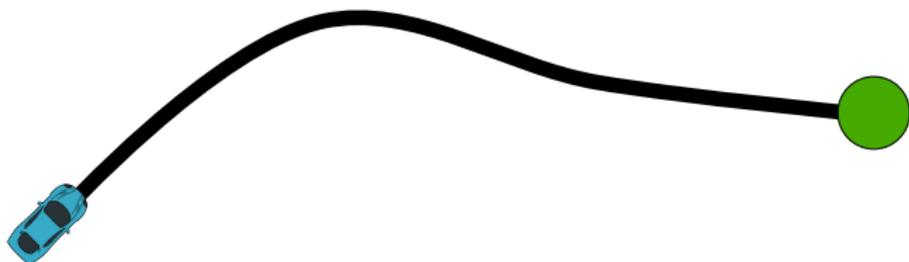




- 1 Autonomous Cyber-Physical Systems
- 2 Foundation: Differential Dynamic Logic
- 3 ModelPlex: Model Safety Transfer
- 4 VeriPhy: Executable Proof Transfer
- 5 Safe Learning in CPSs
- 6 Applications
 - Airborne Collision Avoidance System
 - Ground Robot Navigation
- 7 Summary

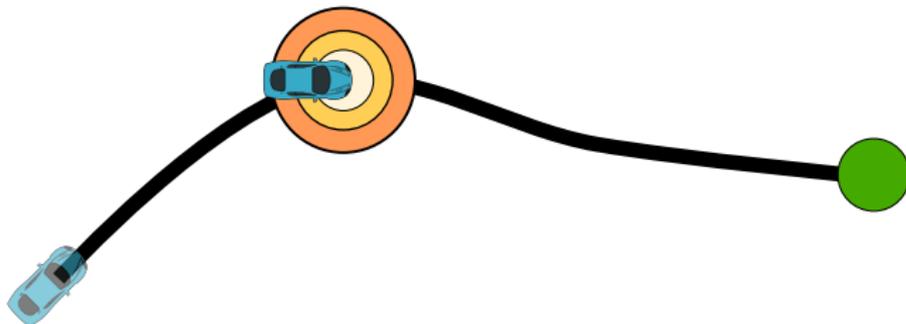


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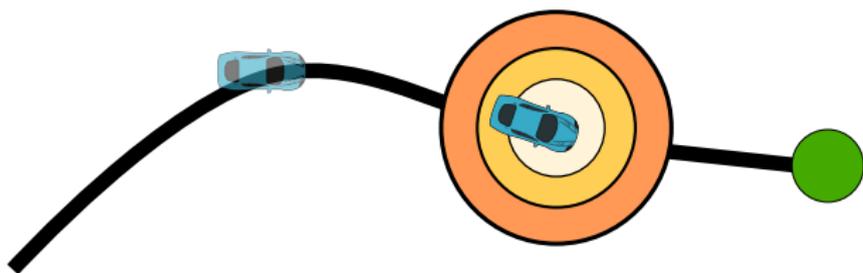
Cyber-Physical Systems

CPSs combine cyber capabilities with physical capabilities to solve problems that neither part could solve alone.



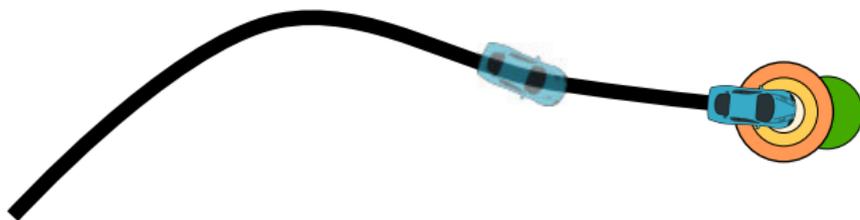
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Cyber-Physical Systems

CPSs combine cyber capabilities with physical capabilities to solve problems that neither part could solve alone.

CPS Analysis

- Simple control
- ODE model
- Strong predictions
- Nondet decisions

AI Learning

- Flexible responses
- “No” model*
- Hard to predict
- Optimal decision ($t \rightarrow \infty$)



Cyber-Physical Systems

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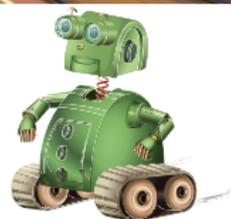
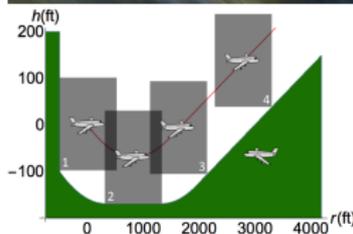
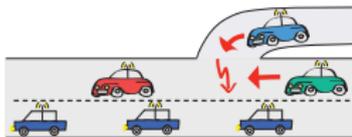
Autonomous CPSs Are on the Rise Everywhere

Prospects: Safety & Efficiency & Autonomy

Autonomous cars

Autonomous pilots

Robots near humans



Objective

Best of both worlds: safety from CPS + flexibility from AI

Autonomous CPS



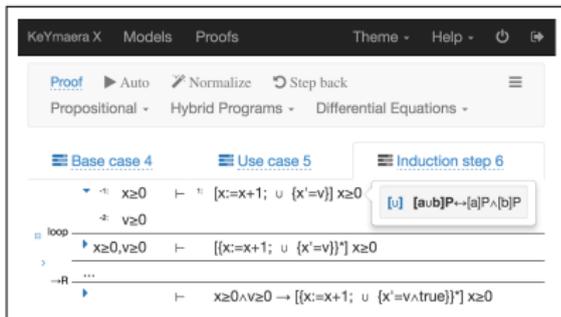
Monitor transfers safety

ModelPlex proof synthesizes

Compliance Monitor



KeYmaera X



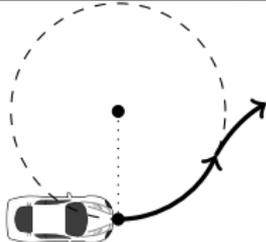
generates proofs

Proof and invariant search



Model Safety

Model



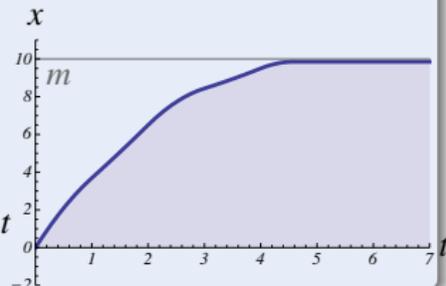
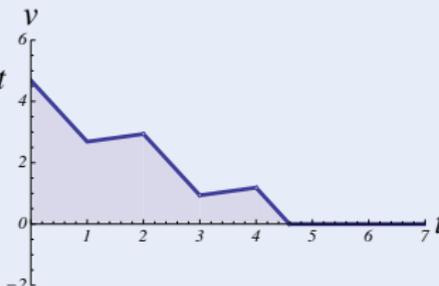
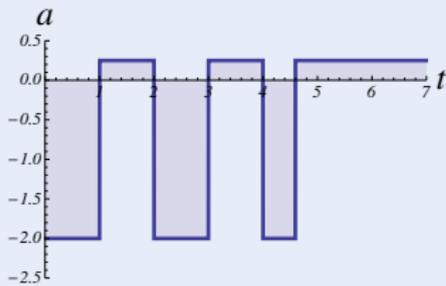
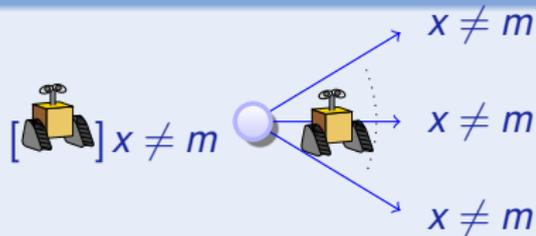
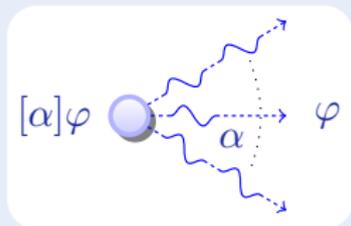
actions: $\{acc, brake\}$
motion: $x'' = a$



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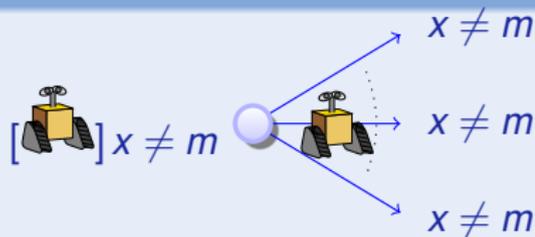
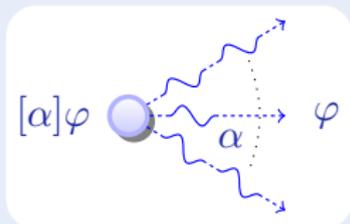
Concept (Differential Dynamic Logic)

(JAR'08, LICS'12)



Concept (Differential Dynamic Logic)

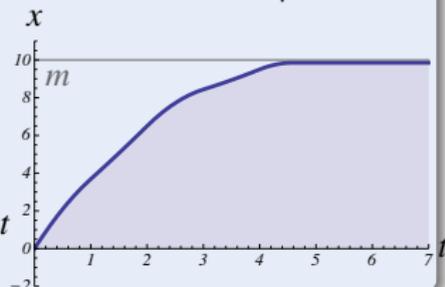
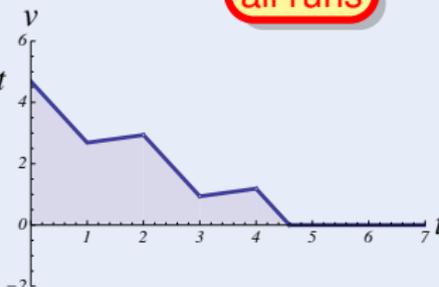
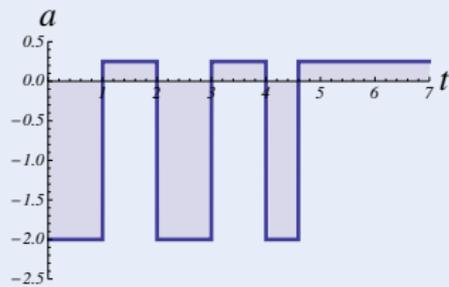
(JAR'08, LICS'12)



$$\left[\left(\text{if}(\text{SB}(x, m)) \quad a := -b \right) ; x' = v, v' = a \right]^* x \neq m$$

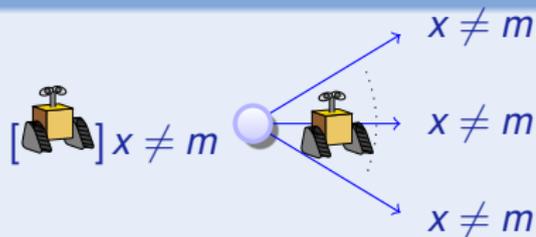
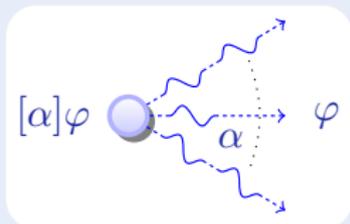
all runs

post



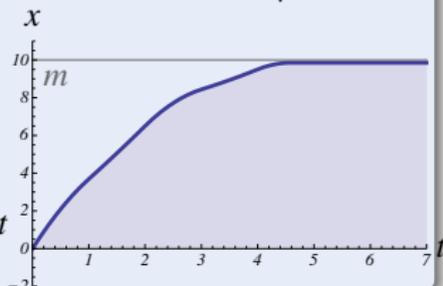
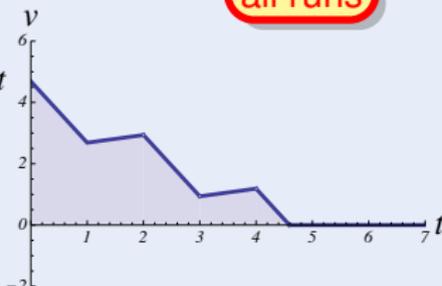
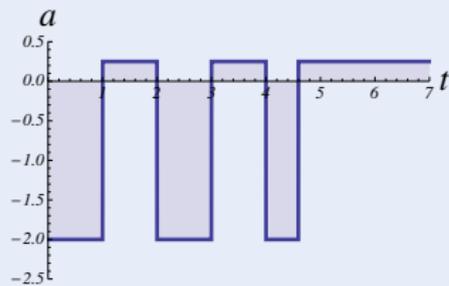
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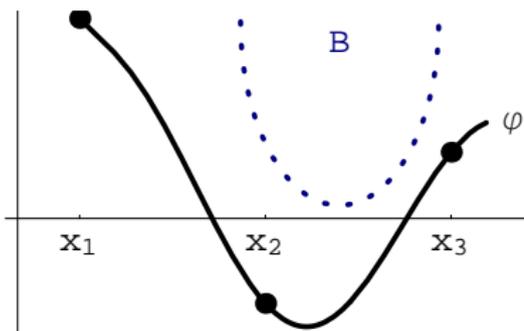
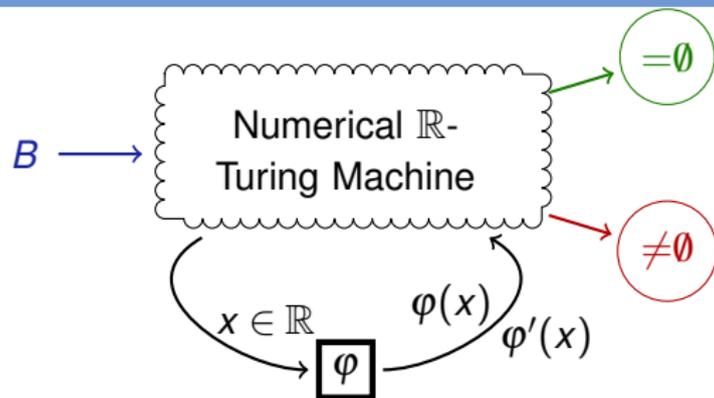


$$\underbrace{x \neq m \wedge b > 0}_{\text{init}} \rightarrow \left[\left(\text{if}(\text{SB}(x, m)) \quad a := -b \right); x' = v, v' = a \right]^* \underbrace{x \neq m}_{\text{post}}$$

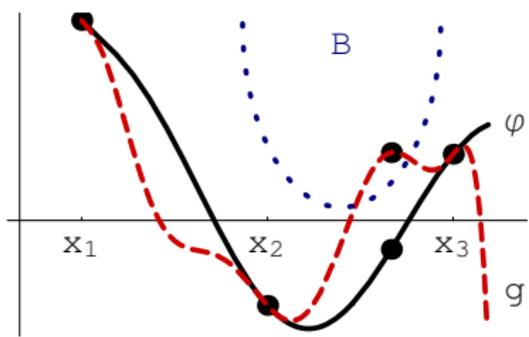
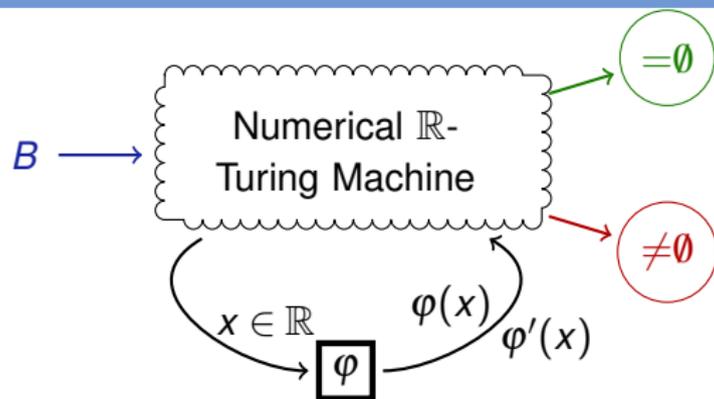
all runs



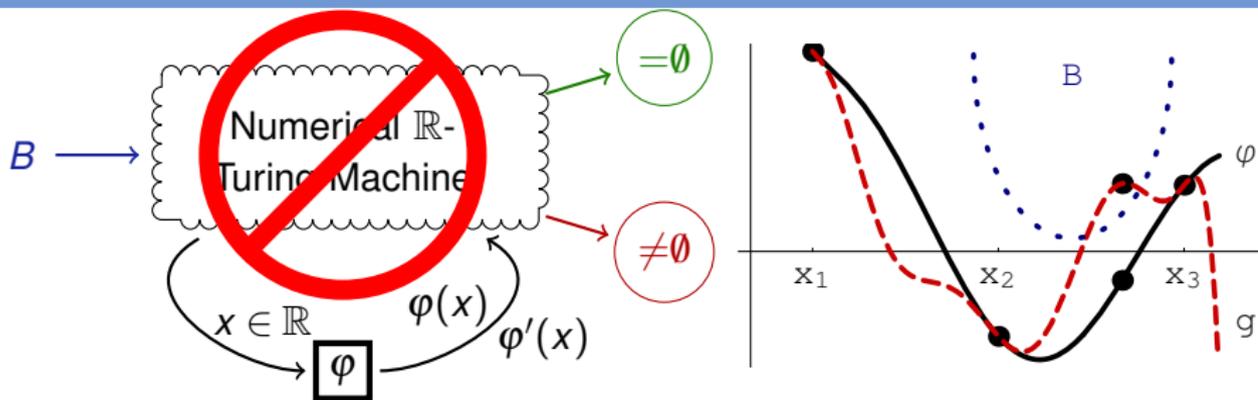
A Model Requirements



A Model Requirements



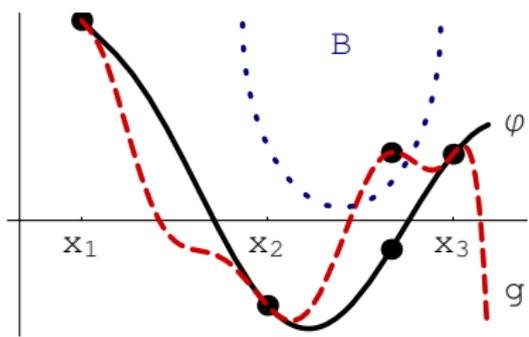
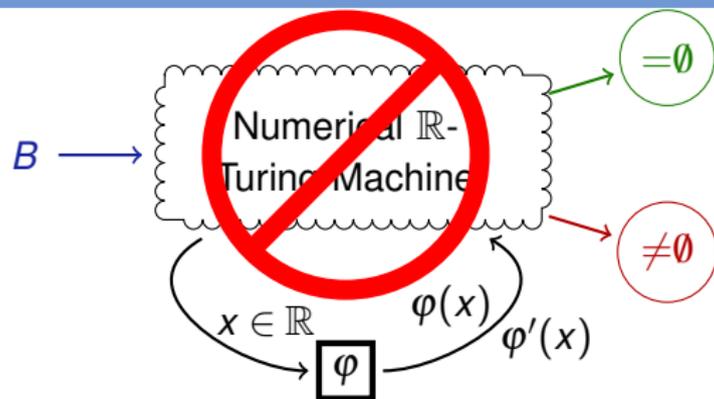
Model Requirements: Symbolic/Intensional!



Proposition (Continuous image computation undecidable)

$\varphi(D) \cap B \stackrel{?}{=} \emptyset$ is undecidable by evaluating $\varphi(x)$ for

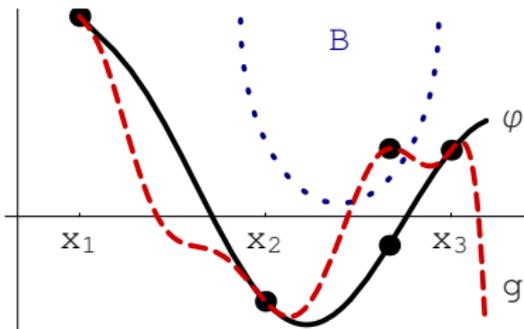
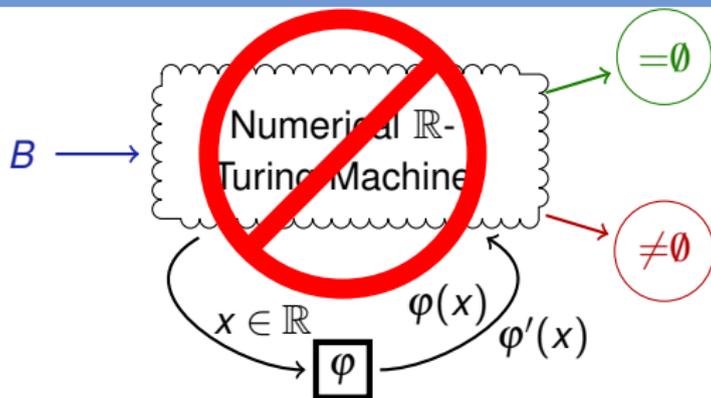
- arbitrarily effective flow $\varphi \in C^k(D \subseteq \mathbb{R}^n, \mathbb{R}^m)$ with effective D, B
- even if tolerating error $\varepsilon > 0$ in decisions



Proposition (Continuous image computation undecidable)

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- arbitrarily effective flow $\varphi \in C^k(D \subseteq \mathbb{R}^n, \mathbb{R}^m)$ with effective D, B
- even if tolerating error $\varepsilon > 0$ in decisions
- even φ smooth polynomial function with \mathbb{Q} -coefficients
- even in Blum-Shub-Smale “real Turing machines”



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The promise of “no model” is a myth



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Autonomous CPS



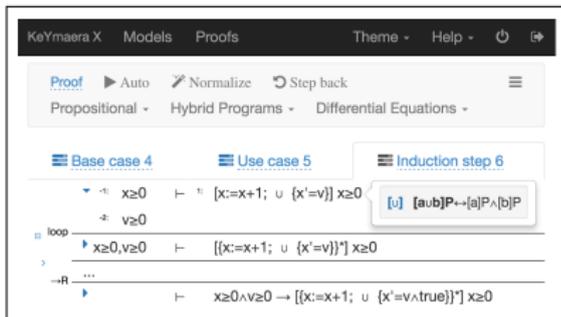
Monitor transfers safety

ModelPlex proof synthesizes

Compliance Monitor



KeYmaera X



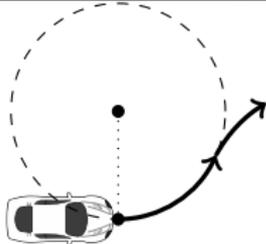
generates proofs

Proof and invariant search



Model Safety

Model

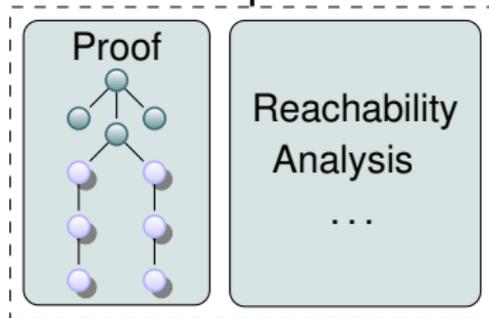


actions: $\{acc, brake\}$
motion: $x'' = a$

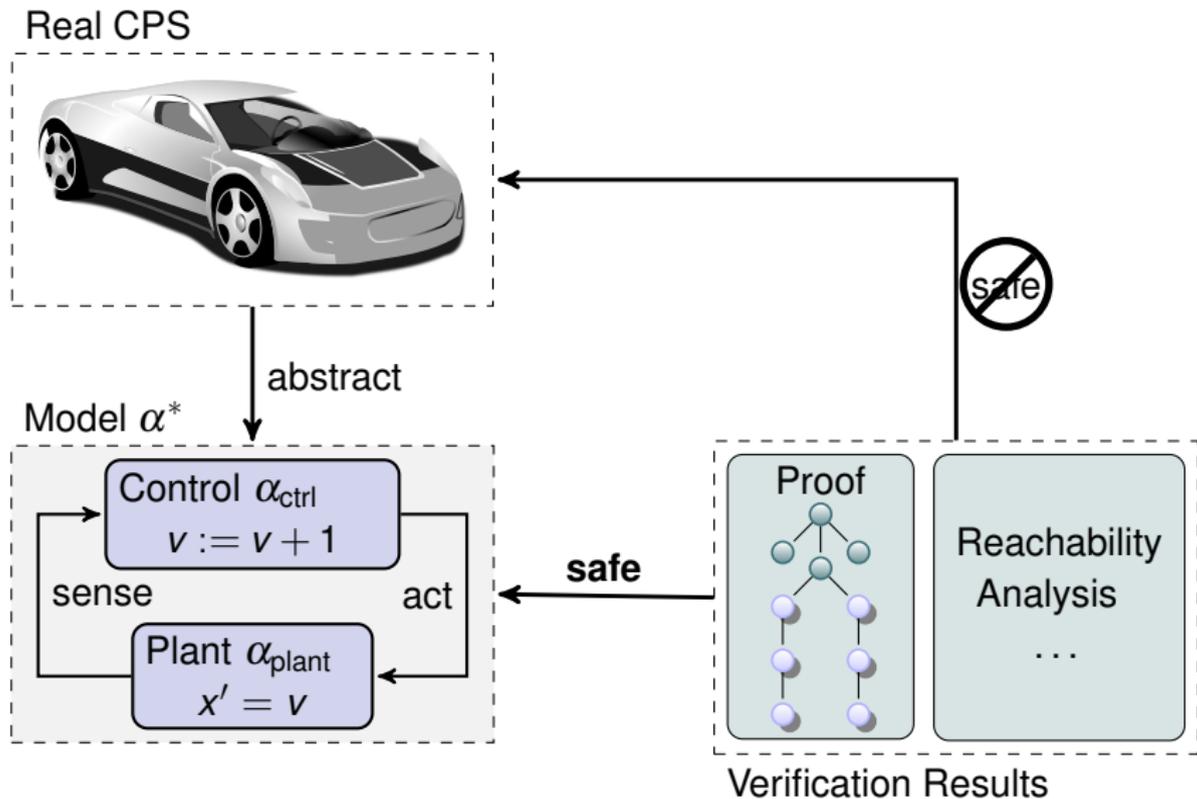
Real CPS



safe



Verification Results



Real CPS



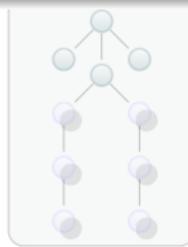
Challenge

Verification results about models
only apply if CPS fits to the model
↷ Verifiably correct runtime model validation

Model



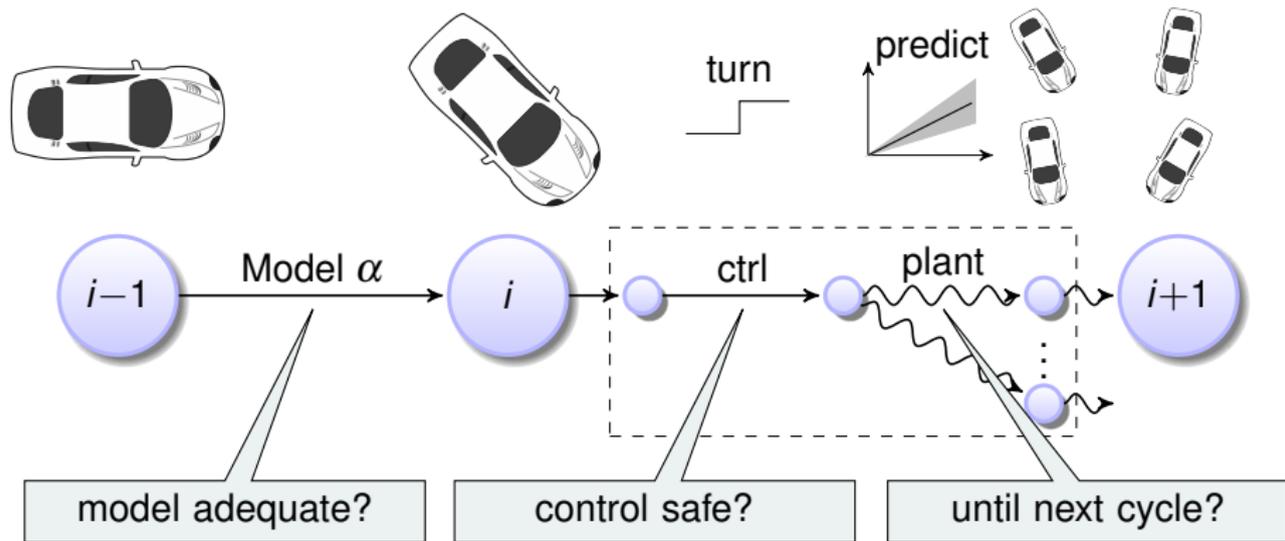
safe



Reachability
Analysis
...

Verification Results

ModelPlex **ensures that verification results** about models **apply to CPS implementations**



ModelPlex **ensures that verification results** about models
apply to CPS implementations

Insights

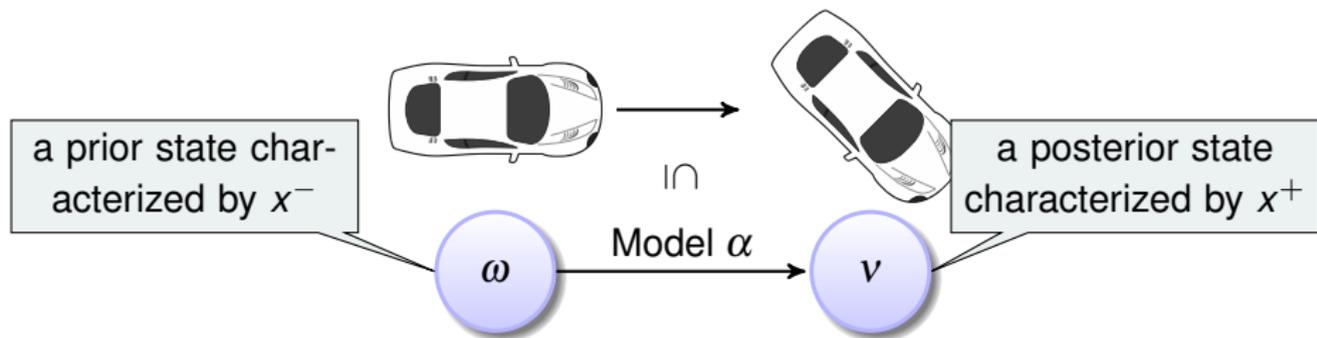
- Verification results about models transfer to the CPS when validating model compliance.
- Compliance with model is characterizable in logic dL.
- Compliance formula transformed by dL proof to monitor.
- Correct-by-construction provably correct model validation at runtime.

model adequate?

control safe?

until next cycle?

When are two states linked through a run of model α ?

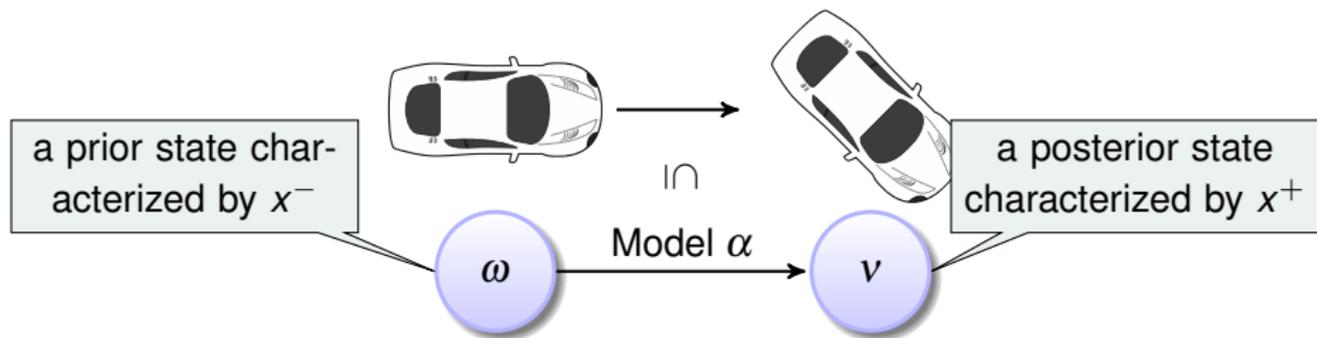


Semantical:

$$(\omega, \nu) \in \llbracket \alpha \rrbracket$$

reachability relation of α

When are two states linked through a run of model α ?



Offline

Semantical:

$$(\omega, \nu) \in \llbracket \alpha \rrbracket$$

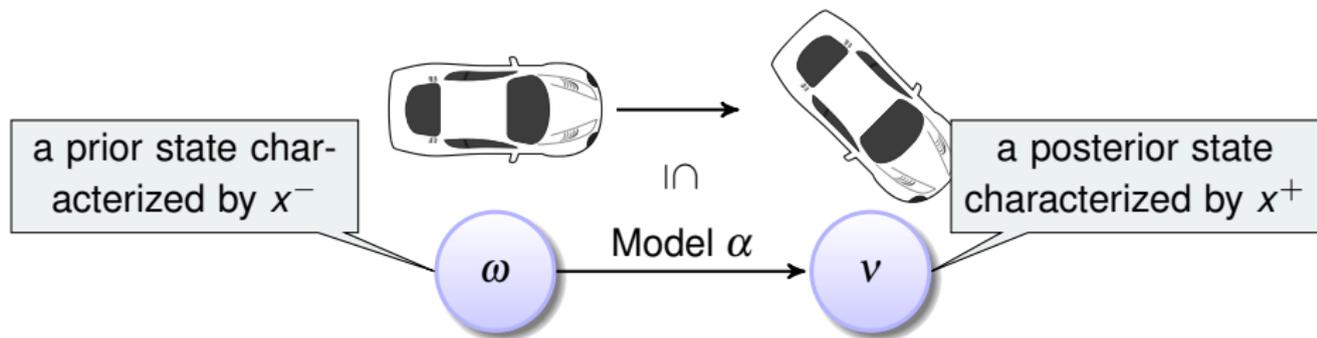
\Updownarrow Lemma

Logical dL:

$$(\omega, \nu) \models \langle \alpha \rangle (x = x^+)$$

exists a run of α to a state where $x = x^+$

When are two states linked through a run of model α ?



Offline

Semantical: $(\omega, \nu) \in \llbracket \alpha \rrbracket$

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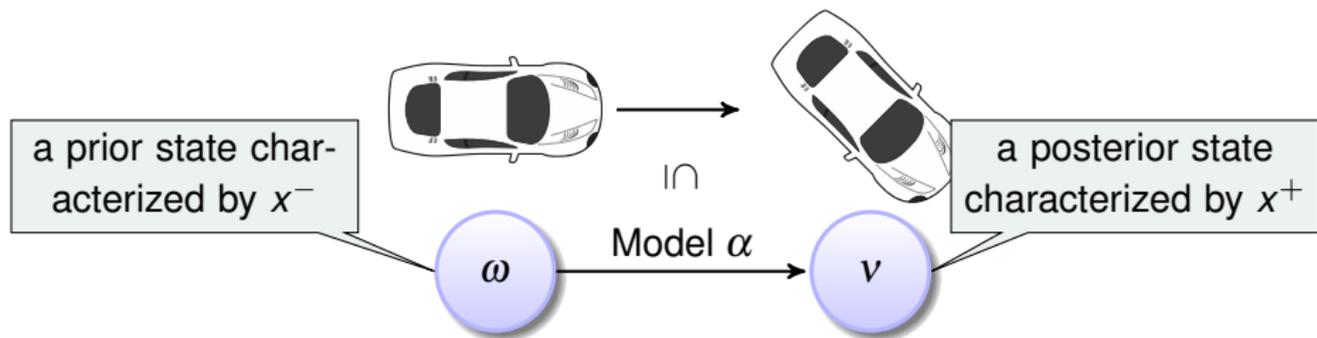
\Updownarrow dL proof

Arithmetical: $(\omega, \nu) \models F(x^-, x^+)$

exists a run of α to a state where $x = x^+$

check at runtime (efficient)

When are two states linked through a run of model α ?



Offline

Semantical: $(\omega, \nu) \in \llbracket \alpha \rrbracket$

\Downarrow Lemma

Logical dL: $(\omega, \nu) \models \langle \alpha \rangle (x = x^+)$

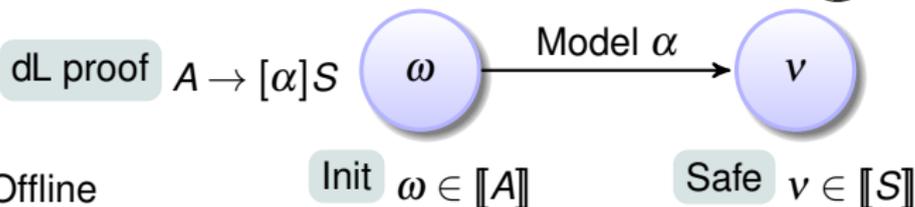
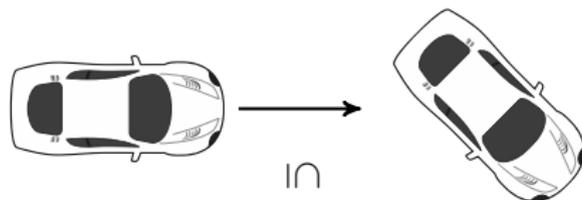
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Arithmetical: $(\omega, \nu) \models F(x^-, x^+)$

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check at runtime (efficient)

Logic reduces CPS safety to runtime monitor with offline proof



Offline

Semantical: $(\omega, v) \in \llbracket \alpha \rrbracket$

\Downarrow Lemma

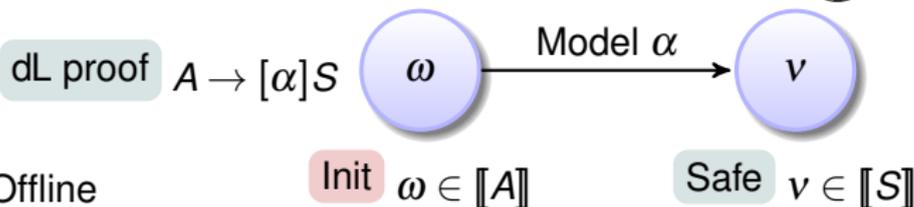
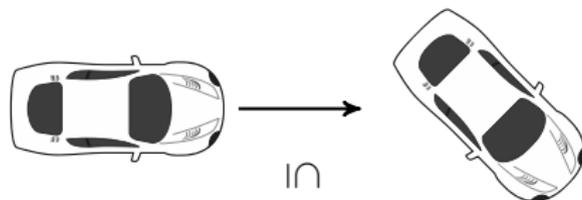
Logical dL: $(\omega, v) \models \langle \alpha \rangle (x = x^+)$

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check at runtime (efficient)

Logic reduces CPS safety to **runtime** monitor with offline proof



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check at runtime (efficient)



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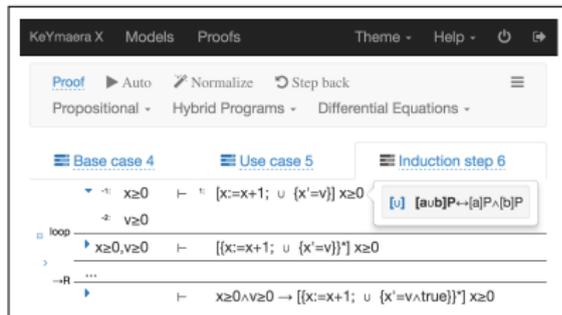
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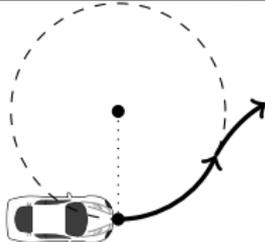
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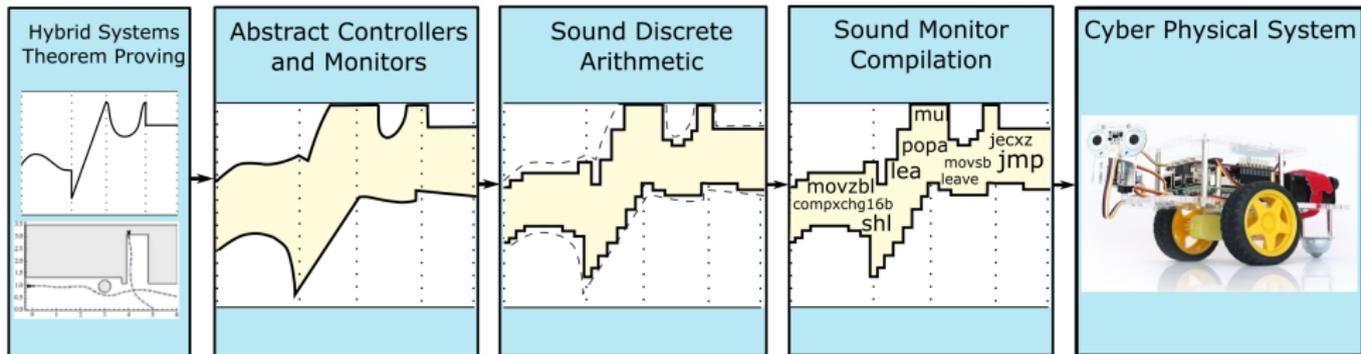


Model Safety

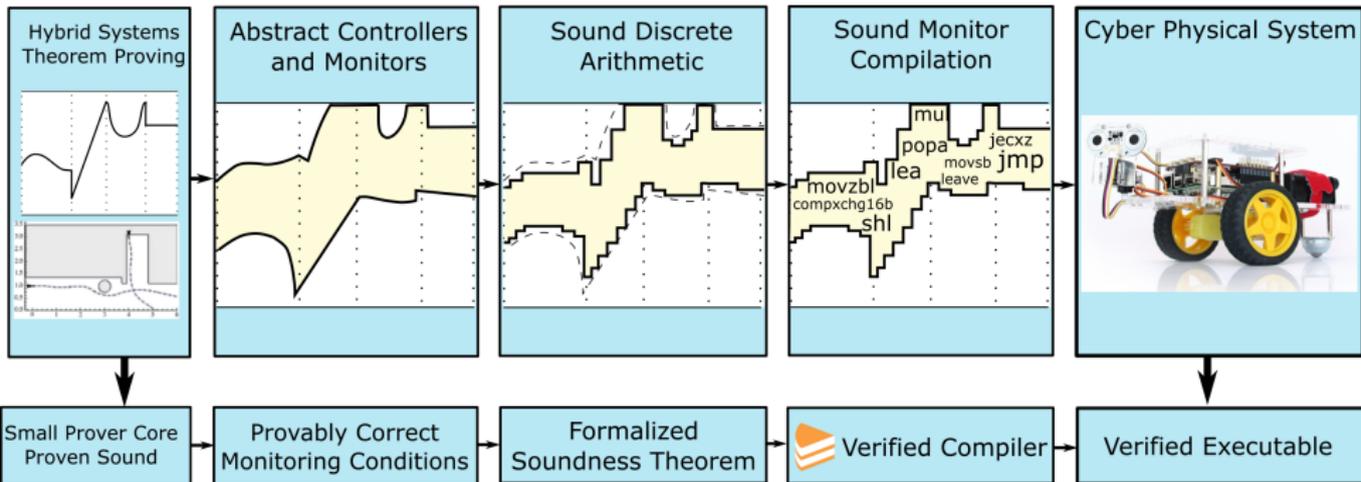
Model



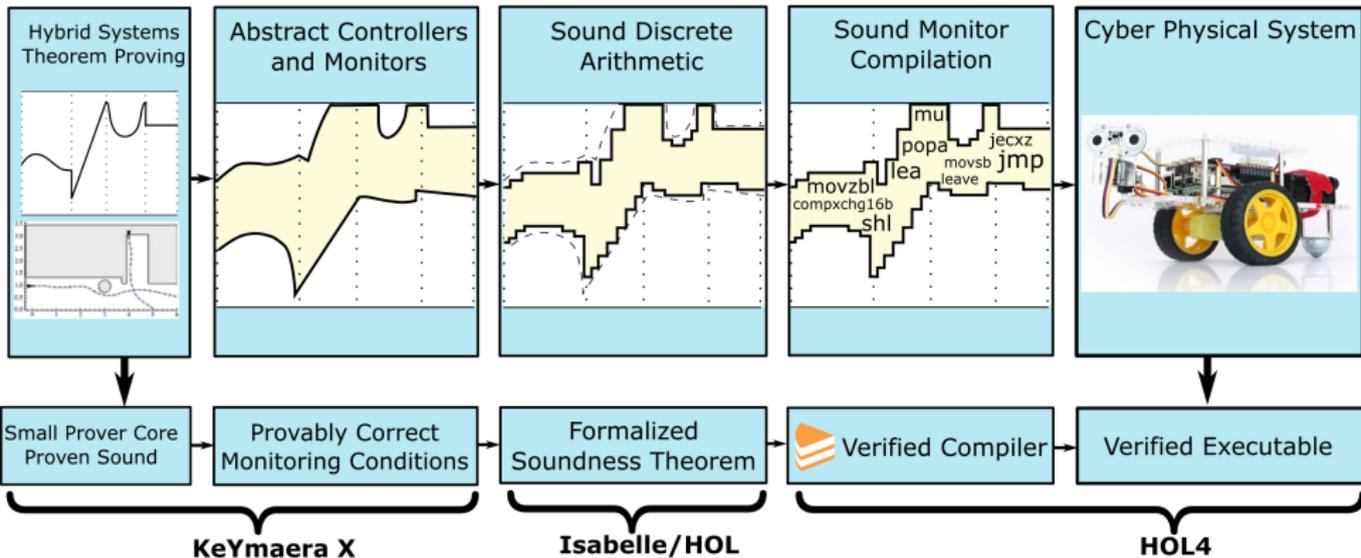
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VeriPhy: Automatic, Verified EXEs from Controllers



VeriPhy: Automatic, Verified EXEs from Controllers



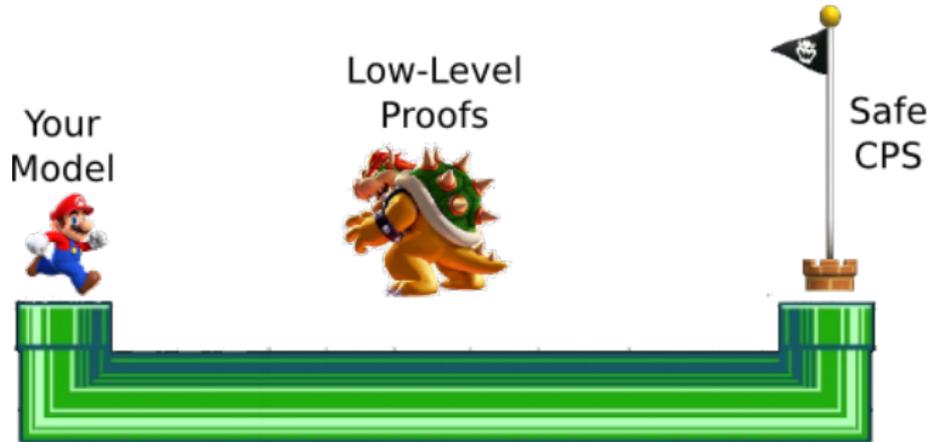
Your
Model



Low-Level
Proofs



Safe
CPS



VeriPhy Pipeline (VeriPhy.org)



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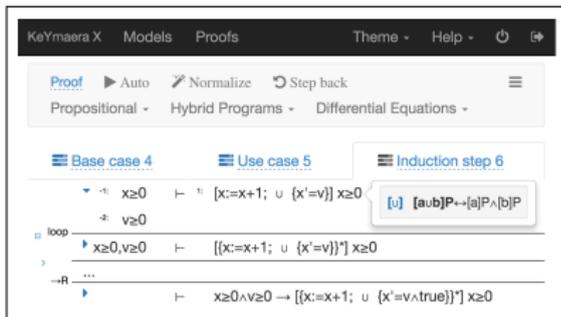
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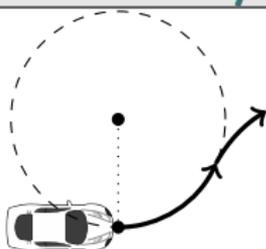
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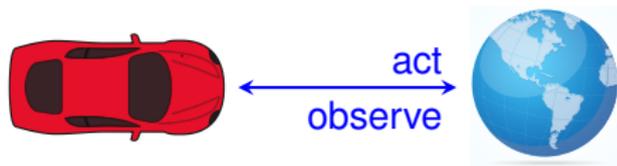


Model Safety

Model



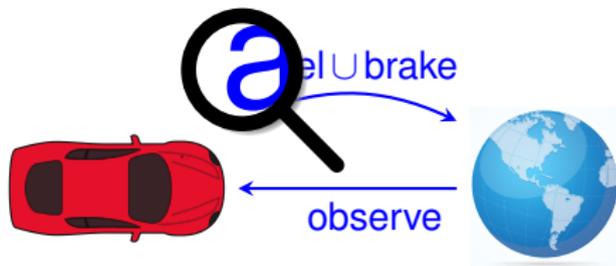
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Reinforcement Learning learns from experience of trying actions



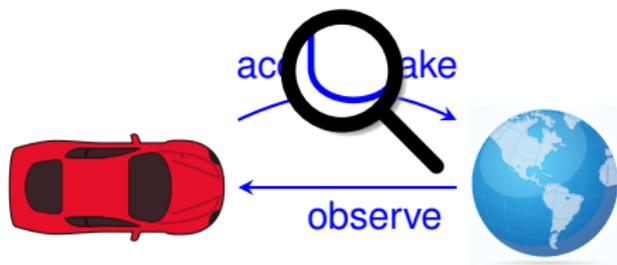
RL chooses an action, observes outcome, reinforces in policy if successful



ModelPlex monitor inspects each decision, vetoes if unsafe

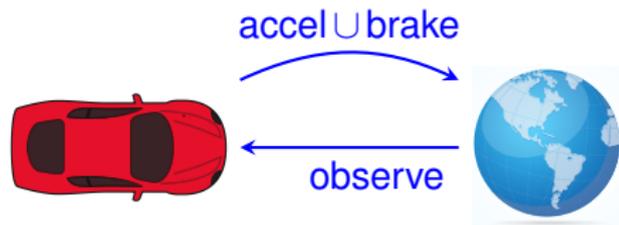


ModelPlex monitor gives early feedback about possible future problems.
No need to wait till disaster strikes and propagate back.



dL benefits from RL optimization.

RL benefits from dL safety signal.



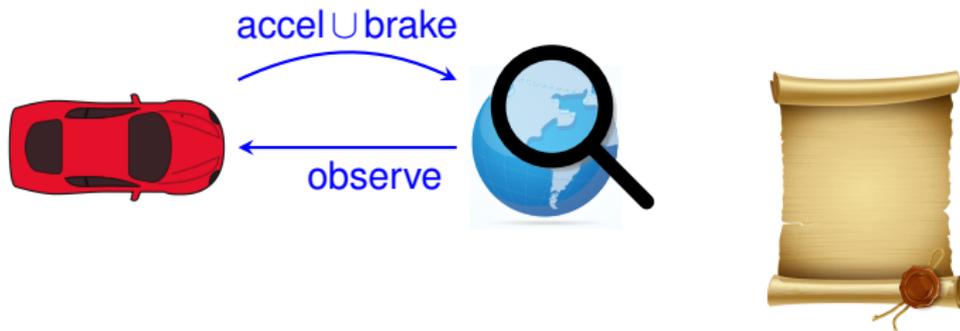
Theorem

Safe policy if ODE accurate

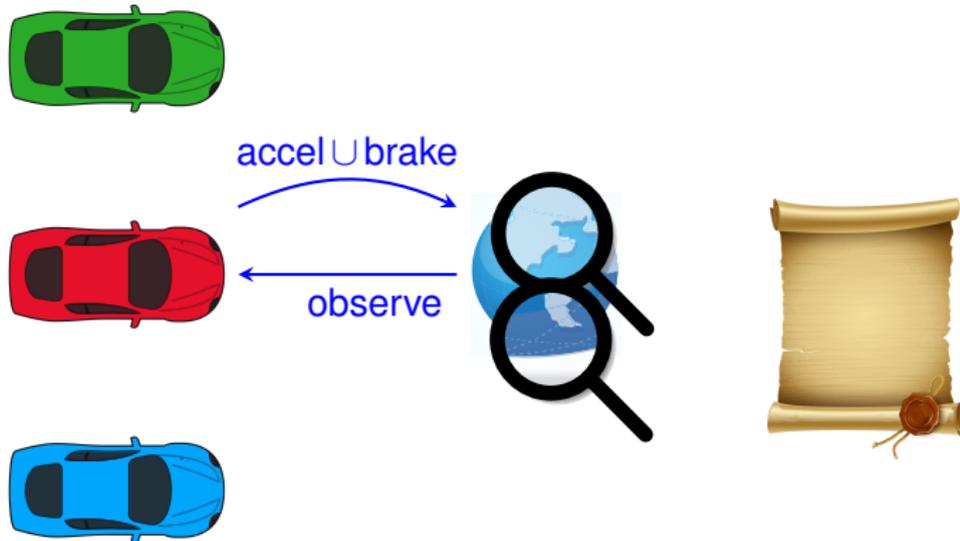
Experiment

Graceful recovery outside ODE \Leftarrow quantitative ModelPlex

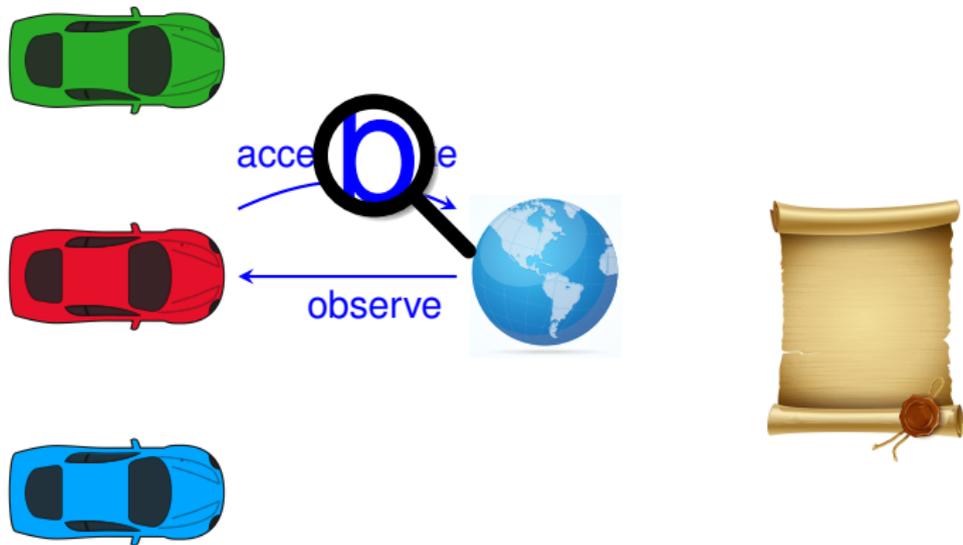
Detect modeled versus unmodeled state space \Leftarrow ModelPlex



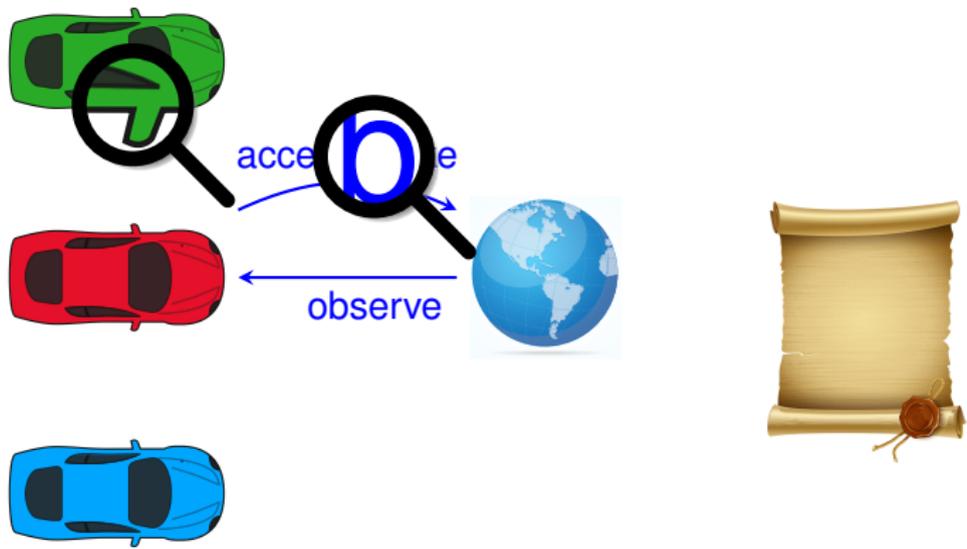
What's safe when off model?



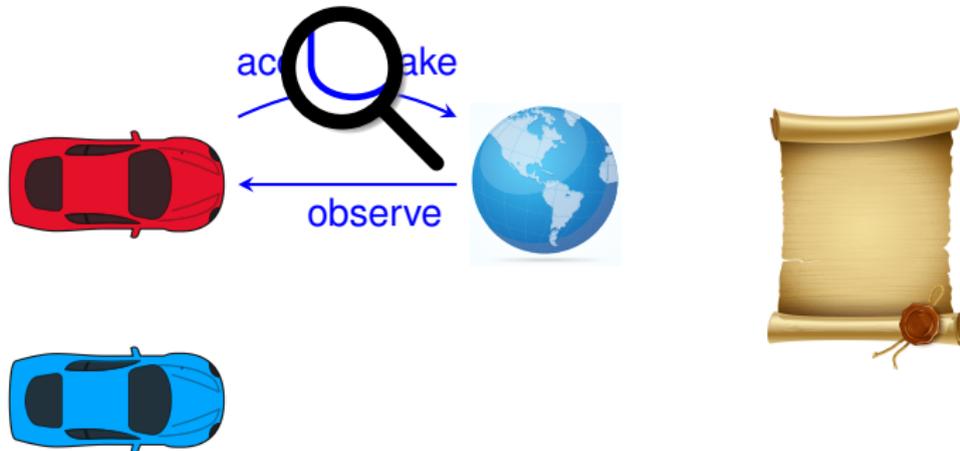
What's safe with multiple possible models?



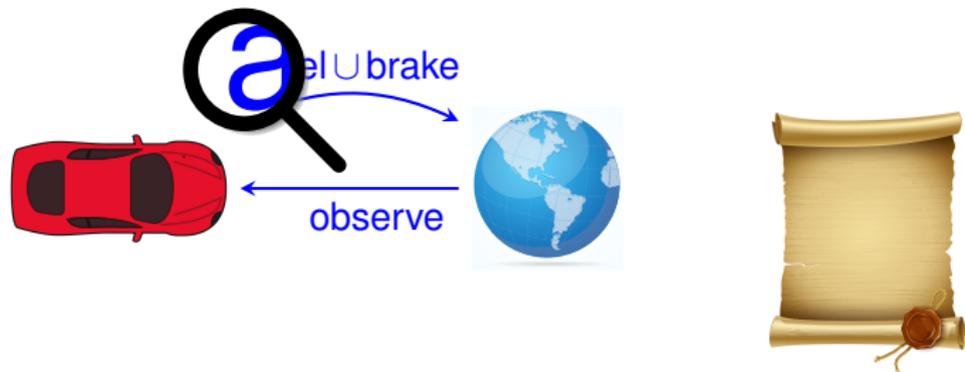
ModelPlex monitors conjunction of all plausible models



Remove incompatible models after contradictory observation



Plan differentiating experiment \leftarrow predictive monitor distinctions



Convergence

Plausible models converge to true model a.s., if possible

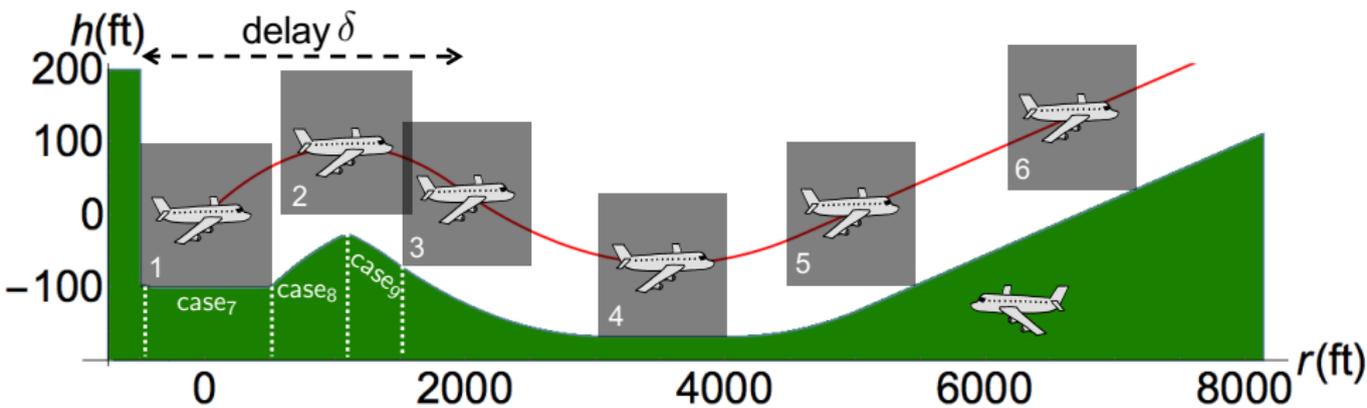


Modify model to fit observations by verification-preserving model update.
Safety proofs reified: modify model + proof tactic to preserve fit + safety



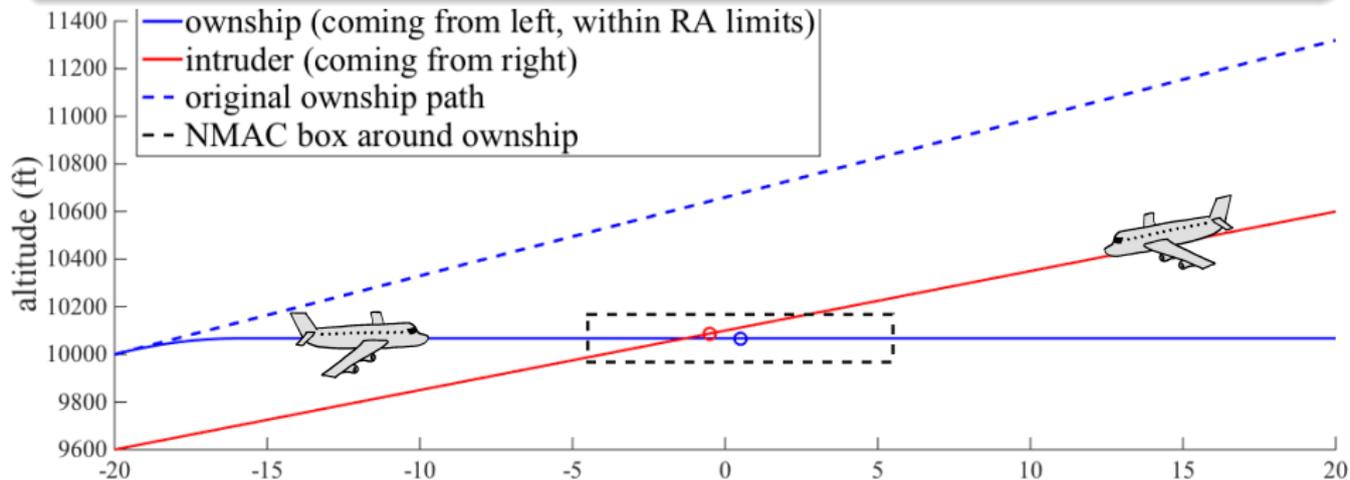
- 1 Autonomous Cyber-Physical Systems
- 2 Foundation: Differential Dynamic Logic
- 3 ModelPlex: Model Safety Transfer
- 4 VeriPhy: Executable Proof Transfer
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- 6 Applications**
 - Airborne Collision Avoidance System
 - Ground Robot Navigation
- 7 Summary

- Developed by the FAA to replace current TCAS in aircraft
- Approximately optimizes Markov Decision Process on a grid
- Advisory from lookup tables with numerous 5D interpolation regions



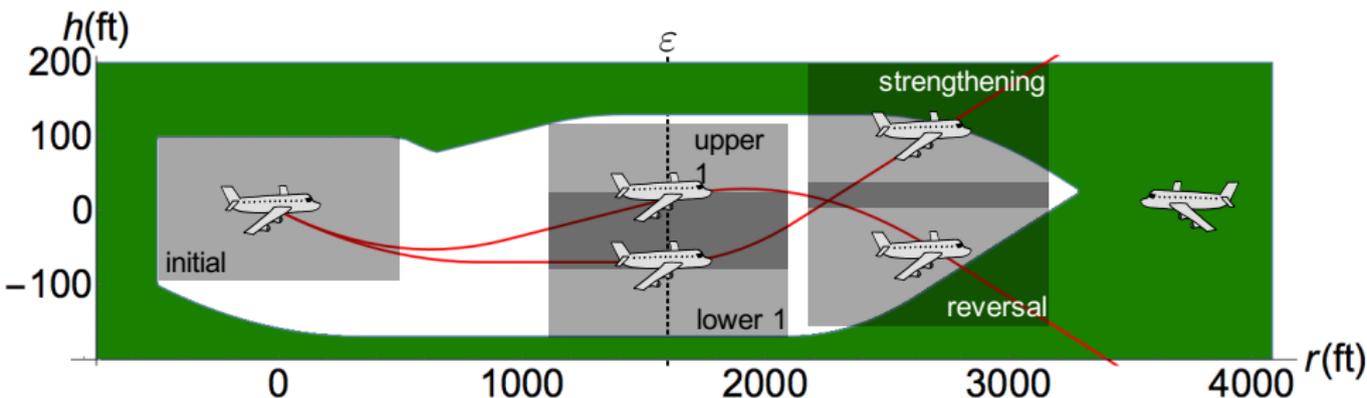
- 1 Identified safe region for each advisory symbolically
- 2 Proved safety for hybrid systems flight model in KeYmaera X

ACAS X table comparison shows safe advisory in 97.7% of the 648,591,384,375 states compared (15,160,434,734 counterexamples).



ACAS X issues DNC advisory, which induces collision unless corrected

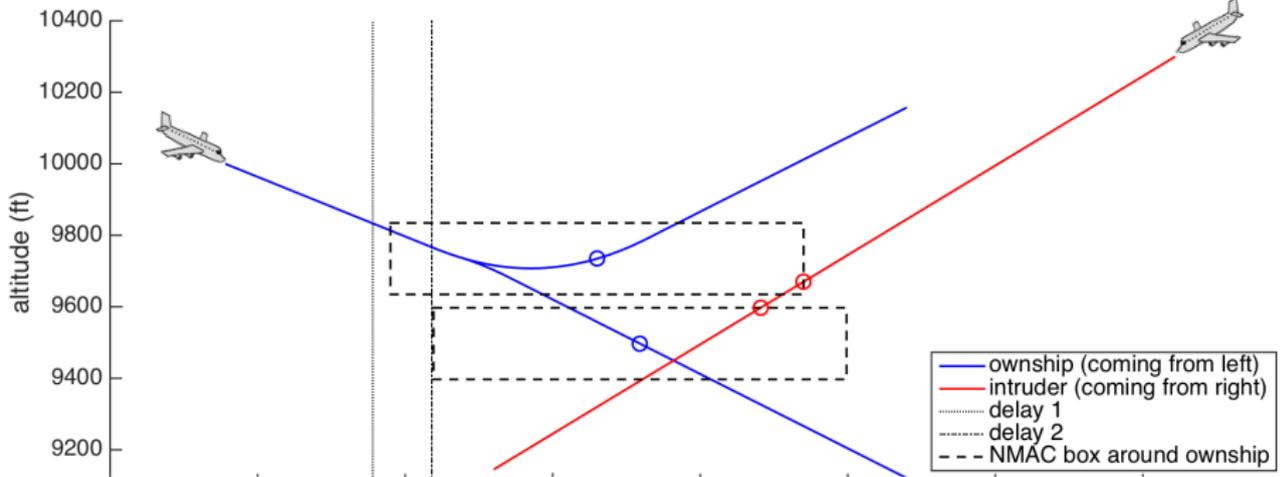
- Conservative, so too many counterexamples
- Settle for: safe for a little while, with safe future advisory possibility
- Safeable advisory: a subsequent advisory can safely avoid collision



- 1 Identified safeable region for each advisory symbolically
- 2 Proved safety for hybrid systems flight model in KeYmaera X

ACAS X table comparison shows safeable advisory in more of the 648,591,384,375 states compared ($\approx 899 \cdot 10^6$ counterexamples).

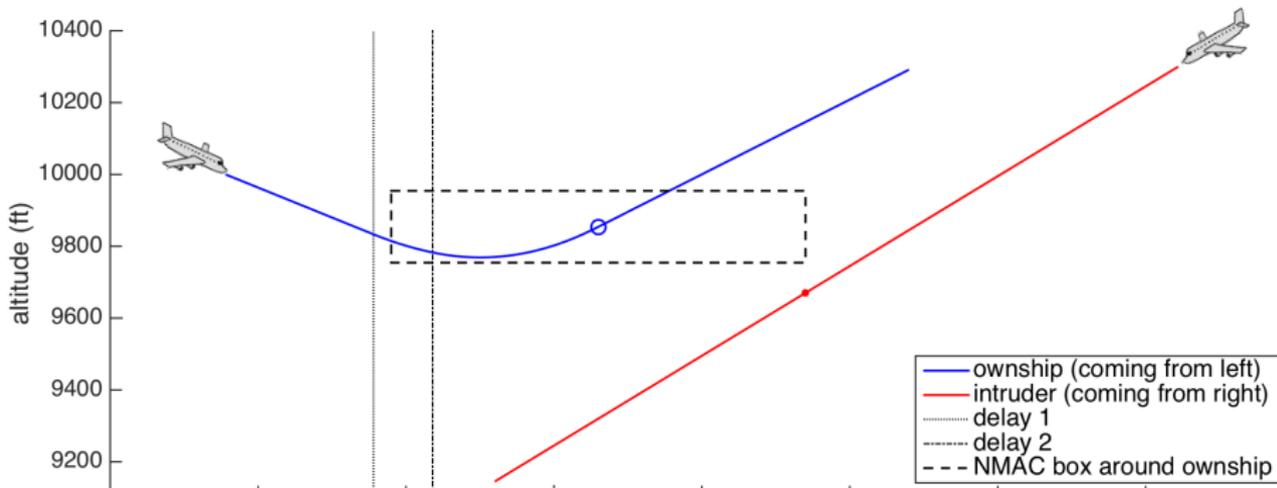
**Counterexample: Action Issued = Maintain
Followed by Most Extreme Up/Down-sense Advisory Available**



ACAS X issues Maintain advisory instead of CL1500

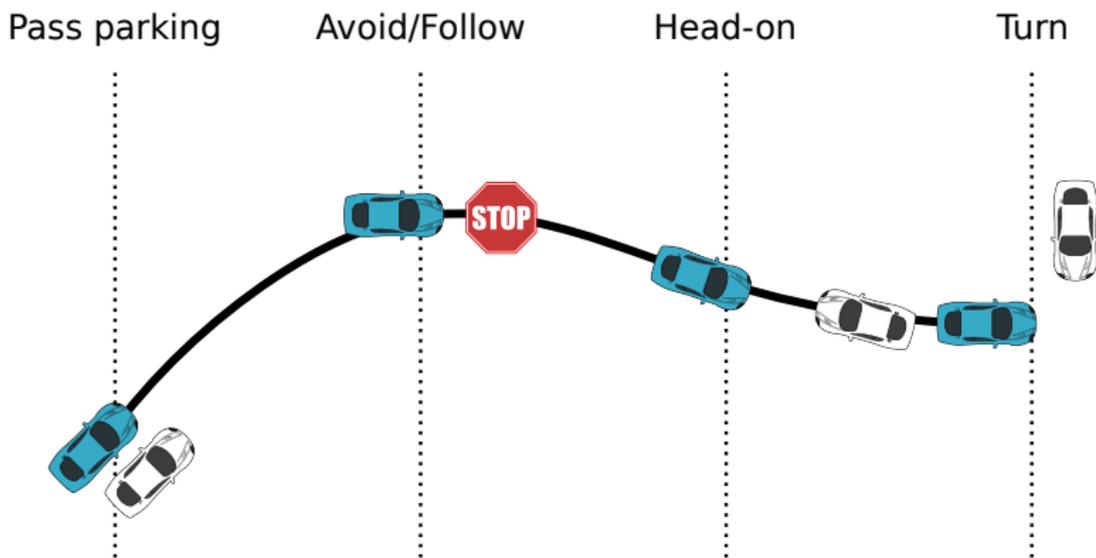
ACAS X table comparison shows safeable advisory in more of the 648,591,384,375 states compared ($\approx 899 \cdot 10^6$ counterexamples).

**Safe Version: Action Issued = CL1500
Followed by Most Extreme Up/Down-sense Available**



ACAS X issues Maintain advisory instead of CL1500

- Fundamental safety question for ground robot navigation IJRR'17
- When will which control decision avoid obstacles?
- Depends on safety objective, physical capabilities of robot + obstacle



- 1 Identified safe region for each safety notion symbolically
- 2 Proved safety for hybrid systems ground robot model in KeYmaera X

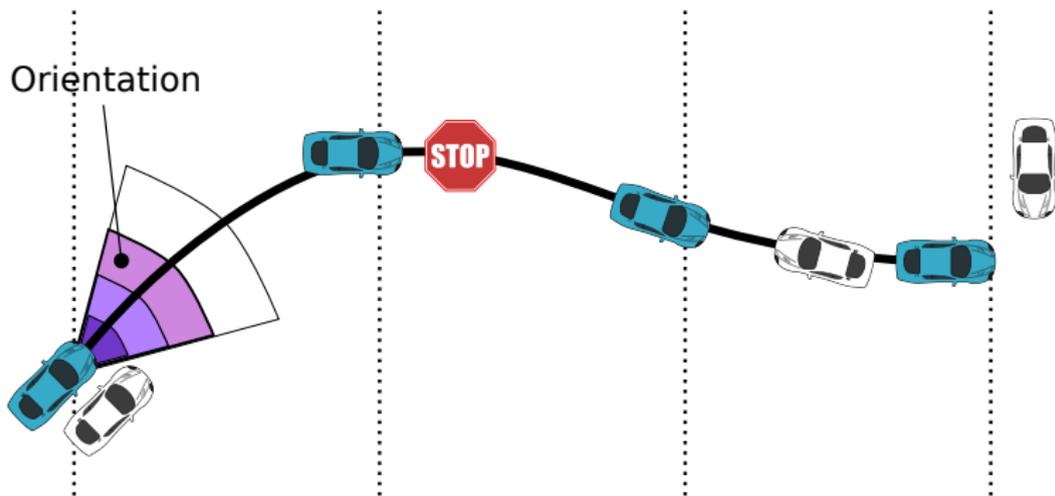
- Fundamental safety question for ground robot navigation IJRR'17
- When will which control decision avoid obstacles?
- Depends on safety objective, physical capabilities of robot + obstacle

Pass parking

Avoid/Follow

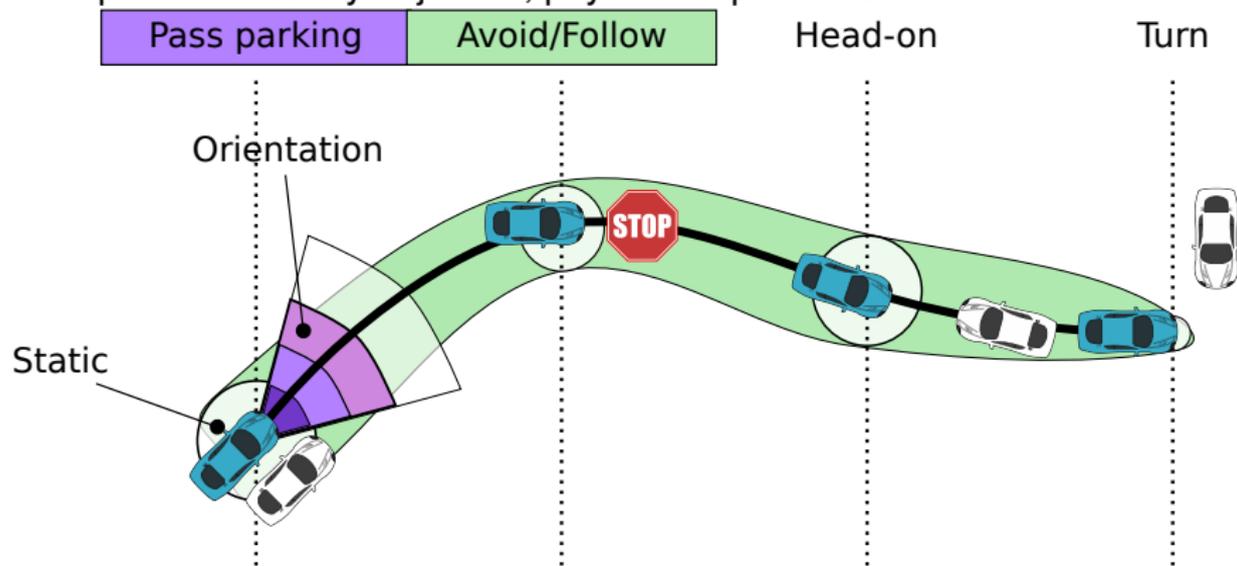
Head-on

Turn



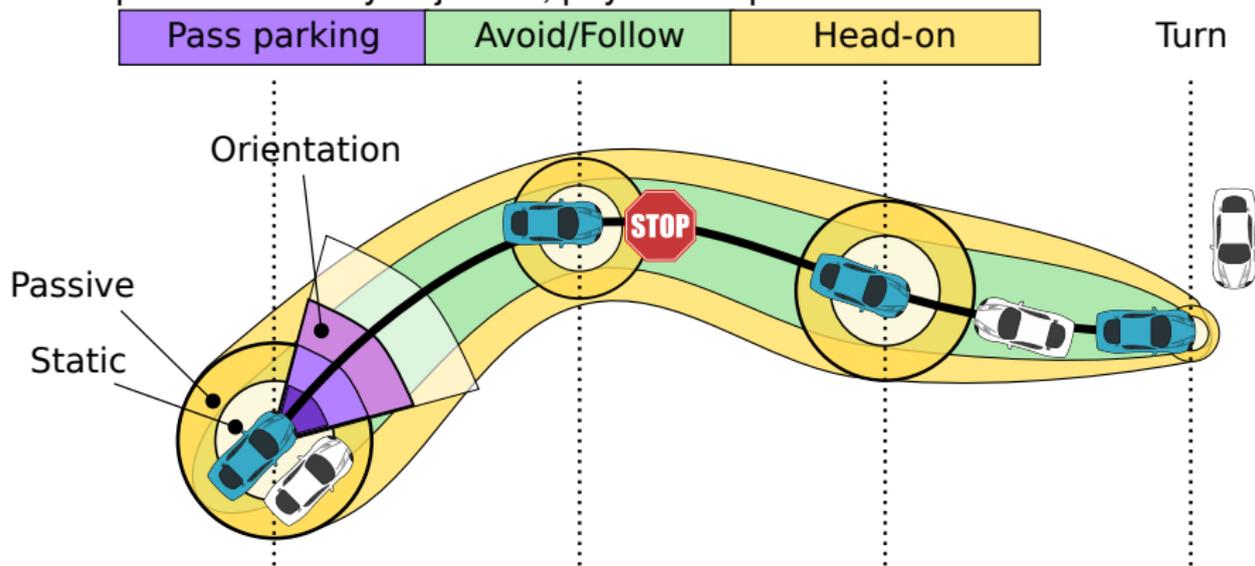
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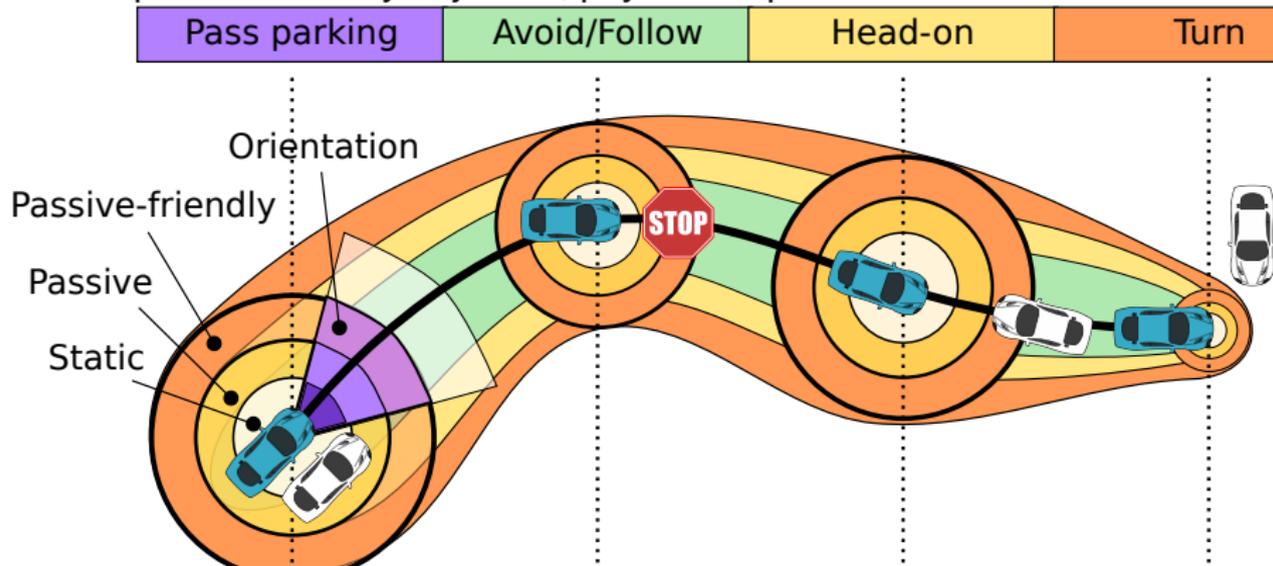
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- 1 Identified safe region for each safety notion symbolically
- 2 Proved safety for hybrid systems ground robot model in KeYmaera X

Safety ▶

Invariant + Safe Control

static
$$\|p - o\|_\infty > \frac{s^2}{2b} + \left(\frac{A}{b} + 1\right) \left(\frac{A}{2}\varepsilon^2 + \varepsilon s\right)$$

passive
$$s \neq 0 \rightarrow \|p - o\|_\infty > \frac{s^2}{2b} + V\frac{s}{b} + \left(\frac{A}{b} + 1\right) \left(\frac{A}{2}\varepsilon^2 + \varepsilon(s + V)\right)$$

+ sensor
$$\|\hat{p} - o\|_\infty > \frac{s^2}{2b} + V\frac{s}{b} + \left(\frac{A}{b} + 1\right) \left(\frac{A}{2}\varepsilon^2 + \varepsilon(s + V)\right) + \Delta_p$$

+ disturb.
$$\|p - o\|_\infty > \frac{s^2}{2b\Delta_a} + V\frac{s}{b\Delta_a} + \left(\frac{A}{b\Delta_a} + 1\right) \left(\frac{A}{2}\varepsilon^2 + \varepsilon(s + V)\right)$$

+ failure
$$\|\hat{p} - o\|_\infty > \frac{s^2}{2b} + V\frac{s}{b} + \left(\frac{A}{b} + 1\right) \left(\frac{A}{2}\varepsilon^2 + \varepsilon(v + V)\right) + \Delta_p + g\Delta$$

friendly
$$\|p - o\|_\infty > \frac{s^2}{2b} + \frac{V^2}{2b_0} + V\left(\frac{s}{b} + \tau\right) + \left(\frac{A}{b} + 1\right) \left(\frac{A}{2}\varepsilon^2 + \varepsilon(s + V)\right)$$

⋮

Safety	Invariant	Safe Control
static	$\ p - o\ _\infty > \frac{s^2}{2b}$	$+ \left(\frac{A}{b} + 1\right) \left(\frac{A}{2}\varepsilon^2 + \varepsilon s\right)$
passive	$s \neq 0 \rightarrow \ p - o\ _\infty > \frac{s^2}{2b}$	$+ V\frac{s}{b} + \left(\frac{A}{b} + 1\right) \left(\frac{A}{2}\varepsilon^2 + \varepsilon(s + V)\right)$
+ sensor		$+ \Delta_p$
+ disturb.	$\ p - o\ _\infty > \frac{s^2}{2b\Delta_a} + V\frac{s}{b\Delta_a}$	$+ \left(\frac{A}{b\Delta_a} + 1\right) \left(\frac{A}{2}\varepsilon^2 + \varepsilon(s + V)\right)$
+ failure	$\ \hat{p} - o\ _\infty > \frac{s^2}{2b} + V\frac{s}{b}$	$+ \left(\frac{A}{b} + 1\right) \left(\frac{A}{2}\varepsilon^2 + \varepsilon(v + V)\right) + \Delta_p + g\Delta$
friendly	$\ p - o\ _\infty > \frac{s^2}{2b} + \frac{V^2}{2b_0} + V\left(\frac{s}{b} + \tau\right)$	$+ \left(\frac{A}{b} + 1\right) \left(\frac{A}{2}\varepsilon^2 + \varepsilon(s + V)\right)$

Question

How to find and justify constraints? Proof!

⋮



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Logical Systems Lab at Carnegie Mellon University, Computer Science
Yong Kiam Tan, Brandon Bohrer, Nathan Fulton, Sarah Loos, Katherine Cordwell
Stefan Mitsch, Khalil Ghorbal, Jean-Baptiste Jeannin, Andrew Sogokon



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BOSCH

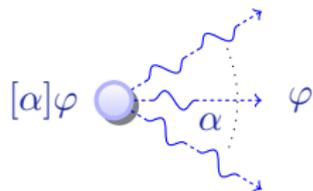
SIEMENS



JOHNS HOPKINS
APPLIED PHYSICS LABORATORY

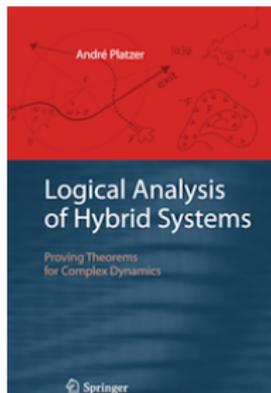
differential dynamic logic

$$dL = DL + HP$$



Logical Triumvirate of Technologies for Transitioning Trustworthiness

- | | |
|---|---------------------------------|
| 1 KeYmaera X: safe action in CPS model | 1 RL optimizes action choice |
| 2 ModelPlex: safe model \rightsquigarrow safe impl | 2 ModelPlex: safe reward for RL |
| 3 VeriPhy: sandbox \rightsquigarrow safe executable | 3 VeriPhy: CPS sandbox for RL |



KeYmaera X

KeYmaera X Models Proofs Theme Help

Proof Auto Normalize Step back

Propositional - Hybrid Programs - Differential Equations -

Base case 4 Use case 5 Induction step 6

$\vdash \neg: x \geq 0 \quad \vdash \neg: [x := x + 1; \cup \{x' = v\}] x \geq 0$

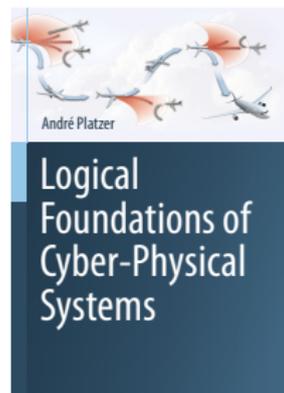
$\vdash \neg: v \geq 0$

loop $\vdash x \geq 0, v \geq 0 \quad \vdash \neg: [x := x + 1; \cup \{x' = v\}]^* x \geq 0$

$\vdash \neg: \dots$

$\vdash \neg: x \geq 0 \wedge v \geq 0 \rightarrow \vdash \neg: [x := x + 1; \cup \{x' = v \wedge \text{true}\}]^* x \geq 0$

$[v] \text{ [a} \cup \text{b]} P \leftrightarrow \neg [\text{a}] P \wedge \neg [\text{b}] P$



I Part: Elementary Cyber-Physical Systems

2. Differential Equations & Domains
3. Choice & Control
4. Safety & Contracts
5. Dynamical Systems & Dynamic Axioms
6. Truth & Proof
7. Control Loops & Invariants
8. Events & Responses
9. Reactions & Delays

II Part: Differential Equations Analysis

10. Differential Equations & Differential Invariants
11. Differential Equations & Proofs
12. Ghosts & Differential Ghosts
13. Differential Invariants & Proof Theory

III Part: Adversarial Cyber-Physical Systems

- 14-17. Hybrid Systems & Hybrid Games

IV Part: Comprehensive CPS Correctness



Logical Foundations of Cyber-Physical Systems



Logical Foundations of Cyber-Physical Systems

Springer



Logical Analysis of Hybrid Systems

Proving Theorems for Complex Dynamics

Springer

Definition (Hybrid program α)

$$x := f(x) \mid ?Q \mid x' = f(x) \ \& \ Q \mid \alpha \cup \beta \mid \alpha; \beta \mid \alpha^*$$

Definition (dL Formula P)

$$e \geq \tilde{e} \mid \neg P \mid P \wedge Q \mid \forall x P \mid \exists x P \mid [\alpha]P \mid \langle \alpha \rangle P$$

Discrete
Assign

Test
Condition

Differential
Equation

Nondet.
Choice

Seq.
Compose

Nondet.
Repeat

Definition (Hybrid program α)

$x := f(x) \mid ?Q \mid x' = f(x) \& Q \mid \alpha \cup \beta \mid \alpha; \beta \mid \alpha^*$

Definition (dL Formula P)

$e \geq \tilde{e} \mid \neg P \mid P \wedge Q \mid \forall x P \mid \exists x P \mid [\alpha]P \mid \langle \alpha \rangle P$

All
Reals

Some
Reals

All
Runs

Some
Runs

Definition (Hybrid program semantics)

 $([\cdot] : \text{HP} \rightarrow \wp(\mathcal{S} \times \mathcal{S}))$

$$[x := e] = \{(\omega, \nu) : \nu = \omega \text{ except } \nu[x] = \omega[e]\}$$

$$[?Q] = \{(\omega, \omega) : \omega \in [Q]\}$$

$$[x' = f(x)] = \{(\varphi(0), \varphi(r)) : \varphi \models x' = f(x) \text{ for some duration } r\}$$

$$[\alpha \cup \beta] = [\alpha] \cup [\beta]$$

$$[\alpha; \beta] = [\alpha] \circ [\beta]$$

$$[\alpha^*] = [\alpha]^* = \bigcup_{n \in \mathbb{N}} [\alpha^n]$$

compositional semantics

Definition (dL semantics)

 $([\cdot] : \text{Fml} \rightarrow \wp(\mathcal{S}))$

$$[e \geq \tilde{e}] = \{\omega : \omega[e] \geq \omega[\tilde{e}]\}$$

$$[\neg P] = [P]^c$$

$$[P \wedge Q] = [P] \cap [Q]$$

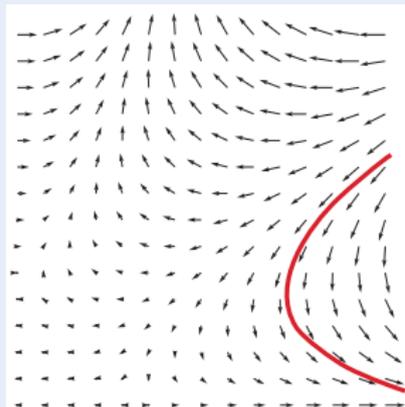
$$[\langle \alpha \rangle P] = [\alpha] \circ [P] = \{\omega : \nu \in [P] \text{ for some } \nu : (\omega, \nu) \in [\alpha]\}$$

$$[[\alpha]P] = [\neg \langle \alpha \rangle \neg P] = \{\omega : \nu \in [P] \text{ for all } \nu : (\omega, \nu) \in [\alpha]\}$$

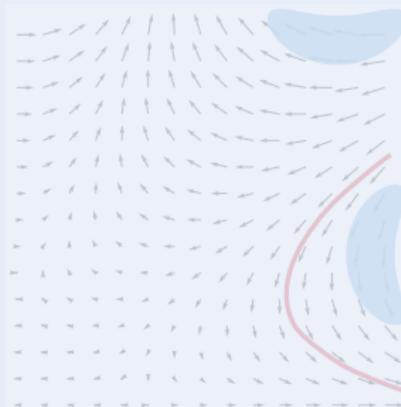
$$[\exists x P] = \{\omega : \omega_x^r \in [P] \text{ for some } r \in \mathbb{R}\}$$

A Differential Invariants for Differential Equations

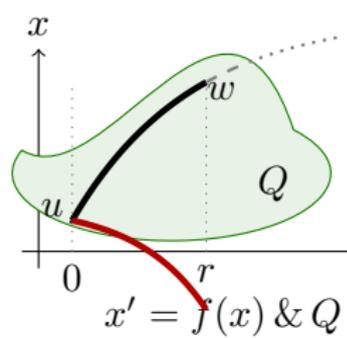
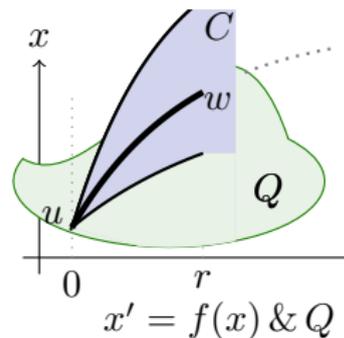
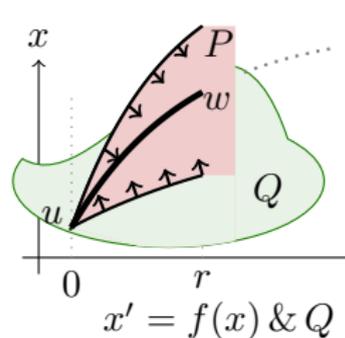
Differential Invariant



Differential Cut

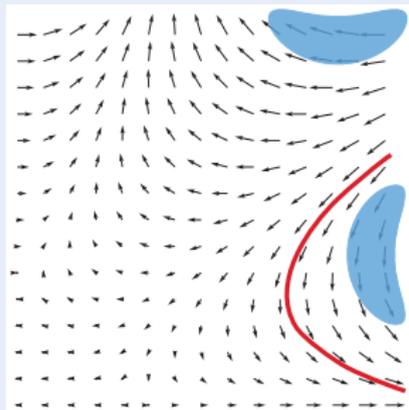


Differential Ghost

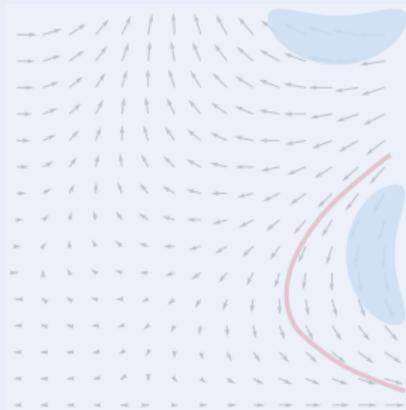


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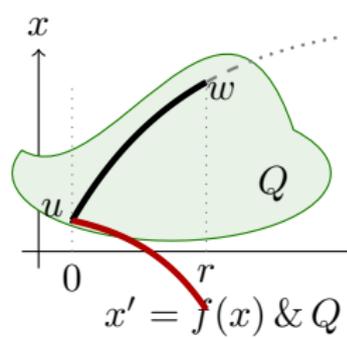
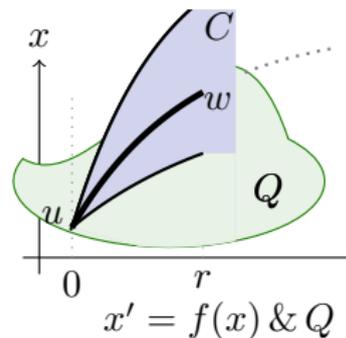
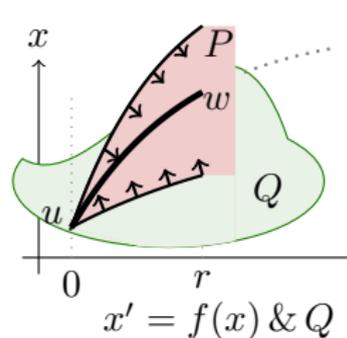
Differential Invariant



Differential Cut

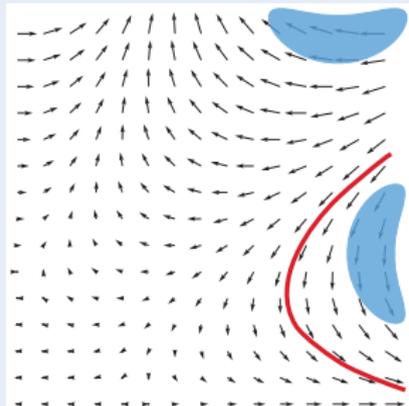


Differential Ghost

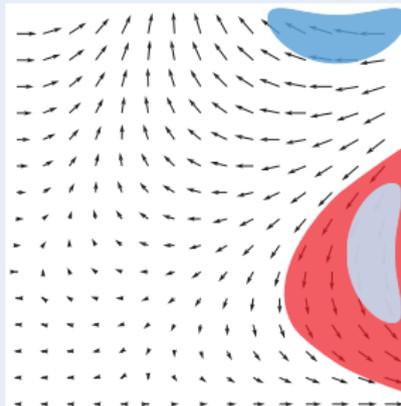


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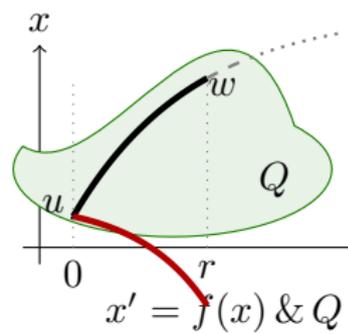
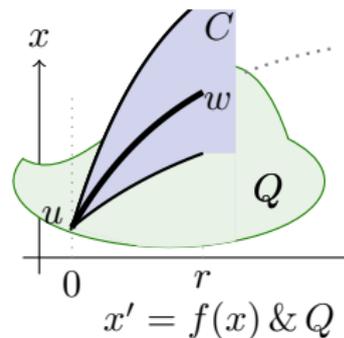
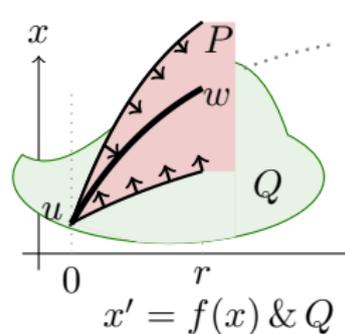
Differential Invariant



Differential Cut

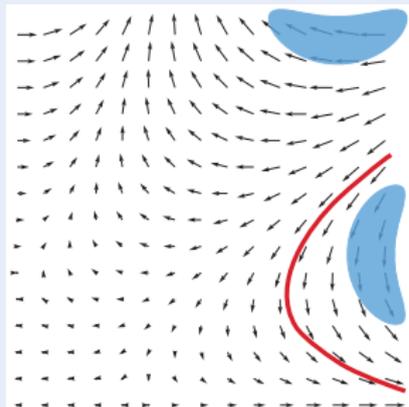


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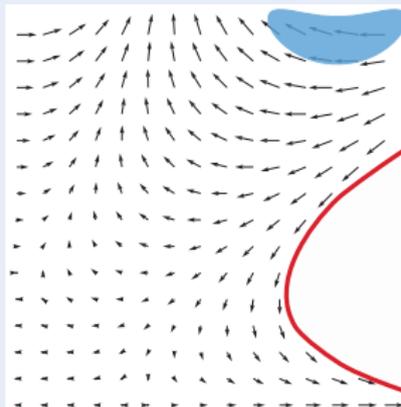


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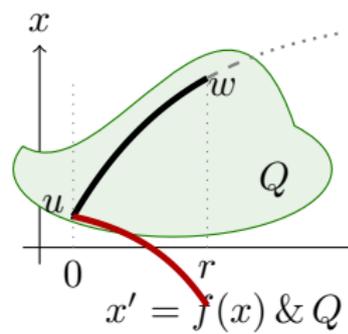
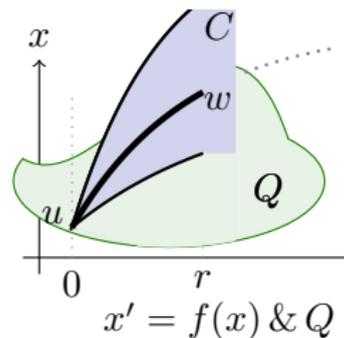
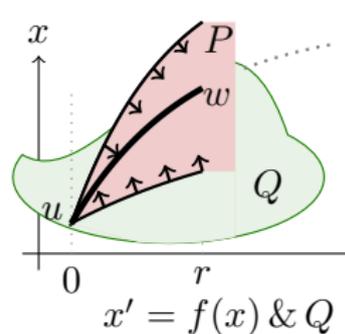
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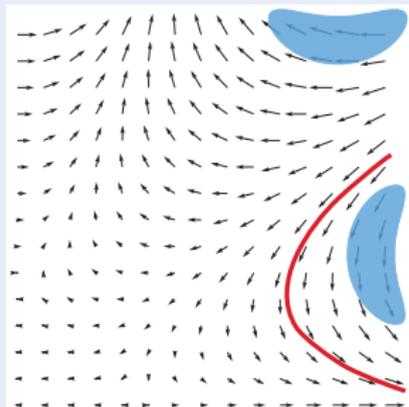


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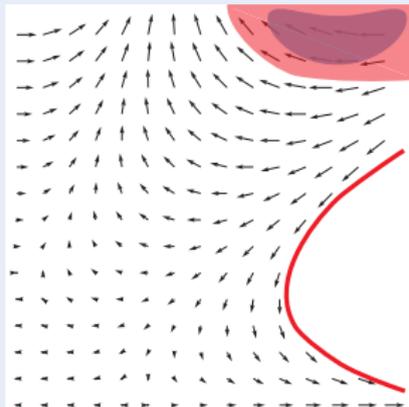


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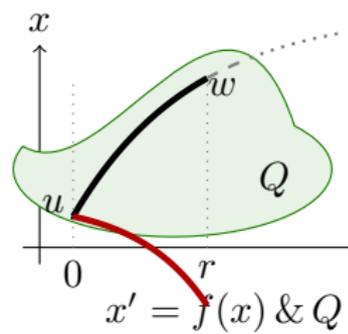
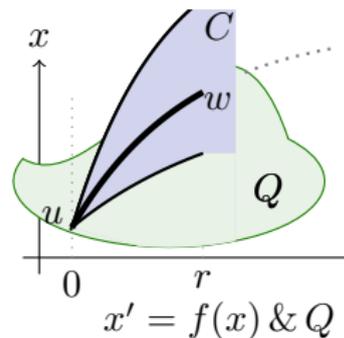
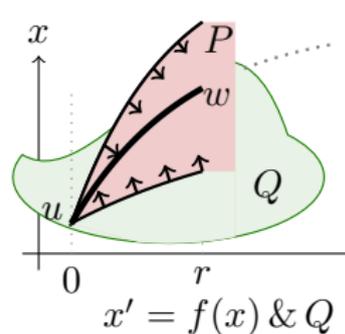
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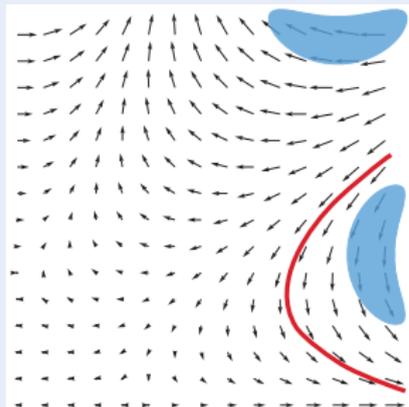


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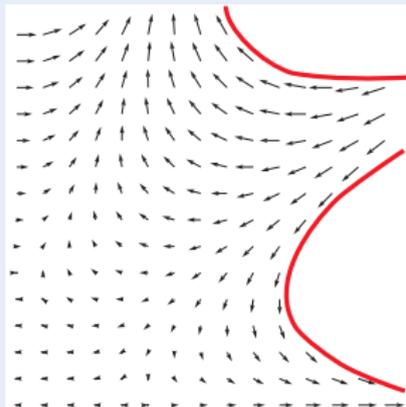


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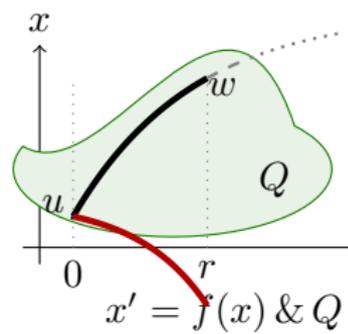
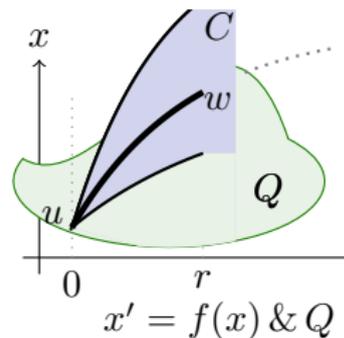
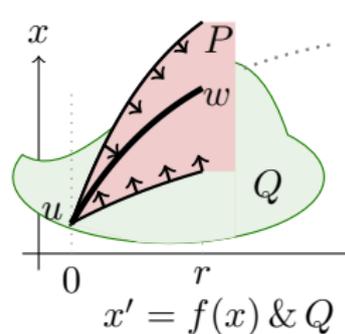
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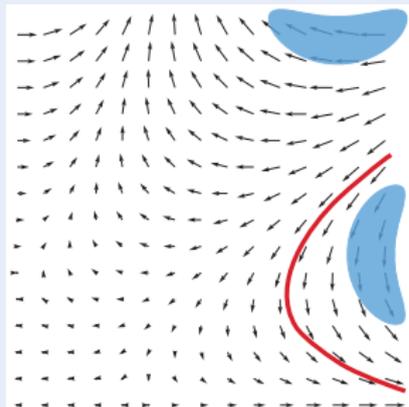


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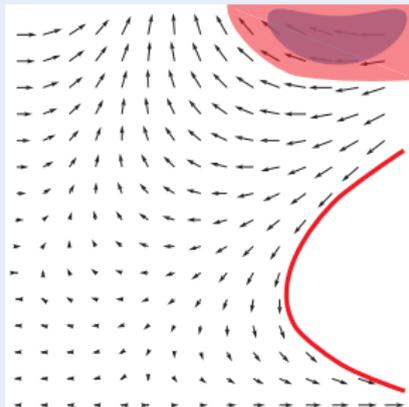


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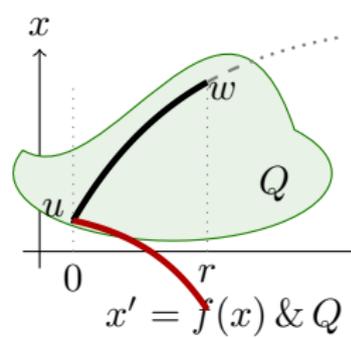
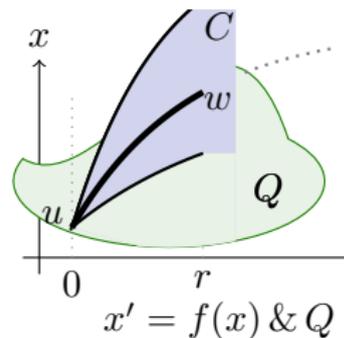
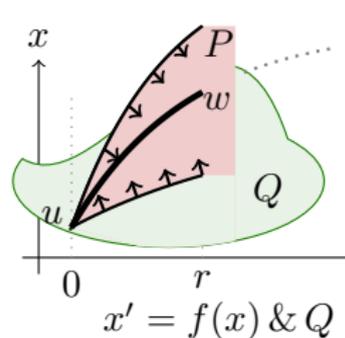
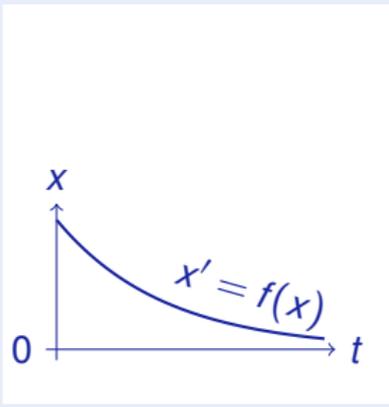
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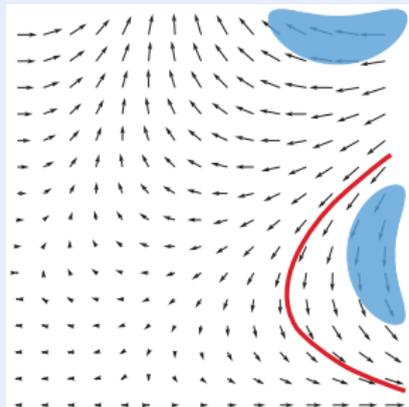


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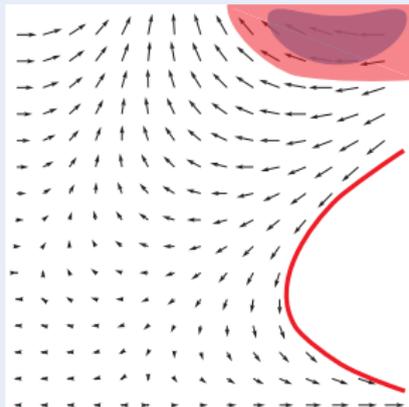


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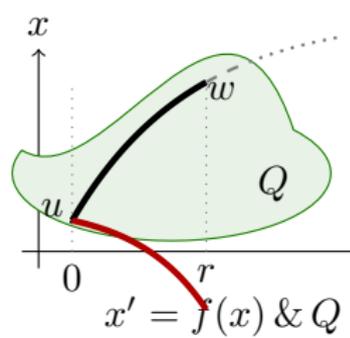
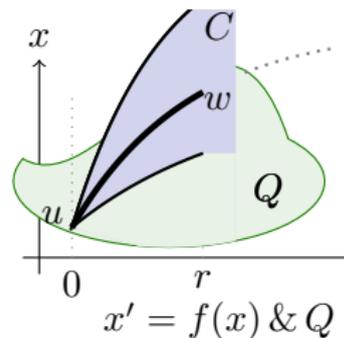
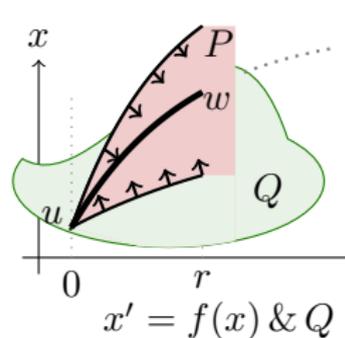
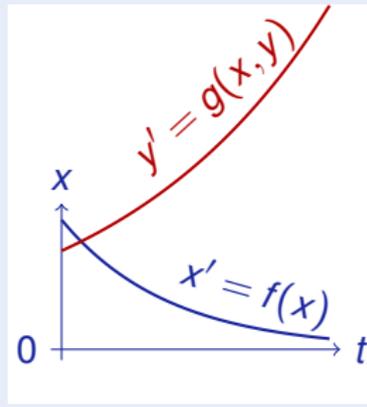
Differential Invariant



Differential Cut

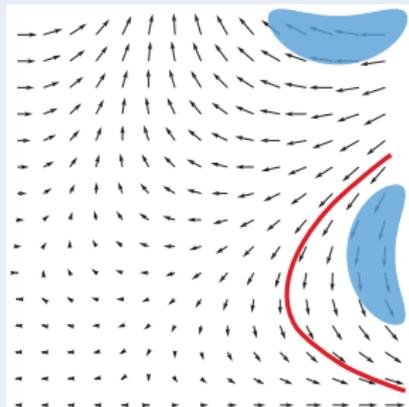


Differential Ghost

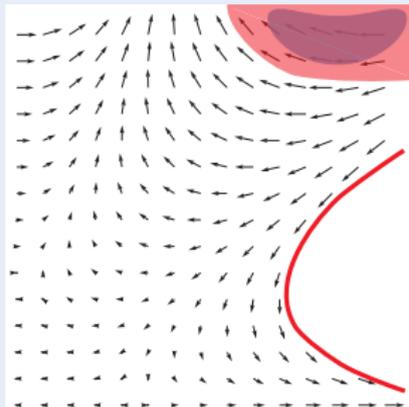


A Differential Invariants for Differential Equations

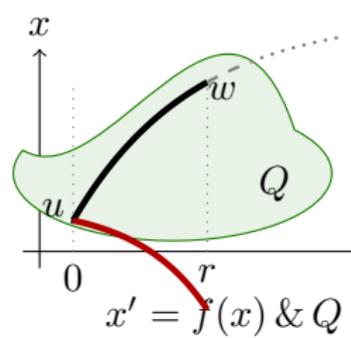
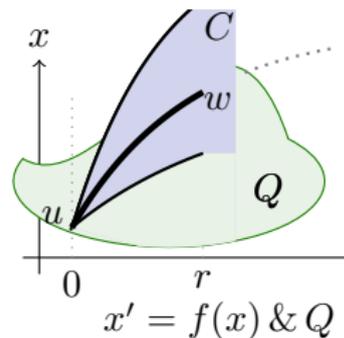
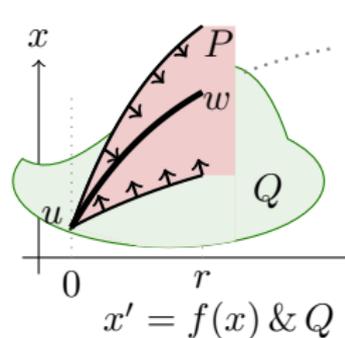
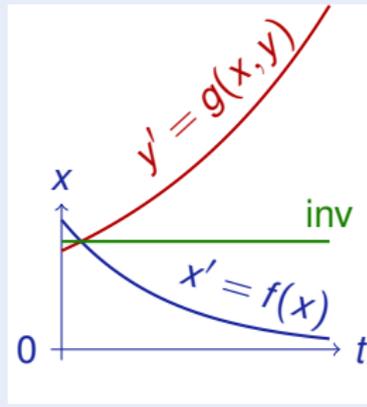
Differential Invariant



Differential Cut



Differential Ghost



A Differential Invariants for Differential Equations

Differential Invariant

$$\frac{Q \vdash [x' := f(x)](P)'}{P \vdash [x' = f(x) \& Q]P}$$

Differential Cut

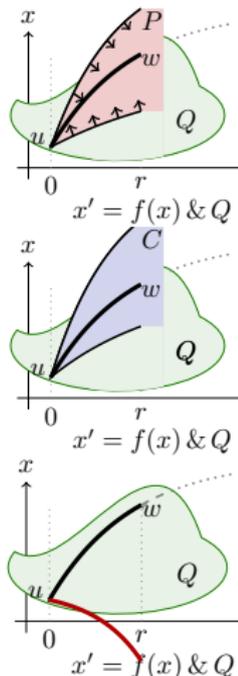
$$\frac{P \vdash [x' = f(x) \& Q]C \quad P \vdash [x' = f(x) \& Q \wedge C]P}{P \vdash [x' = f(x) \& Q]P}$$

Differential Ghost

$$\frac{P \leftrightarrow \exists y G \quad G \vdash [x' = f(x), y' = g(x, y) \& Q]G}{P \vdash [x' = f(x) \& Q]P}$$

deductive power added $DI \prec DI+DC \prec DI+DC+DG$

$$\omega[[e]'] = \sum_x \omega(x') \frac{\partial [[e]]}{\partial x}(\omega)$$



\mathcal{A} Differential Invariants for Differential Equations

Differential Invariant

$$\frac{Q \vdash [x' := f(x)](P)'}{P \vdash [x' = f(x) \& Q]P}$$

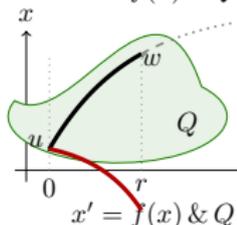
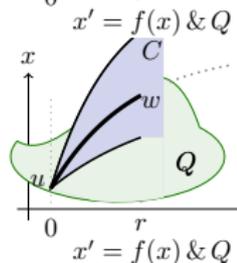
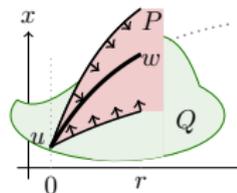
Differential Cut

$$\frac{P \vdash [x' = f(x) \& Q]C \quad P \vdash [x' = f(x) \& Q \wedge C]P}{P \vdash [x' = f(x) \& Q]P}$$

Differential Ghost

$$\frac{P \leftrightarrow \exists y G \quad G \vdash [x' = f(x), y' = g(x, y) \& Q]G}{P \vdash [x' = f(x) \& Q]P}$$

if $g(x, y) = a(x)y + b(x)$, so has long solution!





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