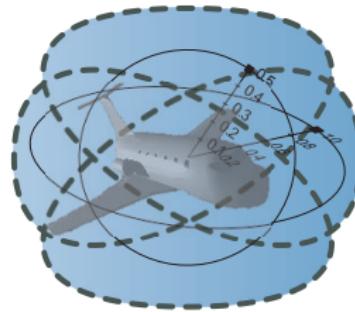


Differential Equation Axiomatization

The Impressive Power of Differential Ghosts

André Platzer Yong Kiam Tan

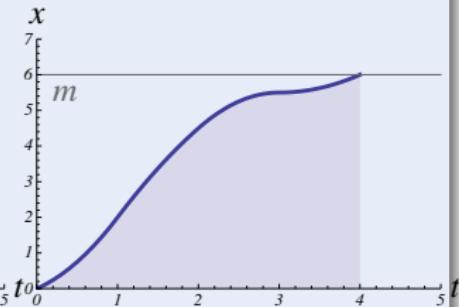
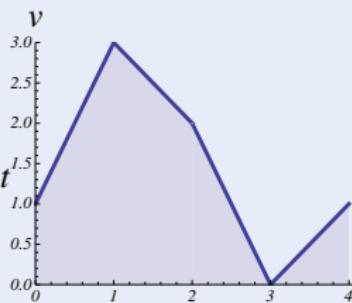
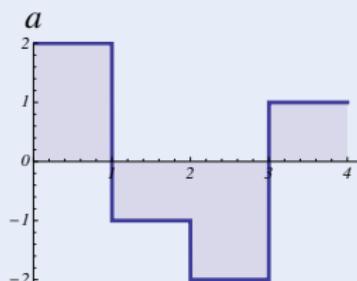
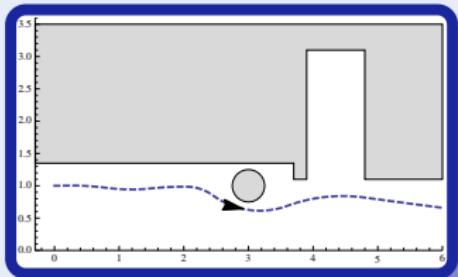


- 1 Differential Dynamic Logic
- 2 Proofs for Differential Equations
 - Differential Invariants / Cuts / Ghosts
- 3 Completeness for Differential Equation Invariants
 - Darboux are Differential Ghosts
 - Derived Semialgebraic Invariants
 - Real Induction
 - Derived Local Progress
 - Completeness for Invariants
- 4 Summary

Challenge (Hybrid Systems)

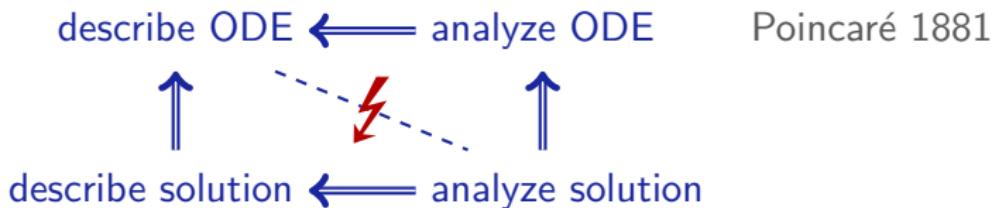
Fixed law describing state evolution with both

- Discrete dynamics (control decisions)
- Continuous dynamics (differential equations)



A Contributions: Differential Equation Axiomatization

- Classical approach: ① Given ODE ② Solve ODE ③ Analyze solution
- Descriptive power of ODEs: ODE much easier than its solution
- ⚡ Analyzing ODEs via their solutions undoes their descriptive power!



- ① Now: Logical foundations of differential equation invariants
- ② Identify axioms for differential equations
- ③ Completeness for differential equation invariants
- ④ Uniformly substitutable axioms, not infinite axiom schemata
- ⑤ Decide invariance by proof

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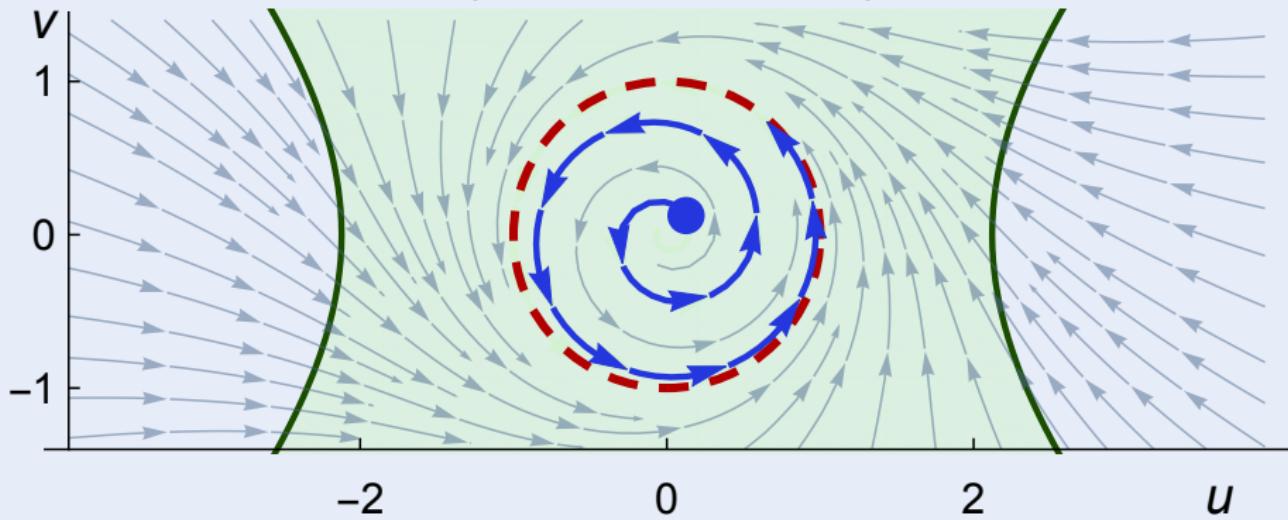
4 Summary

Concept (Differential Dynamic Logic)

(JAR'08,LICS'12)

$$u^2 \leq v^2 + \frac{9}{2} \rightarrow [u' = -v + \frac{u}{4}(1-u^2-v^2), v' = u + \frac{v}{4}(1-u^2-v^2)] \quad u^2 \leq v^2 + \frac{9}{2}$$

$$u^2 + v^2 = 1 \rightarrow [u' = -v + \frac{u}{4}(1-u^2-v^2), v' = u + \frac{v}{4}(1-u^2-v^2)] \quad u^2 + v^2 = 1$$



1 Differential Dynamic Logic

2 Proofs for Differential Equations

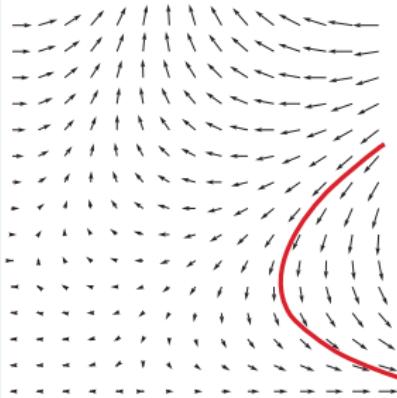
- Differential Invariants / Cuts / Ghosts

3 Completeness for Differential Equation Invariants

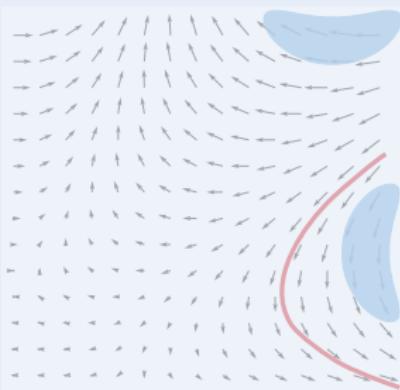
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4 Summary

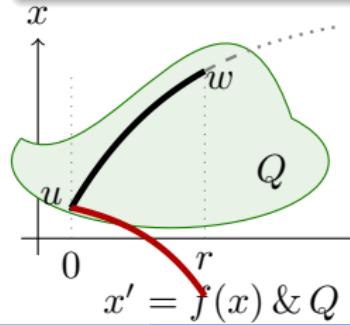
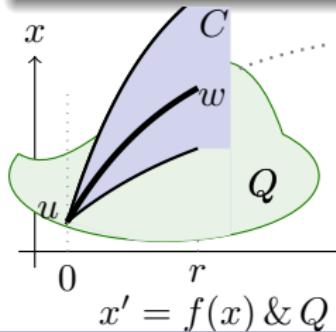
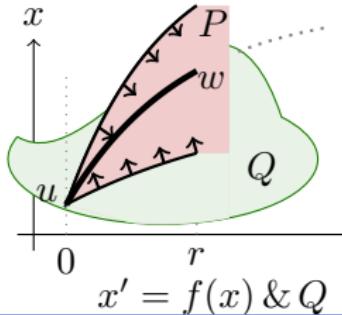
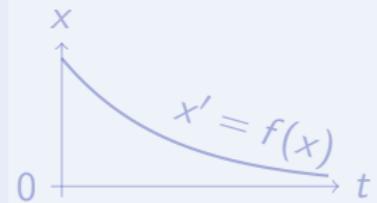
Differential Invariant



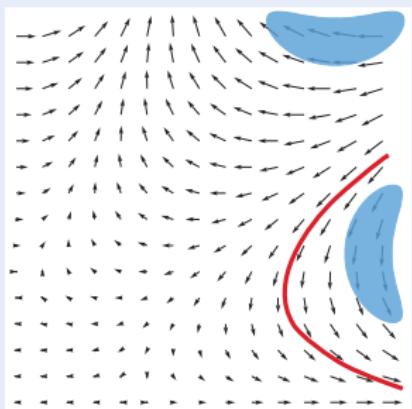
Differential Cut



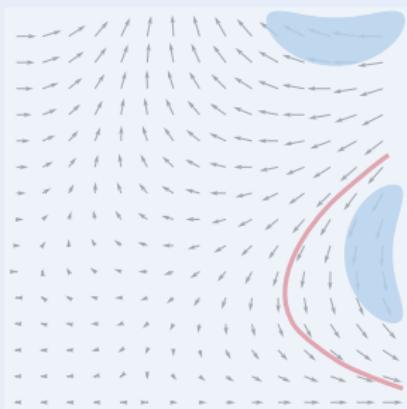
Differential Ghost



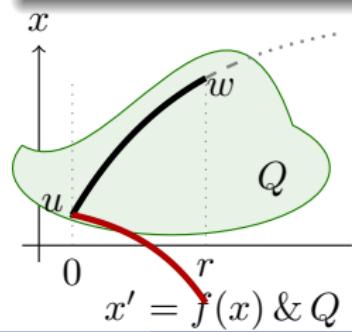
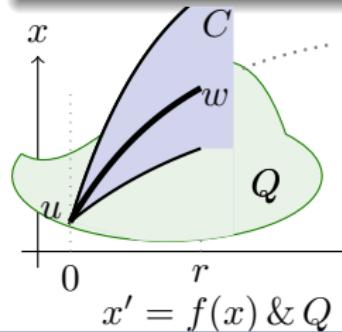
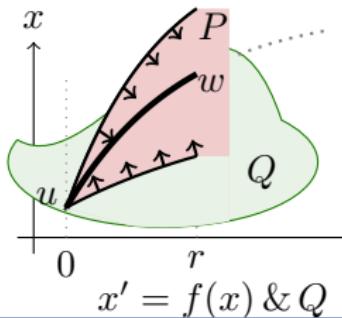
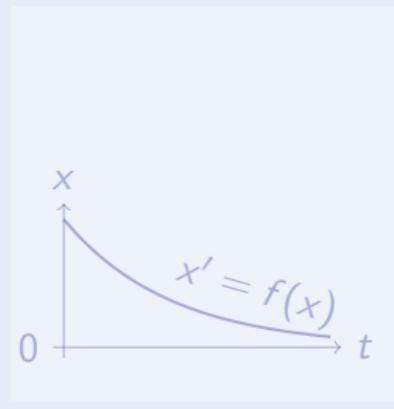
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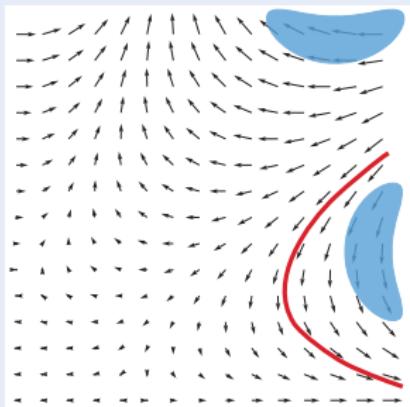
Differential Cut



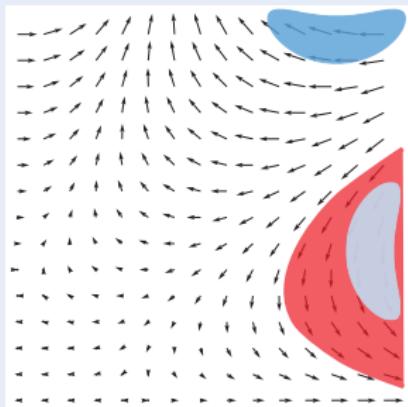
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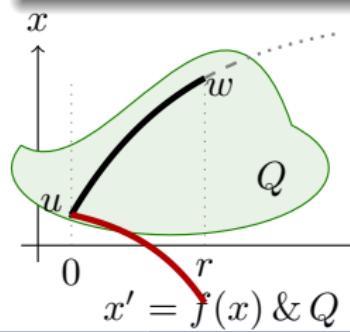
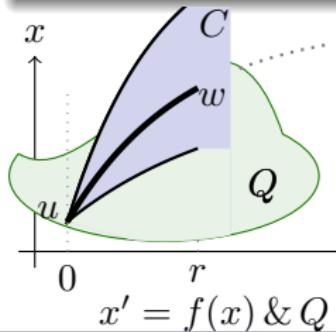
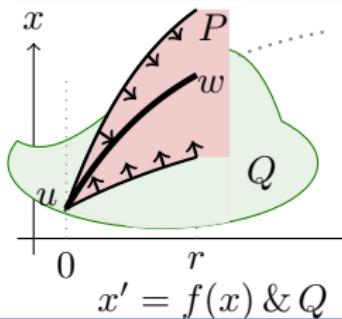
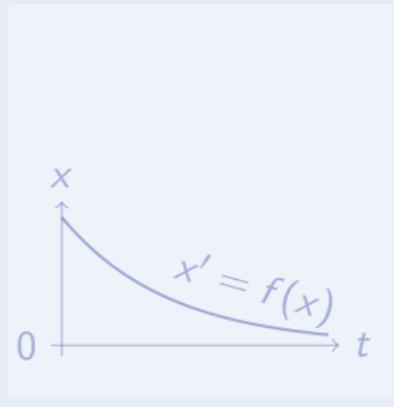
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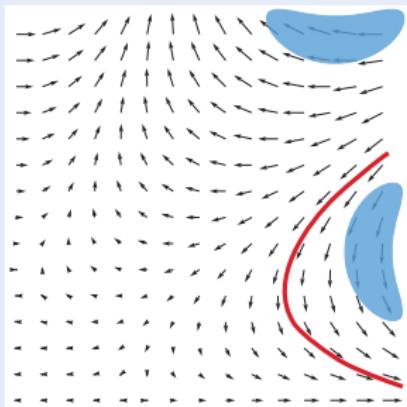
Differential Cut



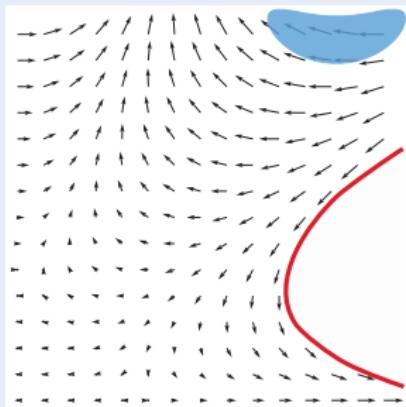
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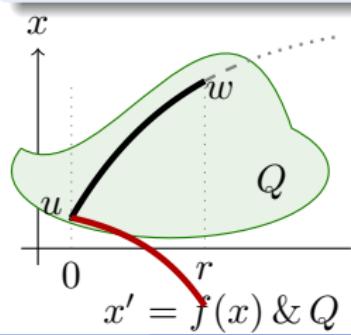
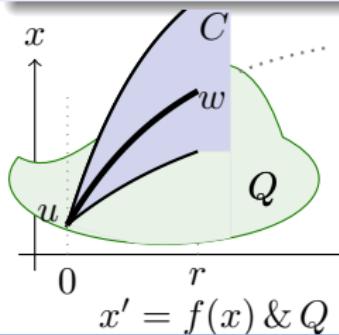
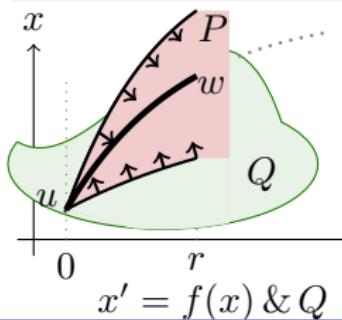
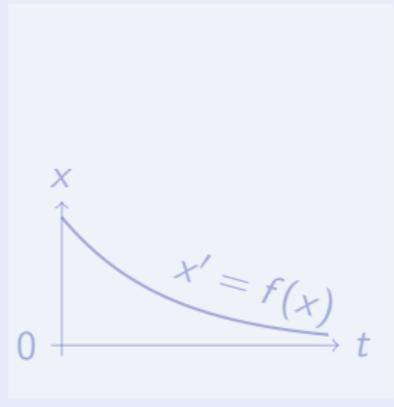
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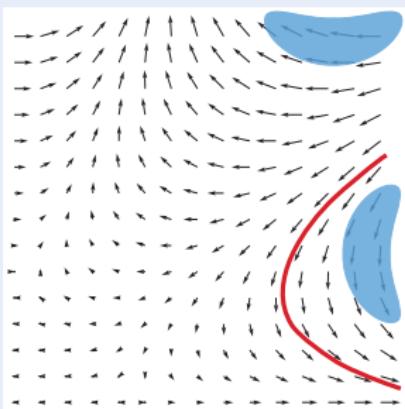
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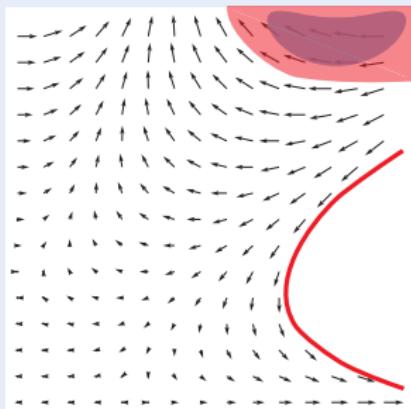
Differential Ghost



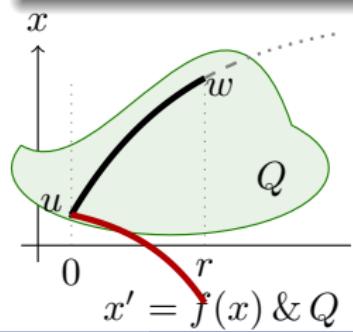
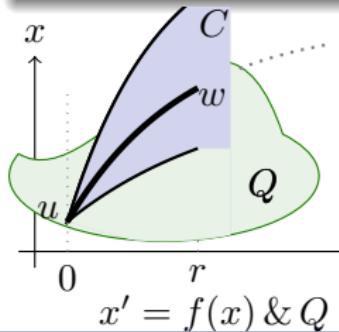
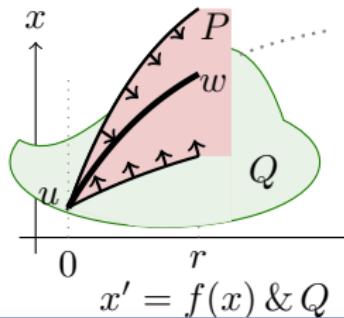
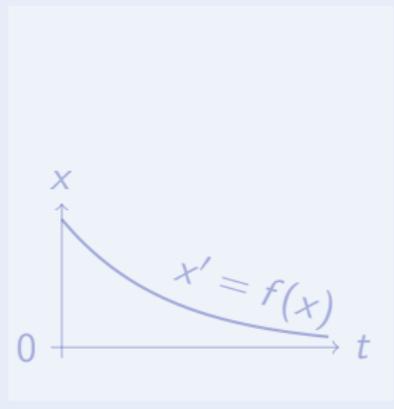
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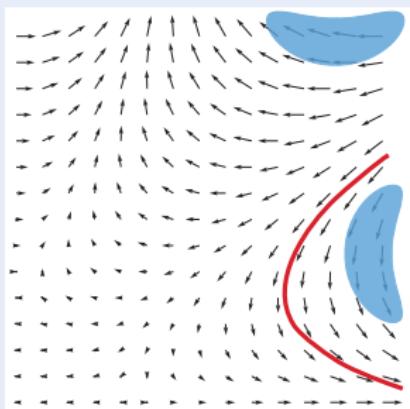
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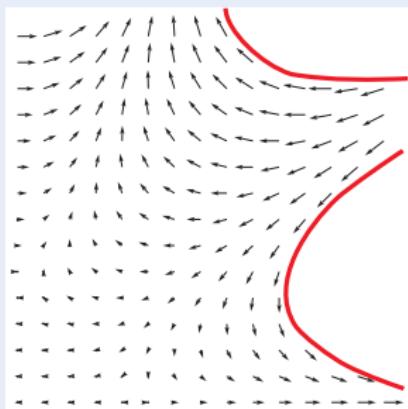
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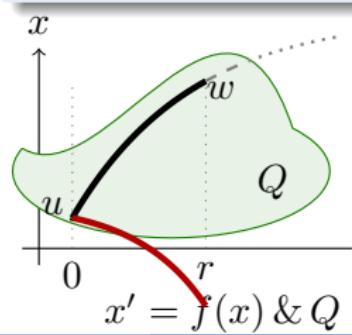
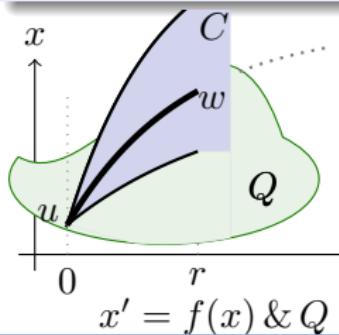
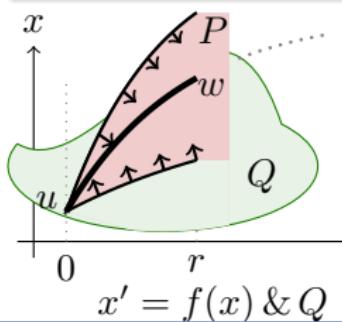
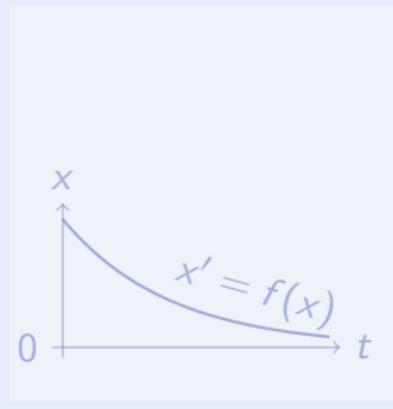
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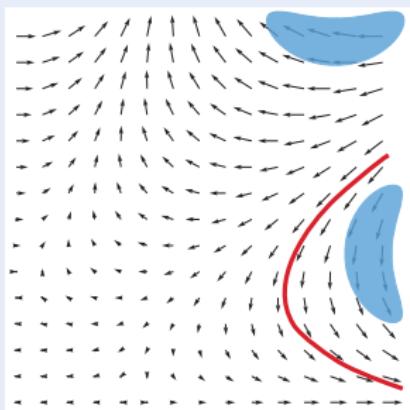
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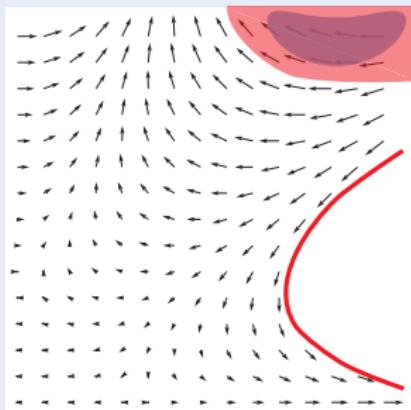
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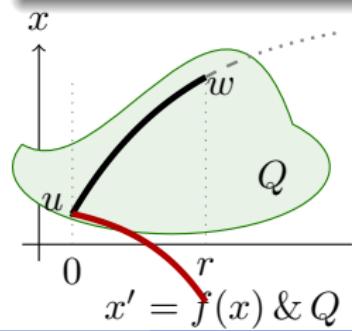
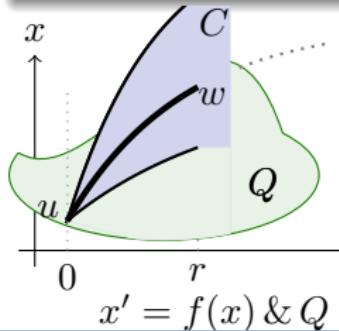
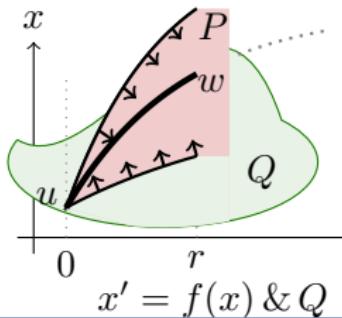
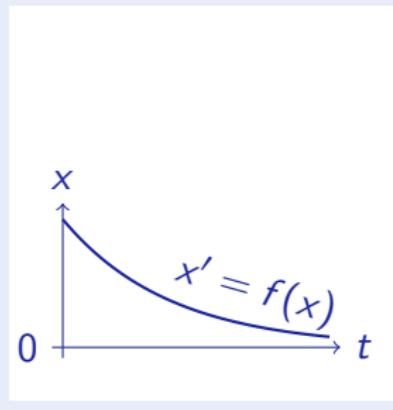
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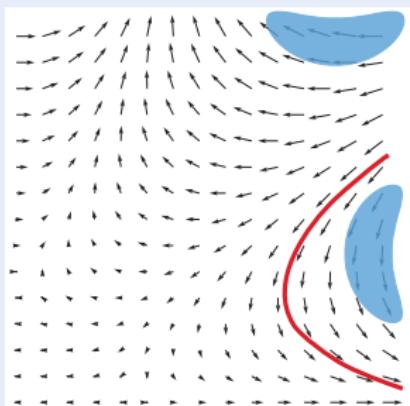
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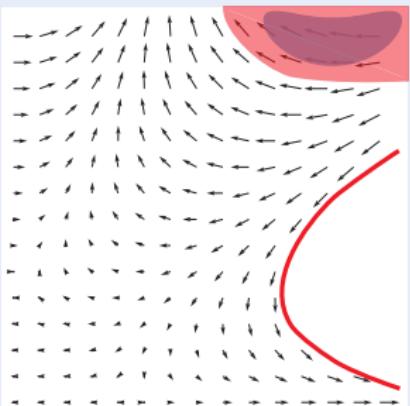
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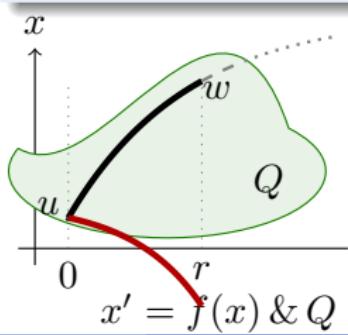
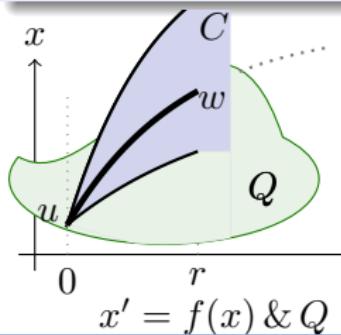
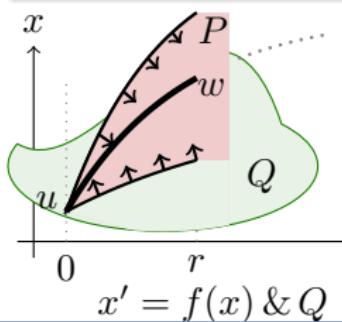
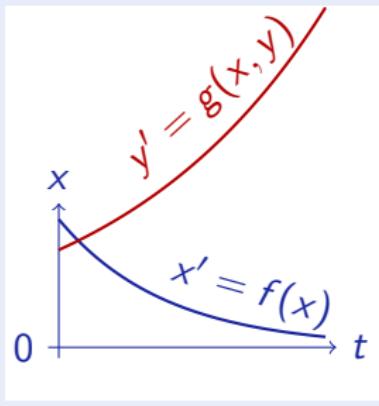
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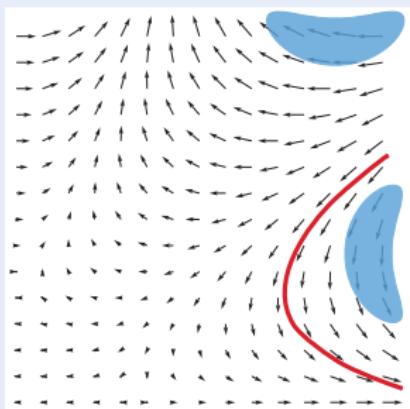
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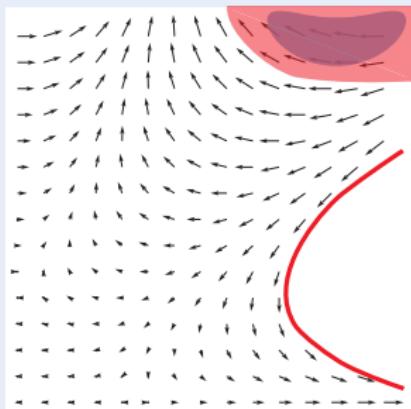
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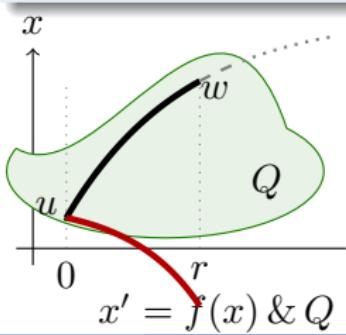
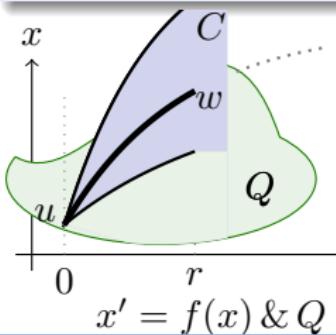
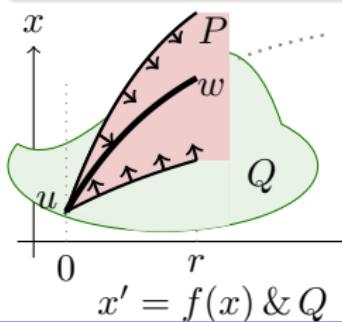
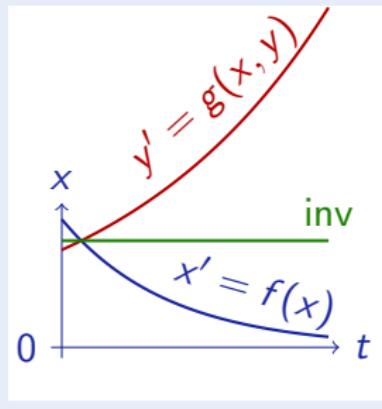
Differential Invariant



Differential Cut



Differential Ghost



Differential Invariant

$$\frac{Q \vdash [x' := f(x)](P)' \quad P \vdash [x' = f(x) \& Q]P}{P \vdash [x' = f(x) \& Q]P}$$

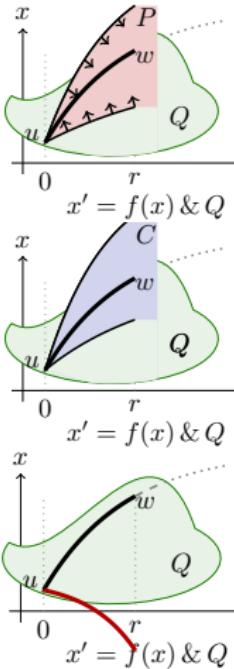
Differential Cut

$$\frac{P \vdash [x' = f(x) \& Q]C \quad P \vdash [x' = f(x) \& Q \wedge C]P}{P \vdash [x' = f(x) \& Q]P}$$

Differential Ghost

$$\frac{P \leftrightarrow \exists y \text{ } G \quad G \vdash [x' = f(x), y' = g(x, y) \& Q]G}{P \vdash [x' = f(x) \& Q]P}$$

deductive power adds DI \prec DC \prec DG



Differential Invariant

$$\frac{Q \vdash [x' := f(x)](P)'}{P \vdash [x' = f(x) \& Q]P}$$

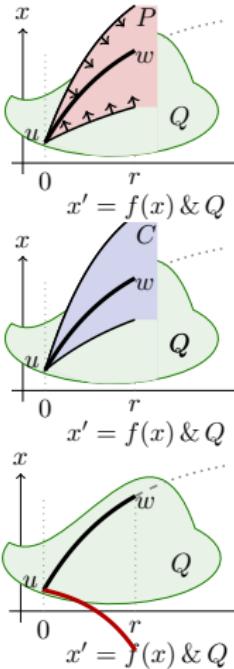
Differential Cut

$$\frac{P \vdash [x' = f(x) \& Q]\textcolor{red}{C} \quad P \vdash [x' = f(x) \& Q \wedge \textcolor{red}{C}]P}{P \vdash [x' = f(x) \& Q]P}$$

Differential Ghost

$$\frac{P \leftrightarrow \exists y \textcolor{green}{G} \quad \textcolor{green}{G} \vdash [x' = f(x), y' = g(x, y) \& Q]\textcolor{green}{G}}{P \vdash [x' = f(x) \& Q]P}$$

if new $y' = g(x, y)$ has long enough solution



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Differential Equation Axiomatization

Theorem (Algebraic Completeness)

(LICS'18)

dL calculus is a sound & complete axiomatization of algebraic invariants of polynomial differential equations. They are decidable by DI, DC, DG

Theorem (Semialgebraic Completeness)

(LICS'18)

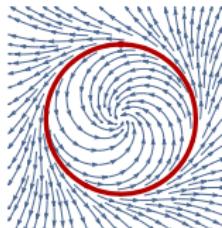
dL calculus with RI is a sound & complete axiomatization of semialgebraic invariants of differential equations. They are decidable in dL

\mathcal{R} ODE Axiomatization: Derived Darboux Rules

Gaston Darboux 1878

Darboux equalities are DG

$$\frac{Q \vdash p' = gp \quad (g \in \mathbb{R}[x])}{p = 0 \vdash [x' = f(x) \& Q]p = 0}$$

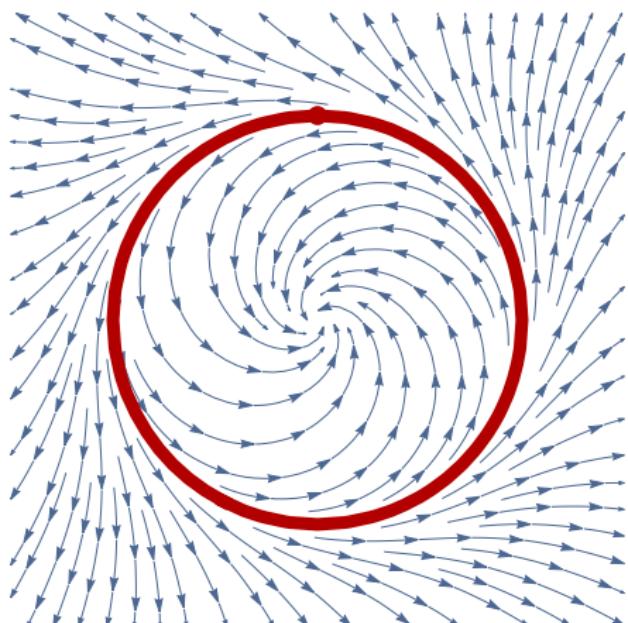
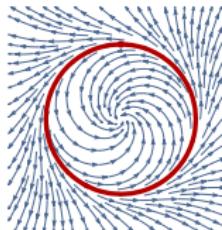


Definable p' for Lie-derivative w.r.t. ODE

Gaston Darboux 1878

Darboux equalities are DG

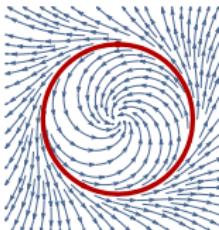
$$\frac{Q \vdash p' = gp \quad (g \in \mathbb{R}[x])}{p = 0 \vdash [x' = f(x) \& Q]p = 0}$$



$$\frac{\vdash 2uu' + 2vv' = 2(u^2 + v^2)(u^2 + v^2 - 1)}{\therefore \vdash [u' = -v - u + u^3 + uv^2 \\ v' = u - v + u^2v + v^3] \ u^2 + v^2 - 1 = 0}$$

Darboux equalities are DG

$$\frac{Q \vdash p' = gp \quad (g \in \mathbb{R}[x])}{p = 0 \vdash [x' = f(x) \& Q]p = 0}$$



Proof Idea.

- ① DG counterweight $y' = -gy$ to reduce $p = 0$ to $py = 0 \wedge y \neq 0$.
- ② DG counter-counterweight $z' = gz$ to reduce $y \neq 0$ to $yz = 1$.
- ③ $py = 0$ and $yz = 1$ are now differential invariants by construction.

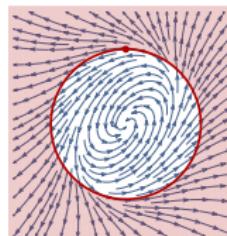
□

\mathcal{R} ODE Axiomatization: Derived Darboux Rules

Thomas Grönwall 1919

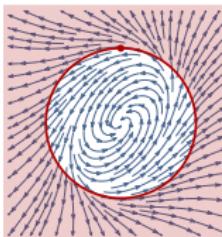
Darboux **inequalities** are DG

$$\frac{Q \vdash p' \geq gp \quad (g \in \mathbb{R}[x])}{p \succcurlyeq 0 \vdash [x' = f(x) \& Q]p \succcurlyeq 0}$$



Darboux inequalities are DG

$$\frac{Q \vdash p' \geq gp \quad (g \in \mathbb{R}[x])}{p \succcurlyeq 0 \vdash [x' = f(x) \& Q]p \succcurlyeq 0}$$

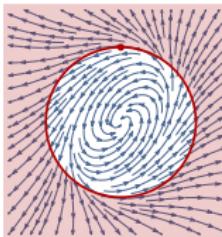


Proof Idea.

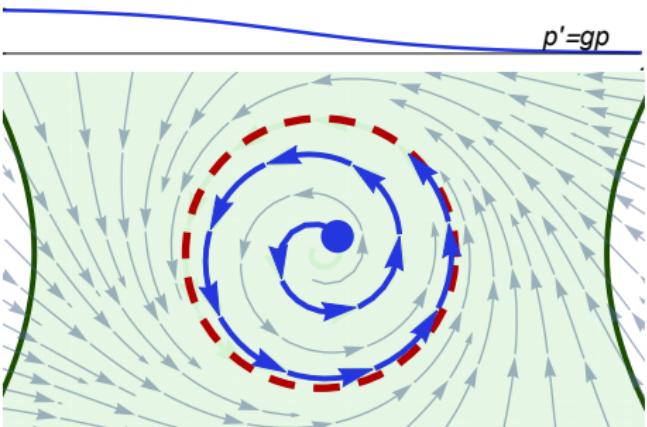
- ① DG counterweight $y' = -gy$ to reduce $p \succcurlyeq 0$ to $py \succcurlyeq 0 \wedge y > 0$.
- ② DG counter-counterweight $z' = \frac{g}{2}z$ to reduce $y > 0$ to $yz^2 = 1$.
- ③ $yz^2 = 1$ and (after DC with $y > 0$) $py \succcurlyeq 0$ are differential invariants by construction as $(py)' = p'y - gyp \geq 0$ from premise since $y > 0$. □

Darboux inequalities are DG

$$\frac{Q \vdash p' \geq gp}{p \succcurlyeq 0 \vdash [x' = f(x) \& Q]p \succcurlyeq 0} \quad (g \in \mathbb{R}[x])$$

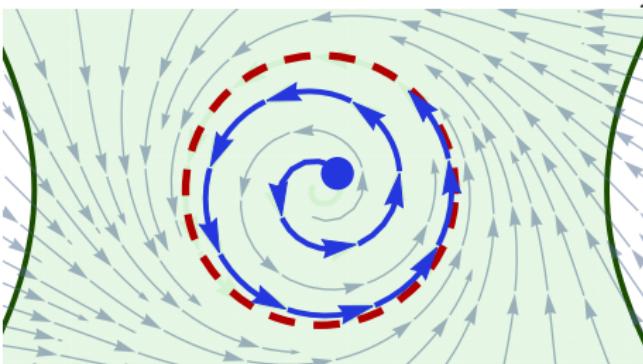
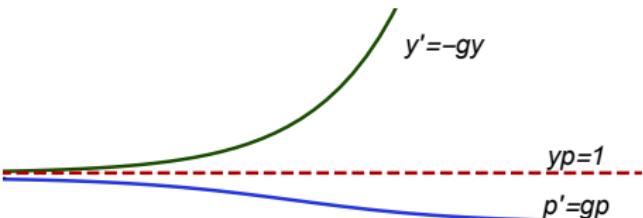
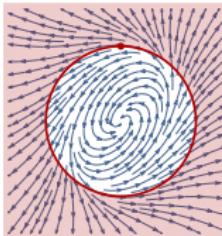


$$\begin{aligned} (1-u^2-v^2)' &\geq -\frac{1}{2}(u^2+v^2)(1-u^2-v^2) \\ \dots \vdash [u' &= -v + \frac{u}{4}(1-u^2-v^2) \\ v' &= u + \frac{v}{4}(1-u^2-v^2) \\] \quad 1-u^2-v^2 &> 0 \end{aligned}$$



Darboux inequalities are DG

$$\frac{Q \vdash p' \geq gp}{p \succcurlyeq 0 \vdash [x' = f(x) \& Q]p \succcurlyeq 0} \quad (g \in \mathbb{R}[x])$$

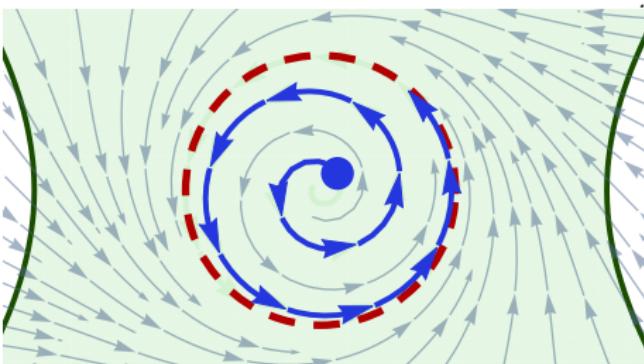
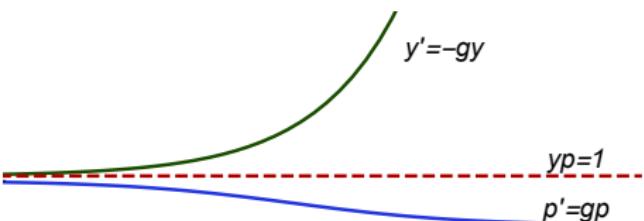
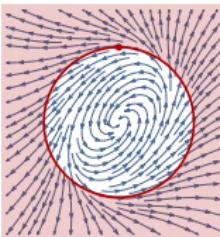


$$\begin{aligned} (1-u^2-v^2)' &\geq -\frac{1}{2}(u^2+v^2)(1-u^2-v^2) \\ \dots \vdash [u' &= -v + \frac{u}{4}(1-u^2-v^2) \\ v' &= u + \frac{v}{4}(1-u^2-v^2) \\ y' &= \frac{1}{2}(u^2+v^2)y \\] \quad 1-u^2-v^2 &> 0 \end{aligned}$$

$$(1-u^2-v^2)y > 0$$

Darboux inequalities are DG

$$\frac{Q \vdash p' \geq gp}{p \succcurlyeq 0 \vdash [x' = f(x) \& Q]p \succcurlyeq 0} \quad (g \in \mathbb{R}[x])$$

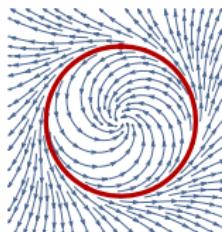


$$\begin{aligned} (1-u^2-v^2)' &\geq -\frac{1}{2}(u^2+v^2)(1-u^2-v^2) \\ \dots \vdash [u' &= -v + \frac{u}{4}(1-u^2-v^2) \\ v' &= u + \frac{v}{4}(1-u^2-v^2) \\ y' &= \frac{1}{2}(u^2+v^2)y \\ z' &= -\frac{1}{4}(u^2+v^2)z \\] \quad 1-u^2-v^2 &> 0 \end{aligned}$$

$$\begin{aligned} (1-u^2-v^2)y &> 0 \\ yz^2 &= 1 \end{aligned}$$

Vectorial Darboux are VDG

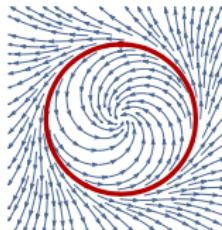
$$\frac{Q \vdash \mathbf{p}' = G\mathbf{p} \quad (G \in \mathbb{R}[x]^{n \times n})}{\mathbf{p} = 0 \vdash [x' = f(x) \& Q]\mathbf{p} = 0}$$



Definable \mathbf{p}' for component-wise Lie-derivative w.r.t. ODE

Vectorial Darboux are VDG

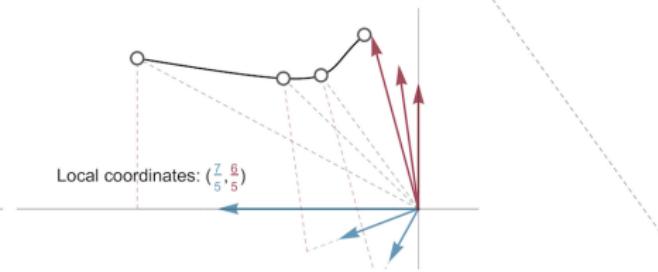
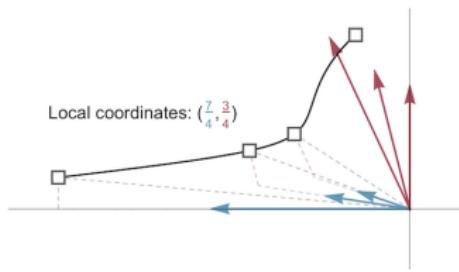
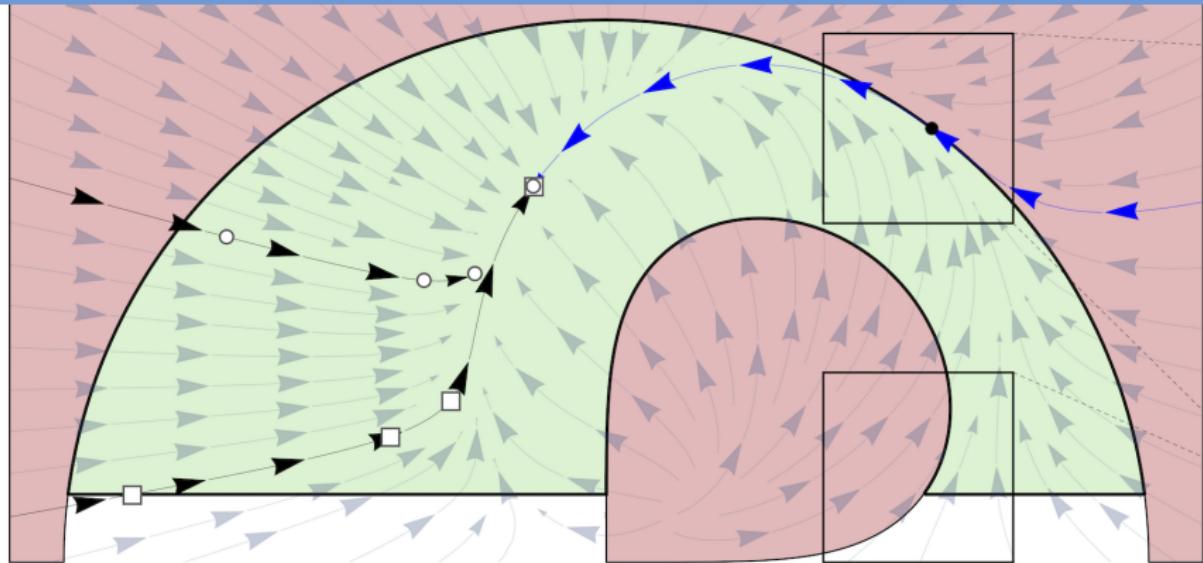
$$\frac{Q \vdash \mathbf{p}' = G\mathbf{p} \quad (G \in \mathbb{R}[x]^{n \times n})}{\mathbf{p} = 0 \vdash [x' = f(x) \& Q]\mathbf{p} = 0}$$



Proof Idea.

- ① DG counterweight $\mathbf{y}' = -G^T \mathbf{y}$ to change $\mathbf{p} = 0$ to $\mathbf{p} \cdot \mathbf{y} = 0$.
- ② But: $\mathbf{p} \cdot \mathbf{y} = 0 \not\Rightarrow \mathbf{p} = 0$ even if $\mathbf{y} \neq 0$.
- ③ Redo: time-varying independent DG matrix $Y' = -YG$ with $Y\mathbf{p} = 0$.
- ④ $Y\mathbf{p} = 0 \Rightarrow \mathbf{p} = 0$ if $\det Y \neq 0$.
- ⑤ DC $\det Y \neq 0$ which proves by dbx using Liouville's identity:

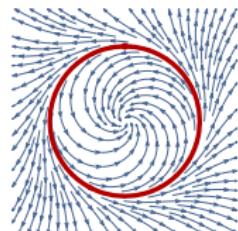
$$\det(Y)' = -\text{tr}(G)\det(Y)$$
- ⑥ Continuous change of basis Y^{-1} balancing out motion of \mathbf{p} : constant!
- ⑦ Continuous change to new evolving variables is sound by DG. □



\mathcal{R} ODE Axiomatization: Derived Invariant Rules

Vectorial Darboux are VDG

$$\frac{Q \vdash \mathbf{p}' = G\mathbf{p}}{\mathbf{p} = 0 \vdash [x' = f(x) \& Q]\mathbf{p} = 0}$$



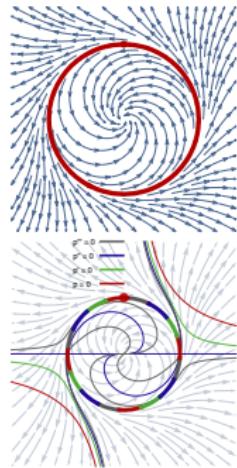
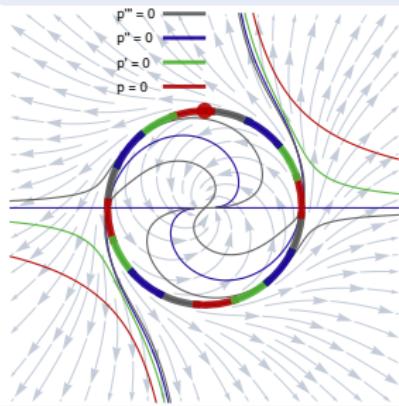
\mathcal{R} ODE Axiomatization: Derived Invariant Rules

Vectorial Darboux are VDG

$$\frac{Q \vdash \mathbf{p}' = G\mathbf{p}}{\mathbf{p} = 0 \vdash [x' = f(x) \& Q]\mathbf{p} = 0}$$

Differential Radical Invariants are vdbx

$$\frac{\Gamma, Q \vdash \bigwedge_{i=0}^{N-1} p^{(i)} = 0 \quad Q \vdash p^{(N)} = \sum_{i=0}^{N-1} g_i p^{(i)}}{\Gamma \vdash [x' = f(x) \& Q]p = 0}$$



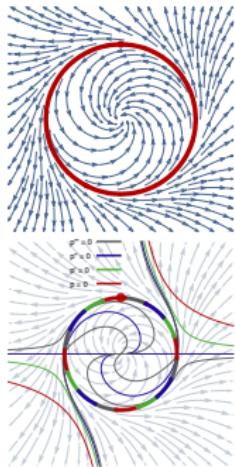
\mathcal{R} ODE Axiomatization: Derived Invariant Rules

Vectorial Darboux are VDG

$$\frac{Q \vdash \mathbf{p}' = G\mathbf{p}}{\mathbf{p} = 0 \vdash [x' = f(x) \& Q]\mathbf{p} = 0}$$

Differential Radical Invariants are vdbx

$$\frac{\Gamma, Q \vdash \bigwedge_{i=0}^{N-1} p^{(i)} = 0 \quad Q \vdash p^{(N)} = \sum_{i=0}^{N-1} g_i p^{(i)}}{\Gamma \vdash [x' = f(x) \& Q]\mathbf{p} = 0}$$



Proof Idea.

by vdbx with $G = \begin{pmatrix} 0 & 1 & 0 & \dots & 0 \\ 0 & 0 & \ddots & \ddots & \vdots \\ \vdots & \vdots & \ddots & \ddots & 0 \\ 0 & 0 & \dots & 0 & 1 \\ g_0 & g_1 & \dots & g_{N-2} & g_{N-1} \end{pmatrix}$, $\mathbf{p} = \begin{pmatrix} p \\ p^{(1)} \\ p^{(2)} \\ \vdots \\ p^{(N-1)} \end{pmatrix}$

\mathcal{R} ODE Axiomatization: Derived Invariant Rules

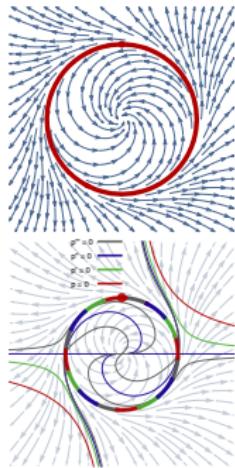
Vectorial Darboux are VDG

$$\frac{Q \vdash \mathbf{p}' = G\mathbf{p}}{\mathbf{p} = 0 \vdash [x' = f(x) \& Q]\mathbf{p} = 0}$$

$$p'^* = 0$$

Differential Radical Invariants are vdbx

$$\frac{\Gamma, Q \vdash \bigwedge_{i=0}^{N-1} p^{(i)} = 0 \quad Q \vdash p^{(N)} = \sum_{i=0}^{N-1} g_i p^{(i)}}{\Gamma \vdash [x' = f(x) \& Q]\mathbf{p} = 0} N \text{ exists}$$



\mathcal{R} ODE Axiomatization: Derived Invariant Rules

Vectorial Darboux are VDG

$$\frac{Q \vdash \mathbf{p}' = G\mathbf{p}}{\mathbf{p} = 0 \vdash [x' = f(x) \& Q]\mathbf{p} = 0} \quad p'^* = 0$$

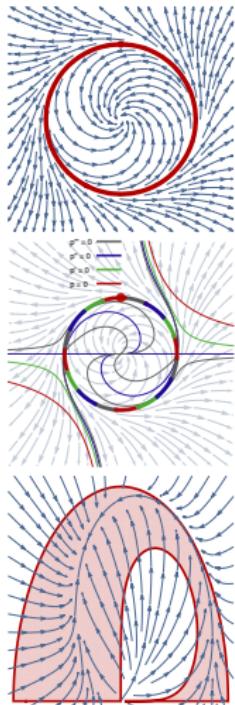
Differential Radical Invariants are vdbx

$$\frac{\Gamma, Q \vdash \bigwedge_{i=0}^{N-1} p^{(i)} = 0 \quad Q \vdash p^{(N)} = \sum_{i=0}^{N-1} g_i p^{(i)}}{\Gamma \vdash [x' = f(x) \& Q]\mathbf{p} = 0} \quad N \text{ exists}$$

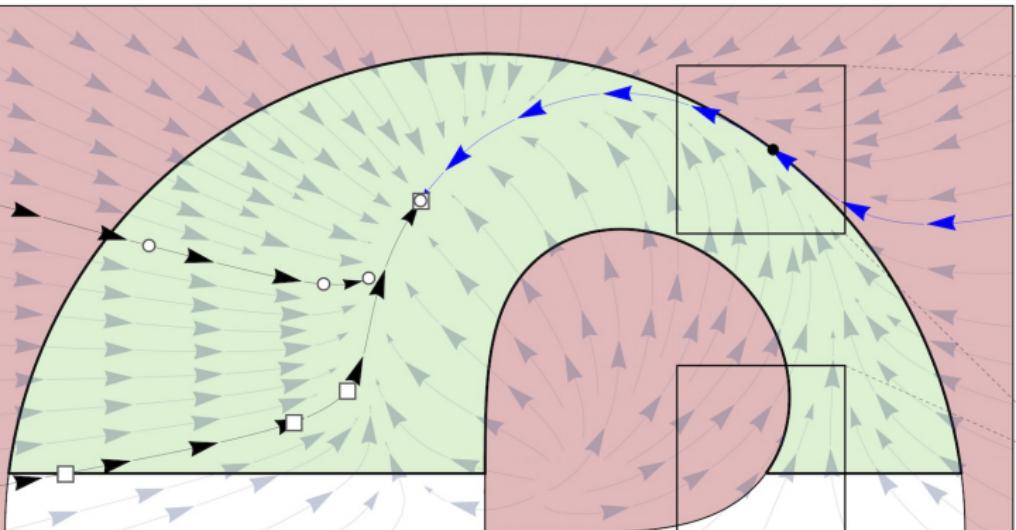
Semialgebraic Invariants are derived

$$\frac{p=0 \vdash p' \geq 0 \dots p=0 \wedge \dots \wedge p^{(N-2)} = 0 \vdash p^{(N-1)} \geq 0}{p \geq 0 \vdash [x' = f(x)]p \geq 0}$$

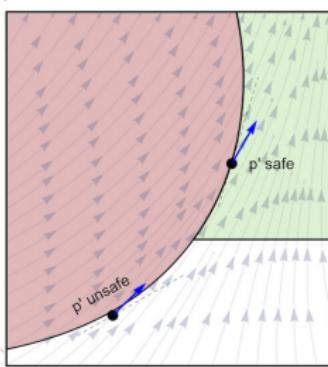
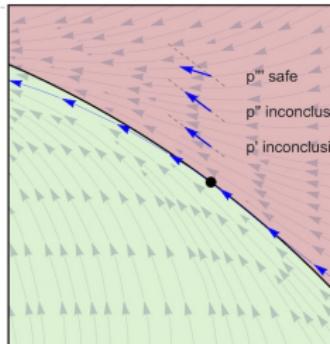
$$p'^* \geq 0$$



\mathcal{R} ODE Axiomatization from Higher Derivatives and Ghosts



Proofs with higher Lie derivatives



Local coordinates: $(\frac{7}{4}, \frac{3}{4})$

Local coordinates: $(\frac{7}{5}, \frac{6}{5})$

Sound and complete
ODE invariance proofs

Proofs use continuously changing basis ↑ to keep invariants at constant local coordinates

\mathcal{R} ODE Axiomatization: Derived Semialgebraic Rules

Semialgebraic invariants are derived

$$\frac{P \vdash \bigvee_{i=0}^M \left(\bigwedge_{j=0}^{m(i)} p_{ij}^{**} \geq 0 \wedge \bigwedge_{j=0}^{n(i)} q_{ij}^{**} > 0 \right) \quad \neg P \vdash \bigvee_{i=0}^N \left(\bigwedge_{j=0}^{a(i)} r_{ij}^{**-} \geq 0 \wedge \bigwedge_{j=0}^{b(i)} s_{ij}^{**-} > 0 \right)}{P \vdash [x' = f(x)]P}$$

$$P \equiv \bigvee_{i=0}^M \left(\bigwedge_{j=0}^{m(i)} p_{ij} \geq 0 \wedge \bigwedge_{j=0}^{n(i)} q_{ij} > 0 \right) \quad \neg P \equiv \bigvee_{i=0}^N \left(\bigwedge_{j=0}^{a(i)} r_{ij} \geq 0 \wedge \bigwedge_{j=0}^{b(i)} s_{ij} > 0 \right)$$

$$p'^{*}=0 \equiv \bigwedge_{i=0}^{N-1} p^{(i)} = 0 \quad p'^{*} \geq 0 \equiv p'^{*} > 0 \vee p'^{*}=0 \quad p^{(N)} = \sum_{i=0}^{N-1} g_i p^{(i)}$$

$$q'^{*} > 0 \equiv q \geq 0 \wedge (q = 0 \rightarrow q' \geq 0) \wedge (q = 0 \wedge q' = 0 \rightarrow q^{(2)} \geq 0) \wedge \dots \\ \wedge (q = 0 \wedge q' = 0 \wedge \dots \wedge q^{(N-2)} = 0 \rightarrow q^{(N-1)} > 0)$$

Definable $p'^{*}-$ for all/most significant Lie derivatives w.r.t. backwards ODE

\mathcal{R} ODE Axiomatization: Derived Semialgebraic Rules

Semialgebraic invariant

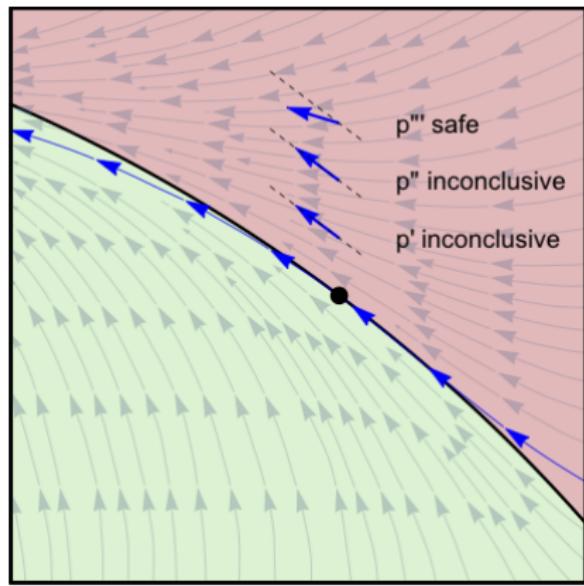
$$P \vdash \bigvee_{i=0}^M \left(\bigwedge_{j=0}^{m(i)} p_{ij}^{*} \right)$$

$$P \equiv \bigvee_{i=0}^M \left(\bigwedge_{j=0}^{m(i)} p_{ij}^{*} \right)$$

$$p'^{*}=0 \equiv \bigwedge_{i=0}^{N-1} p^{(i)} =$$

$$q'^{*}>0 \equiv q \geq 0 \wedge (\quad \wedge (q = 0 \wedge q > 0))$$

Seriously?

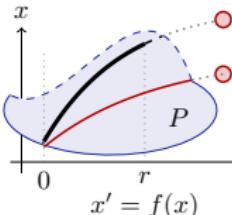


Fortunately, it's just a derived rule!

Definable $p'^{*}-$ for all/most significant Lie derivatives w.r.t. backwards ODE

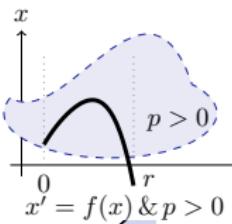
Real Induction

$$[x' = f(x)]P \leftrightarrow \forall y [x' = f(x) \& P \vee x=y] \\ (x=y \rightarrow P \wedge \langle x' = f(x) \& P \rangle_{x \neq y})$$



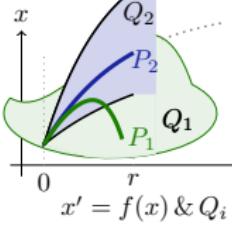
Continuous Existence

$$p > 0 \rightarrow \langle x' = f(x) \& p > 0 \rangle_{\circ}$$



Unique Solutions

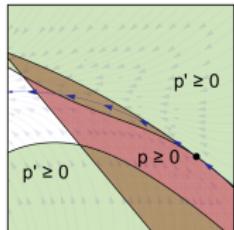
$$\langle x' = f(x) \& Q_1 \rangle P_1 \wedge \langle x' = f(x) \& Q_2 \rangle P_2 \\ \rightarrow \langle x' = f(x) \& Q_1 \wedge Q_2 \rangle (P_1 \vee P_2)$$



\mathcal{R} ODE Axiomatization: Derived Local Progress Rules

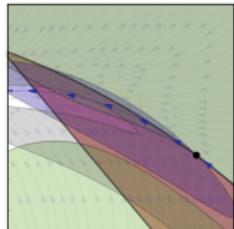
Local Progress Step

$$\begin{aligned} p > 0 \vee p = 0 \wedge \langle x' = f(x) \wedge p' \geq 0 \rangle \circ \\ \rightarrow \langle x' = f(x) \wedge p \geq 0 \rangle \circ \end{aligned}$$



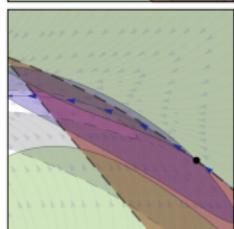
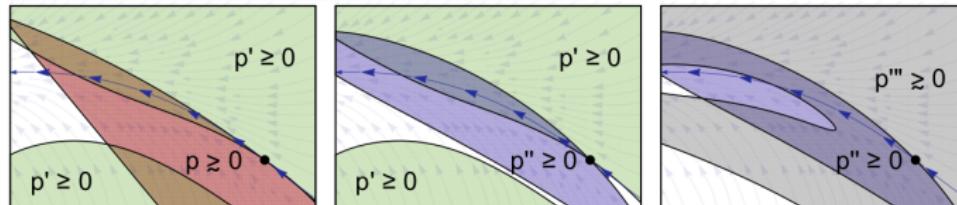
Local Progress \geq

$$p'^* \geq 0 \rightarrow \langle x' = f(x) \wedge p \geq 0 \rangle \circ$$



Local Progress $>$

$$p'^* > 0 \rightarrow \langle x' = f(x) \wedge p > 0 \rangle \bigcirc$$



Theorem (Algebraic Completeness)

(LICS'18)

dL calculus is a sound & complete axiomatization of algebraic invariants of polynomial differential equations. They are decidable with a derived axiom (on open Q for completeness):

$$(DRI) \ [x' = f(x) \ \& \ Q]p = 0 \leftrightarrow (Q \rightarrow p'^* = 0)$$

Theorem (Semialgebraic Completeness)

(LICS'18)

dL calculus with RI is a sound & complete axiomatization of semialgebraic invariants of differential equations. They are decidable with derived axiom

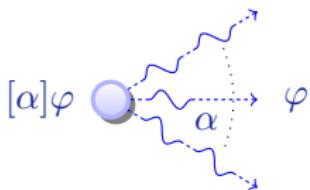
$$(SAI) \ \forall x (P \rightarrow [x' = f(x)]P) \leftrightarrow \forall x (P \rightarrow P'^*) \wedge \forall x (\neg P \rightarrow (\neg P)^{**-})$$

Definable p'^* is short for *all/most significant* Lie derivatives w.r.t. ODE
Definable p^{**-} is w.r.t. backwards ODE. Also for DNF P .

- 1 Differential Dynamic Logic
- 2 Proofs for Differential Equations
 - Differential Invariants / Cuts / Ghosts
- 3 Completeness for Differential Equation Invariants
 - Darboux are Differential Ghosts
 - Derived Semialgebraic Invariants
 - Real Induction
 - Derived Local Progress
 - Completeness for Invariants
- 4 Summary

differential dynamic logic

$$dL = DL + HP$$

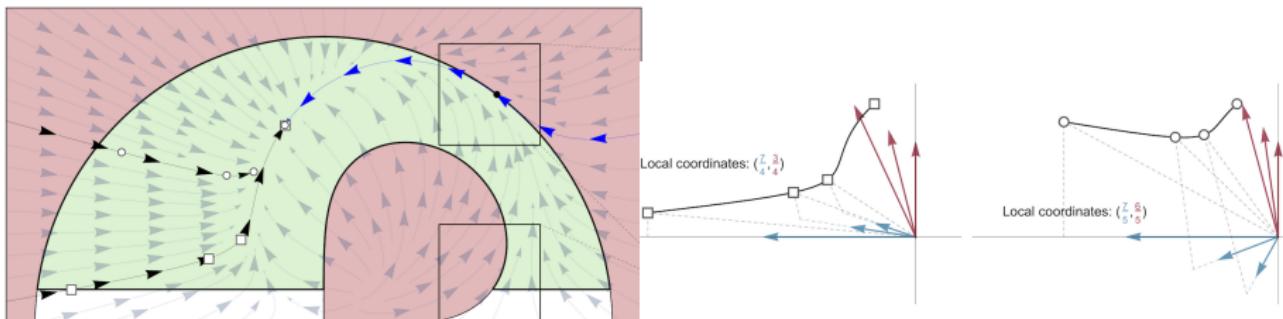
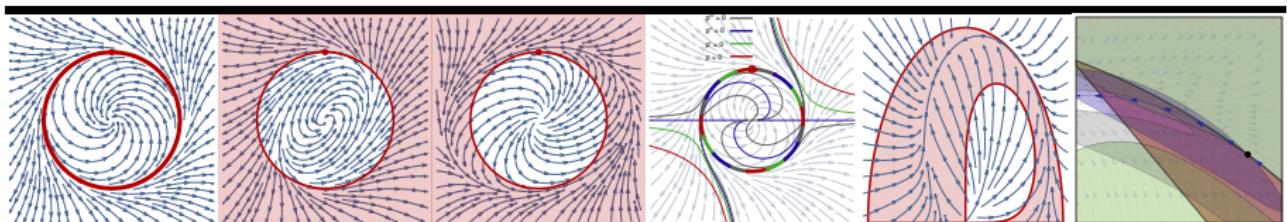
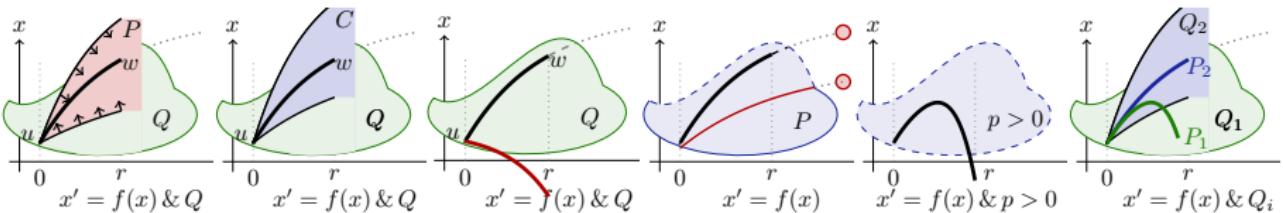


- ① Poincaré: qualitative ODE
- ② Complete axiomatization
- ③ Algebraic ODE invariants
- ④ Semialgebraic ODE invariants
- ⑤ Algebraic hybrid systems
- ⑥ Local ODE progress
- ⑦ Decide by dL proof/disproof
- ⑧ Uniform substitution axioms

Properties

- | | |
|--------------------------|---------------------------|
| ① MVT | ① Differential invariants |
| ② Prefix | ② Differential cuts |
| ③ Picard-Lind | ③ Differential ghosts |
| ④ \mathbb{R} -complete | ④ Real induction |
| ⑤ Existence | ⑤ Continuous existence |
| ⑥ Uniqueness | ⑥ Unique solutions |

Impressive power of differential ghosts



I Part: Elementary Cyber-Physical Systems

1. Differential Equations & Domains
2. Choice & Control
3. Safety & Contracts
4. Dynamical Systems & Dynamic Axioms
5. Truth & Proof
6. Control Loops & Invariants
7. Events & Responses
8. Reactions & Delays

II Part: Differential Equations Analysis

9. Differential Equations & Differential Invariants
10. Differential Equations & Proofs
11. Ghosts & Differential Ghosts
12. Differential Invariants & Proof Theory

III Part: Adversarial Cyber-Physical Systems

- 13-16. Hybrid Systems & Hybrid Games

IV Part: Comprehensive CPS Correctness



André Platzer

Logical Foundations of Cyber-Physical Systems



André Platzer and Yong Kiam Tan.

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