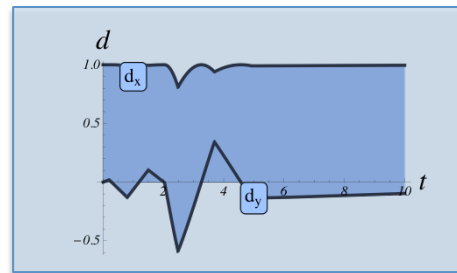
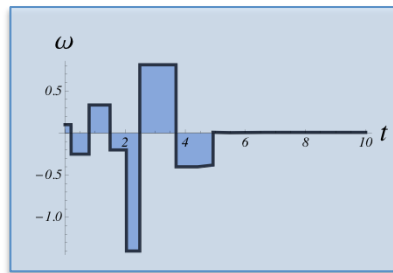


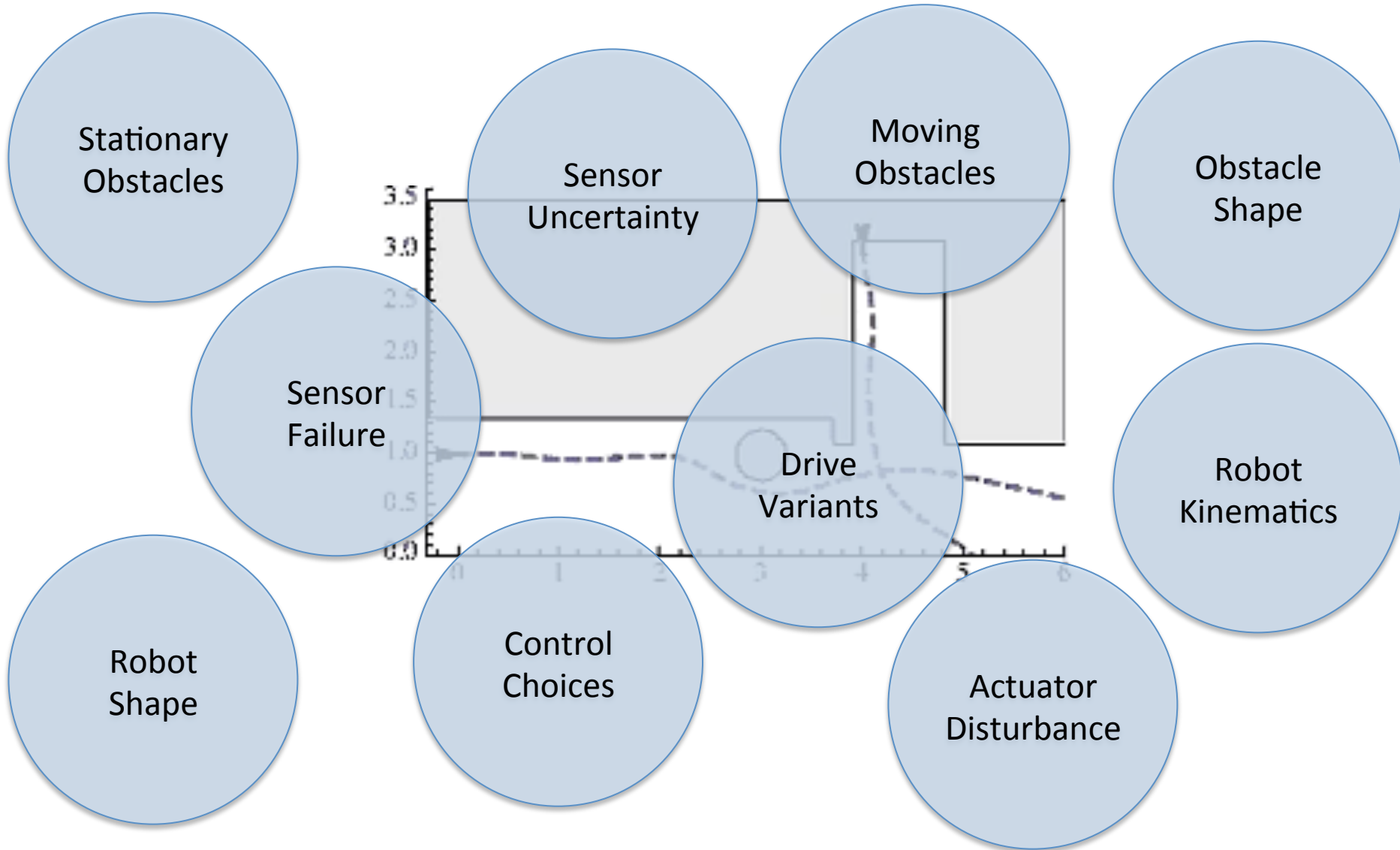
Safe Obstacle Avoidance of Autonomous Robotic Ground Vehicles



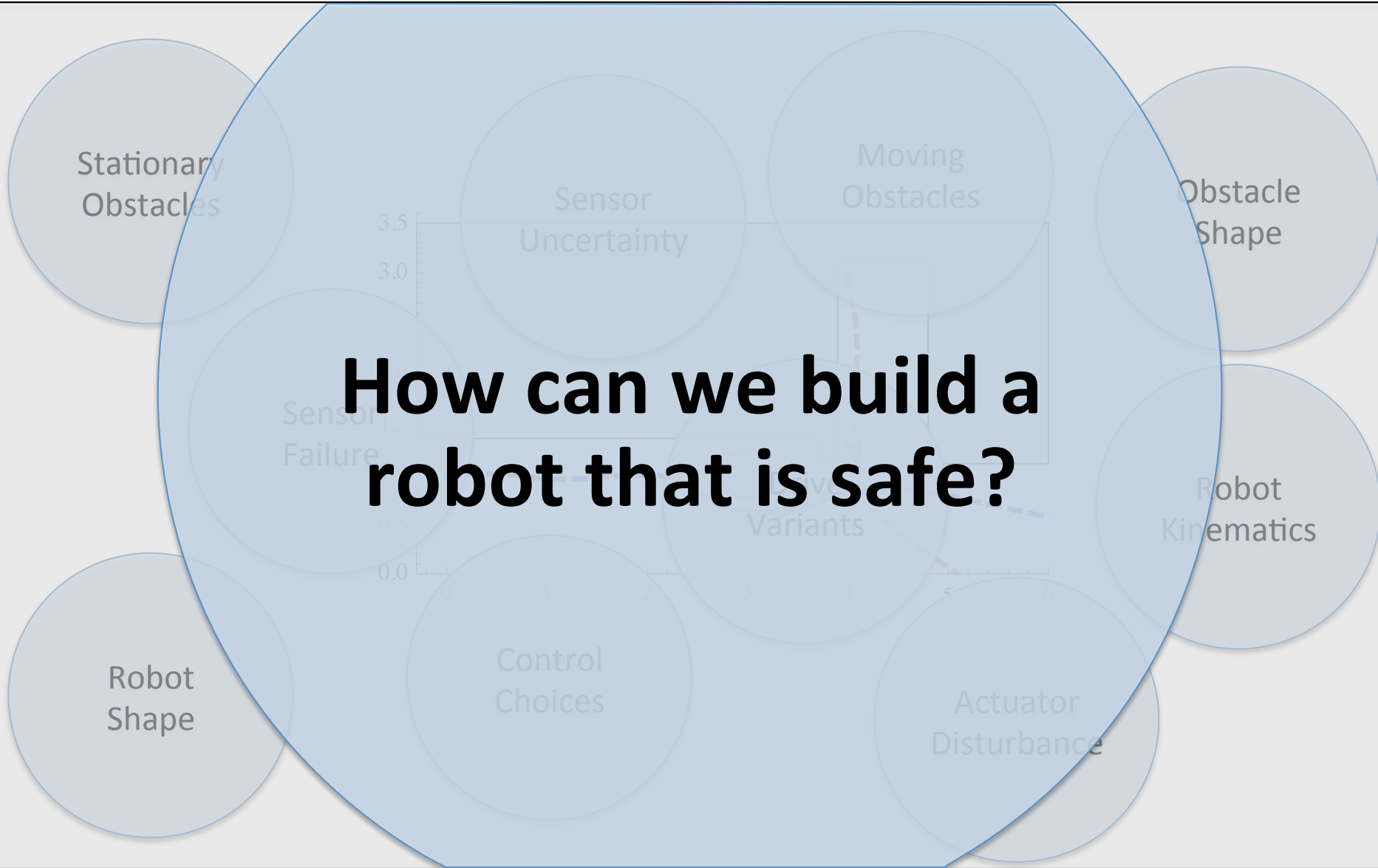
Stefan Mitsch, Khalil Ghorbal and André Platzer

Computer Science Department
Carnegie Mellon University

::: Obstacle Avoidance



::: Obstacle Avoidance



How can we build a robot that is safe?

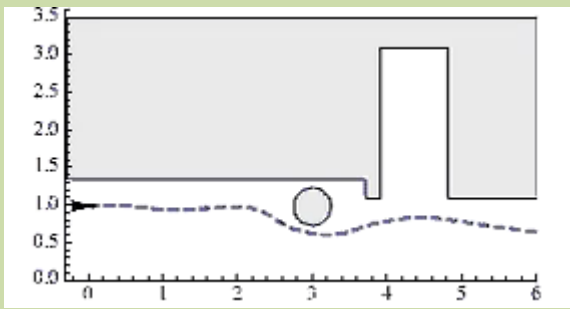
::: Obstacle Avoidance



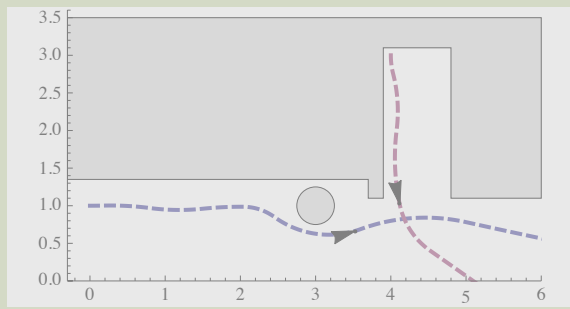
What is safe?

::: Safety Definitions

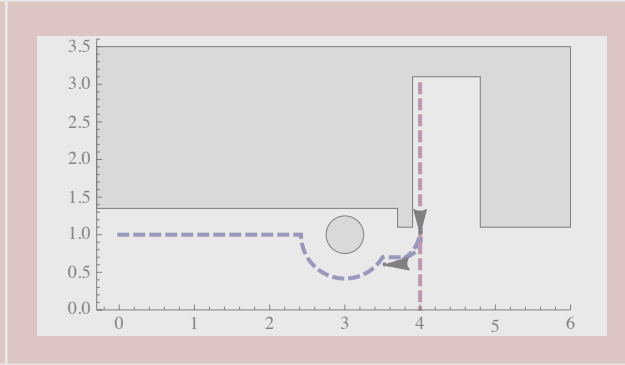
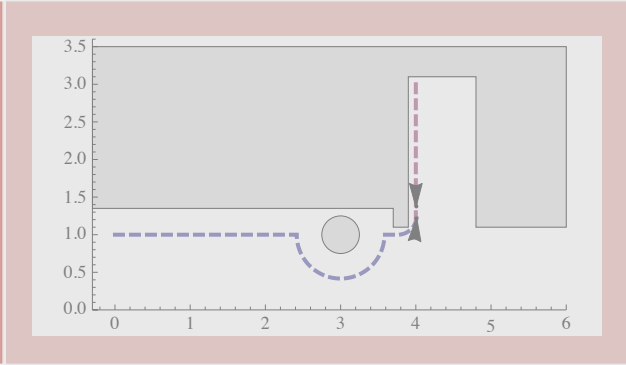
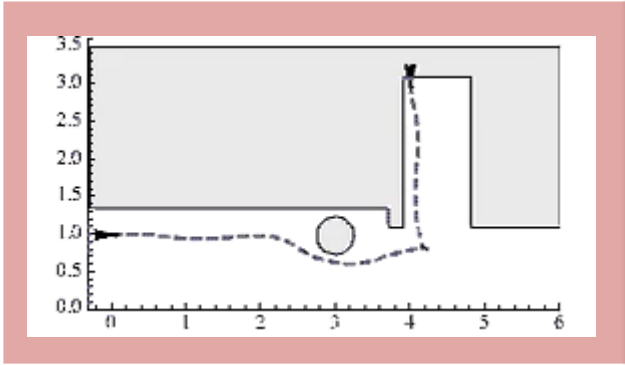
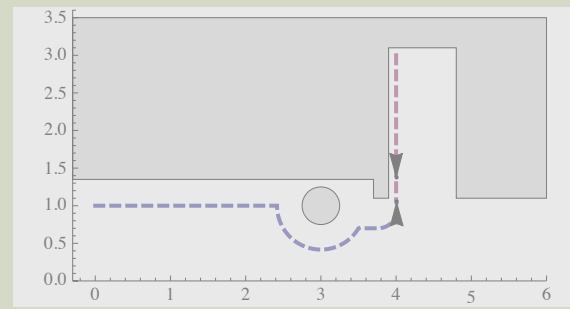
Static safety



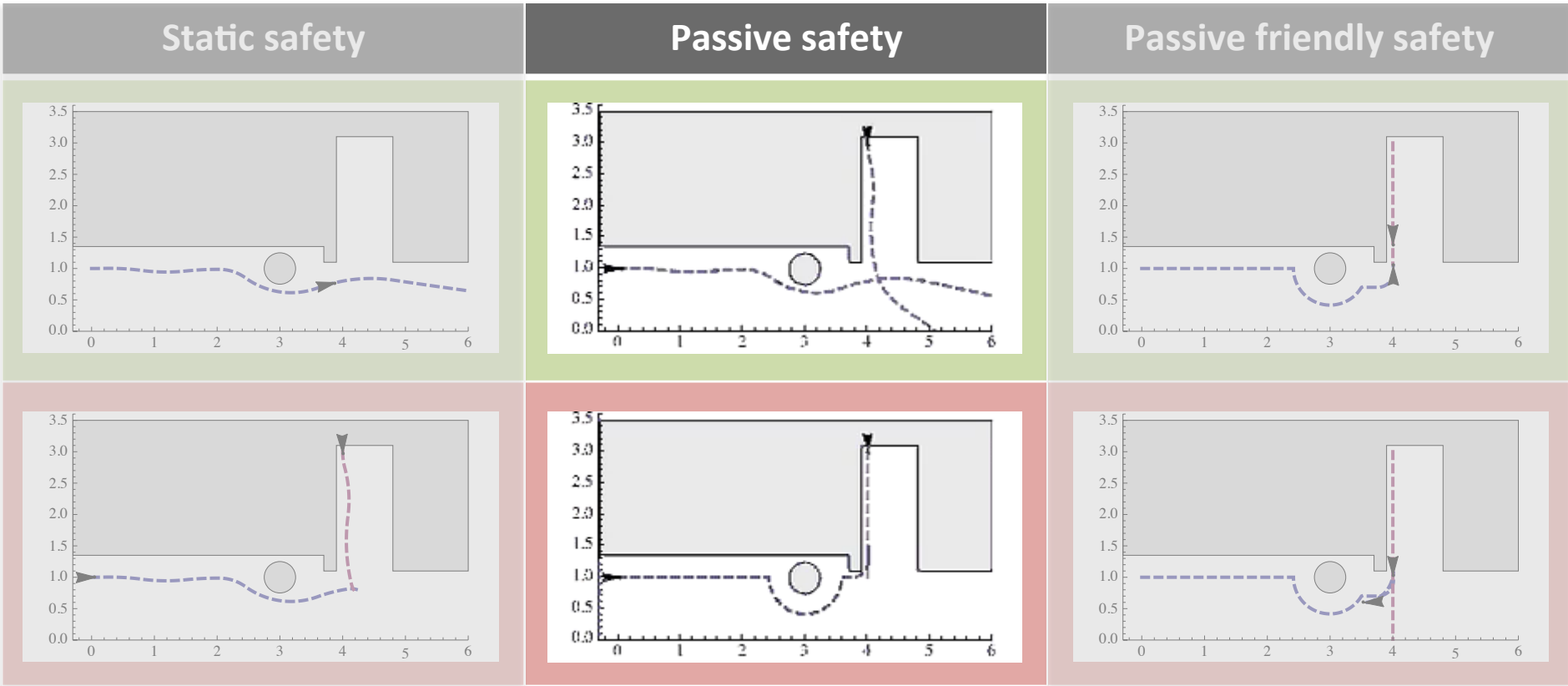
Passive safety



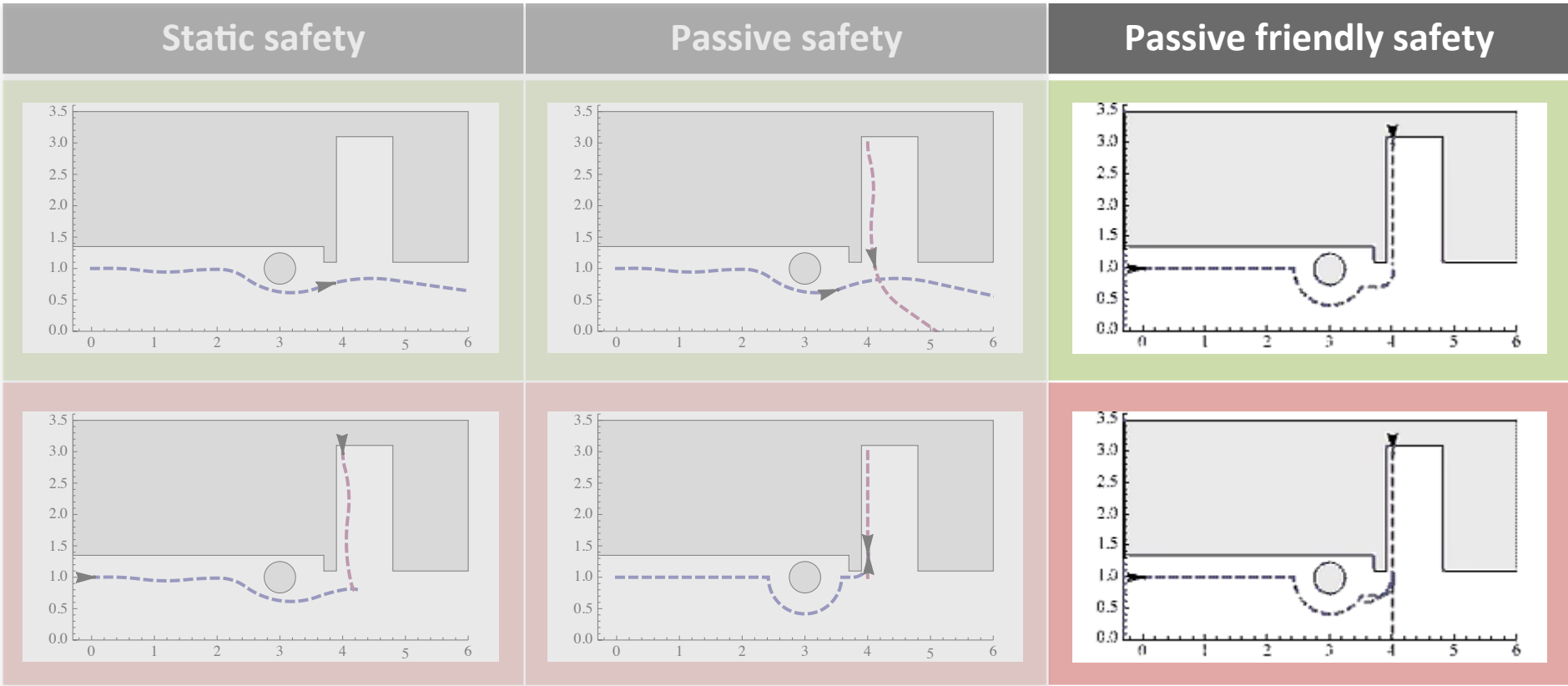
Passive friendly safety



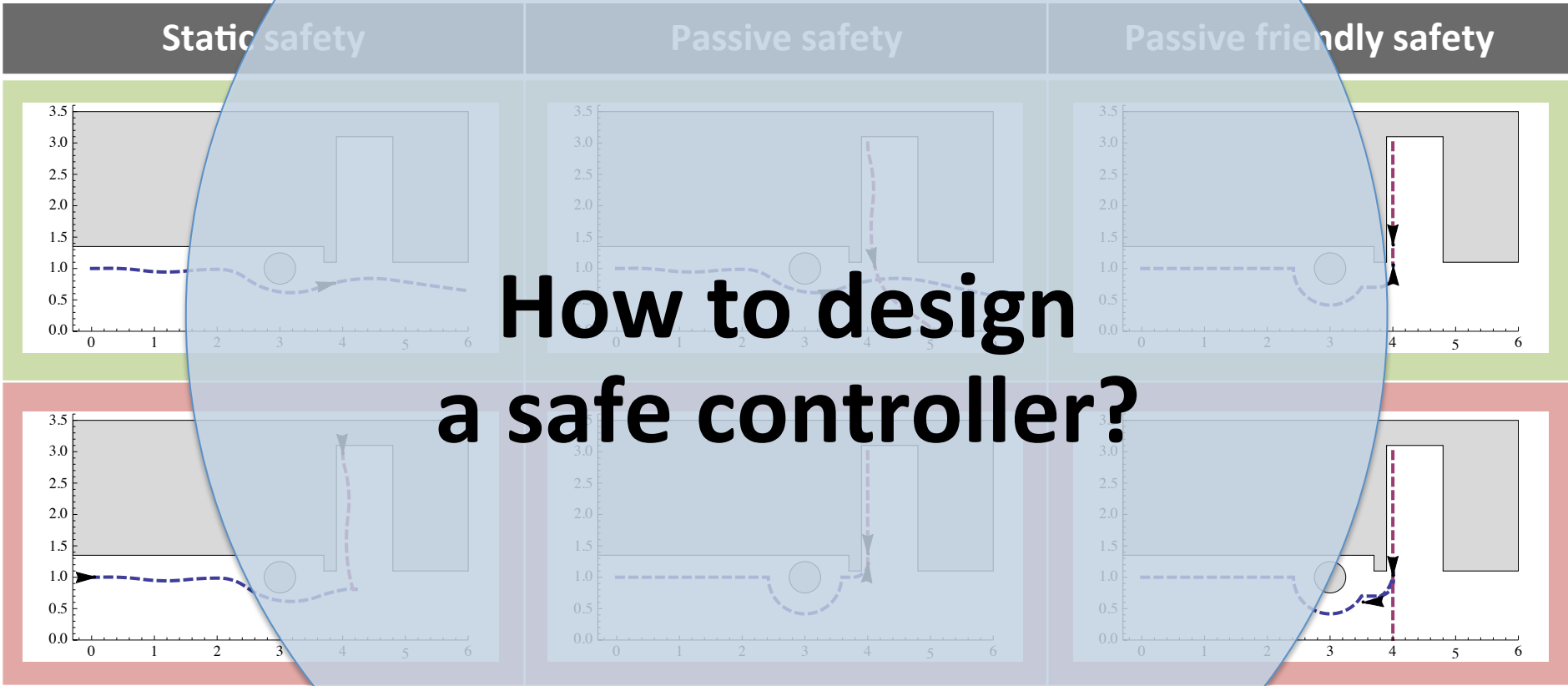
::: Safety Definitions



::: Safety Definitions



::: Safety Definitions



::: Constraints of a Safe Controller

Name	Invariant	Switching
Static safety	$\ p_r - p_o\ _\infty > \frac{v_r^2}{2b}$	$\left(\frac{A}{b} + 1\right) \left(\frac{A}{2}\varepsilon^2 + \varepsilon v_r\right)$
Passive safety	$v_r = 0 \vee \ p_r - p_o\ _\infty > \frac{v_r^2}{2b} + V\frac{v_r}{b}$	$\left(\frac{A}{b} + 1\right) \left(\frac{A}{2}\varepsilon^2 + \varepsilon(v_r + V)\right)$
Sensor uncertainty	$\ \tilde{p}_r - p_o\ _\infty > \frac{v_r^2}{2b} + V\frac{v_r}{b}$	$\left(\frac{A}{b} + 1\right) \left(\frac{A}{2}\varepsilon^2 + \varepsilon(v_r + V)\right) + U_p$
Actuator disturbance	$\ p_r - p_o\ _\infty > \frac{v_r^2}{2bU_m} + V\frac{v_r}{bU_m}$	$\left(\frac{A}{bU_m} + 1\right) \left(\frac{A}{2}\varepsilon^2 + \varepsilon(v_r + V)\right)$
Sensor failure	$\ \tilde{p}_r - p_o\ _\infty > \frac{v_r^2}{2b} + V\frac{v_r}{b}$	$\left(\frac{A}{b} + 1\right) \left(\frac{A}{2}\varepsilon^2 + \varepsilon(v_r + V)\right) + U_p + g\Delta$
Passive friendly safety	$v_r = 0 \vee \ p_r - p_o\ _\infty > \frac{v_r^2}{2b} + \frac{V^2}{2b_o} + V\left(\frac{v_r}{b} + \tau\right)$	$\left(\frac{A}{b} + 1\right) \left(\frac{A}{2}\varepsilon^2 + \varepsilon(v_r + V)\right)$

::: Constraints of a Safe Controller

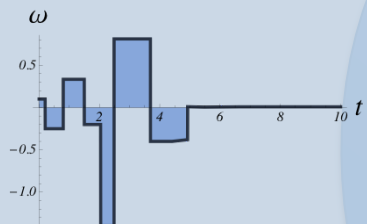
Name	Invariant	Switching
Static safety	$\ p_r - p_o\ _\infty > \frac{v_r^2}{2b} + \left(\frac{A}{b} + 1\right) \left(\frac{A}{2}\varepsilon^2 + \varepsilon v_r\right)$	
Passive safety	$v_r = 0 \vee \ p_r - p_o\ _\infty > \frac{v_r^2}{2b} + V\frac{v_r}{b} + \left(\frac{A}{b} + 1\right) \left(\frac{A}{2}\varepsilon^2 + \varepsilon(v_r + V)\right)$	
Sensor uncertainty	$\ \tilde{p}_r - p_o\ _\infty > \frac{v_r^2}{2b} + V\frac{v_r}{b} + \left(\frac{A}{b} + 1\right) \left(\frac{A}{2}\varepsilon^2 + \varepsilon(v_r + V)\right) + U_p$	
Actuator disturbance	$\ p_r - p_o\ _\infty > \frac{v_r^2}{2bU_m} + V\frac{v_r}{bU_m} + \left(\frac{A}{bU_m} + 1\right) \left(\frac{A}{2}\varepsilon^2 + \varepsilon(v_r + V)\right)$	
Sensor failure	$\ \tilde{p}_r - p_o\ _\infty > \frac{v_r^2}{2b} + V\frac{v_r}{b} + \left(\frac{A}{b} + 1\right) \left(\frac{A}{2}\varepsilon^2 + \varepsilon(v_r + V)\right) + U_p + g\Delta$	
Passive friendly safety	$v_r = 0 \vee \ p_r - p_o\ _\infty > \frac{v_r^2}{2b} + \frac{V^2}{2b_o} + V\left(\frac{v_r}{b} + \tau\right) + \left(\frac{A}{b} + 1\right) \left(\frac{A}{2}\varepsilon^2 + \varepsilon(v_r + V)\right)$	

How to find & justify those?

::: Formal Verification to the Rescue

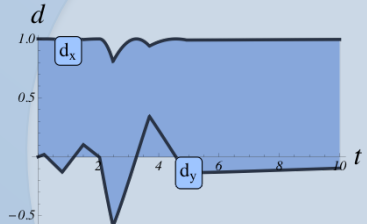
Control

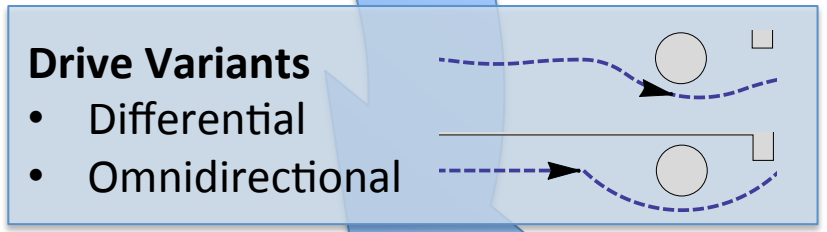
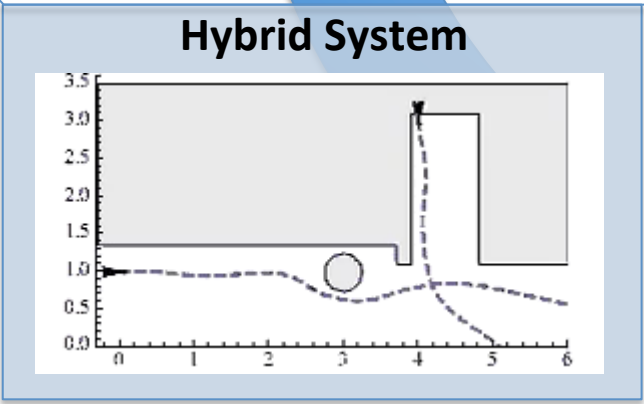
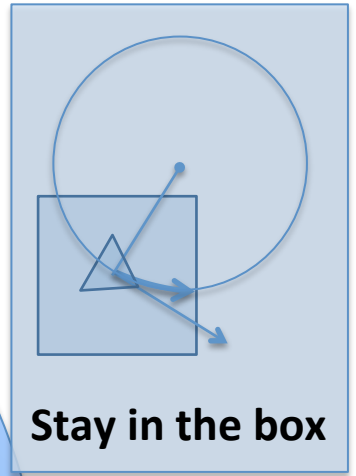
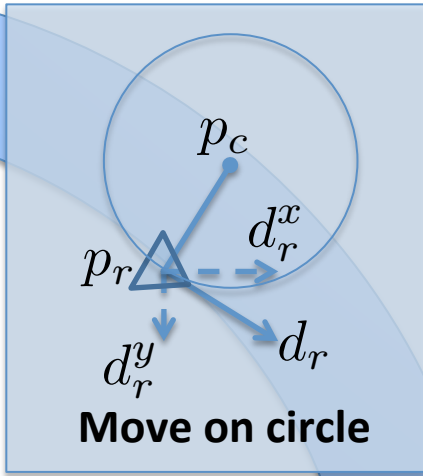
- Steer
- Accelerate...



Physics

- Newtonian rigid body dynamics





dL Model

```

dynamics = (ctrl, || (sensors, ctrl); dym)*
ctrl = v_c - (v_r); ?[|u_c| ≤ V
sensors = ((k_c = 0, g_c = 0) ∪ (k_c = -k_c, g_c = -g_c));
||k_c - (v_r - v_c)|| ≤ L ||v_r - v_c|| + k_c
ctrl = (v_c, -k_c)
∪ (v_c = 0, a_c = 0, ω_c = 0)
∪ (|v_c| ≤ v_max, |a_c| ≤ a_max, |ω_c| ≤ ω_max)
feasible = { (p_c, p_r) | ||p_c - p_r|| ≥ r_c + r_b + (1/γ) * ((1/γ)^2 + c(v_c, V)) + L * v_c + gΔ
dym = (t = 0, p_c^t = v_c * dt, p_r^t = v_r * dt, dt^t = -ω_c * dt, dt^t = ω_c * dt,
p_c^t = v_c^t, p_r^t = v_r^t, v_c^t = a_c, ω_c^t = ω_c, t^t = t + dt, t^t = 1, dt^t = Δ
k v_c ≥ 0 ∧ t ≤ 0)
    
```

::: Model and Proof

Theorem: $\psi_{ps} \rightarrow [dw_{ps}] \left((v_r = 0) \vee \left(\|p_r - p_o\| > \frac{v_r^2}{2b} + V \frac{v_r}{b} \right) \right)$

Initial Conditions \rightarrow [Hybrid System] (Requirements)

$$dw_{ps} \equiv (ctrl_o \parallel (sense_r; ctrl_r); dyn)^*$$

$$ctrl_o \equiv v_o = (*, *); ?\|v_o\| \leq V$$

$$sense_r \equiv ((\delta_r := 0; g_r := 0) \cup (\delta_r := \delta_r; g_r := g_r));$$

$$(\tilde{p}_r := (*, *); ?(\|\tilde{p}_r - p_r\| \leq U_p + \delta_r))$$

$$ctrl_r \equiv (a_r := -b)$$

$$\cup (?v_r = 0; a_r := 0; \omega_r := 0)$$

$$\cup (a_r := *; ?-b \leq a_r \leq A; \omega_r := *; ?-\Omega \leq \omega_r \leq \Omega;$$

$$p_c := (*, *); d_r := (*, *); p_o := (*, *); ?feasible \wedge safe)$$

$$feasible \equiv \|\tilde{p}_r - p_c\| > 0 \wedge \omega_r \|\tilde{p}_r - p_c\| = v_r \wedge d_r = \frac{(\tilde{p}_r - p_c)^\perp}{\|\tilde{p}_r - p_c\|}$$

$$safe \equiv \|\tilde{p}_r - p_o\| > \frac{v_r^2}{2b} + V \frac{v_r}{b} + \left(\frac{A}{b} + 1 \right) \left(\frac{A}{2} \varepsilon^2 + \varepsilon(v_r + V) \right) + U_p + g\Delta$$

$$dyn \equiv (t := 0; p_r^{x'} = v_r d_r^x, p_r^{y'} = v_r d_r^y, d_r^{x'} = -\omega_r d_r^y, d_r^{y'} = \omega_r d_r^x,$$

$$p_o^{x'} = v_o^x, p_o^{y'} = v_o^y, v_r' = a_r, \omega_r' = \frac{a_r}{\|p_r - p_c\|}, t' = 1, g_r' = 1, \delta_r' = \Delta$$

$$\& v_r \geq 0 \wedge t \leq \varepsilon)$$

Passive Safety

::: Model and Proof

Theorem: $\psi_{ps} \rightarrow [dw_{ps}] \left((v_r = 0) \vee \left(\|p_r - p_o\| > \frac{v_r^2}{2b} + V \frac{v_r}{b} \right) \right)$

Initial Conditions \rightarrow [Hybrid System] (Requirements)

$dw_{ps} \equiv (ctrl_o \parallel (sense_r; ctrl_r); dyn)^*$

$ctrl_o \equiv v_o = (*, *); ?\|v_o\| \leq V$

$sense_r \equiv ((\delta_r := 0; g_r := 0) \cup (\delta_r := \delta_r; g_r := g_r));$

$(\tilde{p}_r := (*, *); ?(\|\tilde{p}_r - p_r\| \leq U_p + \delta_r))$

$ctrl_r \equiv (a_r := -b)$

$\cup (?v_r = 0; a_r := 0; \omega_r := 0)$

$\cup (a_r := *; ?-b \leq a_r \leq A; \omega_r := *; ?-\Omega \leq \omega_r \leq \Omega;$

$p_c := (*, *); d_r := (*, *); p_o := (*, *); ?feasible \wedge safe)$

$feasible \equiv \|\tilde{p}_r - p_c\| > 0 \wedge \omega_r \|\tilde{p}_r - p_c\| = v_r \wedge d_r = \frac{(\tilde{p}_r - p_c)^\perp}{\|\tilde{p}_r - p_c\|}$

$safe \equiv \|\tilde{p}_r - p_o\| > \frac{v_r^2}{2b} + V \frac{v_r}{b} + \left(\frac{A}{b} + 1 \right) \left(\frac{A}{2} \varepsilon^2 + \varepsilon(v_r + V) \right) + U_p + g\Delta$

$dyn \equiv (t := 0; p_r^{x'} = v_r d_r^x, p_r^{y'} = v_r d_r^y, d_r^{x'} = -\omega_r d_r^y, d_r^{y'} = \omega_r d_r^x,$

$p_o^{x'} = v_o^x, p_o^{y'} = v_o^y, v_r' = a_r, \omega_r' = \frac{a_r}{\|p_r - p_c\|}, t' = 1, g_r' = 1, \delta_r' = \Delta$

$\& v_r \geq 0 \wedge t \leq \varepsilon)$

Obstacle Behavior

::: Model and Proof

Theorem: $\psi_{ps} \rightarrow [dw_{ps}] \left((v_r = 0) \vee \left(\|p_r - p_o\| > \frac{v_r^2}{2b} + V \frac{v_r}{b} \right) \right)$

Initial Conditions \rightarrow [Hybrid System] (Requirements)

$$dw_{ps} \equiv (ctrl_o \parallel (sense_r; ctrl_r); dyn)^*$$

$$ctrl_o \equiv v_o = (*, *); ?\|v_o\| \leq V$$

$$sense_r \equiv ((\delta_r := 0; g_r := 0) \cup (\delta_r := \delta_r; g_r := g_r));$$

$$(\tilde{p}_r := (*, *); ?(\|\tilde{p}_r - p_r\| \leq U_p + \delta_r))$$

$$ctrl_r \equiv (a_r := -b)$$

$$\cup (?v_r = 0; a_r := 0; \omega_r := 0)$$

$$\cup (a_r := *; ?-b \leq a_r \leq A; \omega_r := *; ?-\Omega \leq \omega_r \leq \Omega;$$

$$p_c := (*, *); d_r := (*, *); p_o := (*, *); ?feasible \wedge safe)$$

$$feasible \equiv \|\tilde{p}_r - p_c\| > 0 \wedge \omega_r \|\tilde{p}_r - p_c\| = v_r \wedge d_r = \frac{(\tilde{p}_r - p_c)^\perp}{\|\tilde{p}_r - p_c\|}$$

$$safe \equiv \|\tilde{p}_r - p_o\| > \frac{v_r^2}{2b} + V \frac{v_r}{b} + \left(\frac{A}{b} + 1 \right) \left(\frac{A}{2} \varepsilon^2 + \varepsilon(v_r + V) \right) + U_p + g\Delta$$

$$dyn \equiv (t := 0; p_r^{x'} = v_r d_r^x, p_r^{y'} = v_r d_r^y, d_r^{x'} = -\omega_r d_r^y, d_r^{y'} = \omega_r d_r^x,$$

$$p_o^{x'} = v_o^x, p_o^{y'} = v_o^y, v_r' = a_r, \omega_r' = \frac{a_r}{\|p_r - p_c\|}, t' = 1, g_r' = 1, \delta_r' = \Delta$$

$$\& v_r \geq 0 \wedge t \leq \varepsilon)$$

Robot Sensing

::: Model and Proof

Theorem: $\psi_{ps} \rightarrow [dw_{ps}] \left((v_r = 0) \vee \left(\|p_r - p_o\| > \frac{v_r^2}{2b} + V \frac{v_r}{b} \right) \right)$

Initial Conditions \rightarrow [Hybrid System] (Requirements)

$$dw_{ps} \equiv (ctrl_o \parallel (sense_r; ctrl_r); dyn)^*$$

$$ctrl_o \equiv v_o = (*, *); ?\|v_o\| \leq V$$

$$sense_r \equiv ((\delta_r := 0; g_r := 0) \cup (\delta_r := \delta_r; g_r := g_r));$$

$$(\tilde{p}_r := (*, *); ?(\|\tilde{p}_r - p_r\| \leq U_p + \delta_r))$$

$$ctrl_r \equiv (a_r := -b)$$

$$\cup (?v_r = 0; a_r := 0; \omega_r := 0)$$

$$\cup (a_r := *; ?-b \leq a_r \leq A; \omega_r := *; ?-\Omega \leq \omega_r \leq \Omega;$$

$$p_c := (*, *); d_r := (*, *); p_o := (*, *); ?feasible \wedge safe)$$

$$feasible \equiv \|\tilde{p}_r - p_c\| > 0 \wedge \omega_r \|\tilde{p}_r - p_c\| = v_r \wedge d_r = \frac{(\tilde{p}_r - p_c)^\perp}{\|\tilde{p}_r - p_c\|}$$

$$safe \equiv \|\tilde{p}_r - p_o\| > \frac{v_r^2}{2b} + V \frac{v_r}{b} + \left(\frac{A}{b} + 1 \right) \left(\frac{A}{2} \varepsilon^2 + \varepsilon(v_r + V) \right) + U_p + g\Delta$$

$$dyn \equiv (t := 0; p_r^{x'} = v_r d_r^x, p_r^{y'} = v_r d_r^y, d_r^{x'} = -\omega_r d_r^y, d_r^{y'} = \omega_r d_r^x,$$

$$p_o^{x'} = v_o^x, p_o^{y'} = v_o^y, v_r' = a_r, \omega_r' = \frac{a_r}{\|p_r - p_c\|}, t' = 1, g_r' = 1, \delta_r' = \Delta$$

$$\& v_r \geq 0 \wedge t \leq \varepsilon)$$

Robot Control

::: Model and Proof

Theorem: $\psi_{ps} \rightarrow [dw_{ps}] \left((v_r = 0) \vee \left(\|p_r - p_o\| > \frac{v_r^2}{2b} + V \frac{v_r}{b} \right) \right)$

Initial Conditions \rightarrow [Hybrid System] (Requirements)

$$dw_{ps} \equiv (ctrl_o \parallel (sense_r; ctrl_r); dyn)^*$$

$$ctrl_o \equiv v_o = (*, *); ?\|v_o\| \leq V$$

$$sense_r \equiv ((\delta_r := 0; g_r := 0) \cup (\delta_r := \delta_r; g_r := g_r));$$

$$(\tilde{p}_r := (*, *); ?(\|\tilde{p}_r - p_r\| \leq U_p + \delta_r))$$

$$ctrl_r \equiv (a_r := -b)$$

$$\cup (?v_r = 0; a_r := 0; \omega_r := 0)$$

$$\cup (a_r := *; ?-b \leq a_r \leq A; \omega_r := *; ?-\Omega \leq \omega_r \leq \Omega;$$

$$p_c := (*, *); d_r := (*, *); p_o := (*, *); ?feasible \wedge safe)$$

$$feasible \equiv \|\tilde{p}_r - p_c\| > 0 \wedge \omega_r \|\tilde{p}_r - p_c\| = v_r \wedge d_r = \frac{(\tilde{p}_r - p_c)^\perp}{\|\tilde{p}_r - p_c\|}$$

$$safe \equiv \|\tilde{p}_r - p_o\| > \frac{v_r^2}{2b} + V \frac{v_r}{b} + \left(\frac{A}{b} + 1 \right) \left(\frac{A}{2} \varepsilon^2 + \varepsilon(v_r + V) \right) + U_p + g\Delta$$

$$dyn \equiv (t := 0; p_r^{x'} = v_r d_r^x, p_r^{y'} = v_r d_r^y, d_r^{x'} = -\omega_r d_r^y, d_r^{y'} = \omega_r d_r^x,$$

$$p_o^{x'} = v_o^x, p_o^{y'} = v_o^y, v_r' = a_r, \omega_r' = \frac{a_r}{\|p_r - p_c\|}, t' = 1, g_r' = 1, \delta_r' = \Delta$$

$$\& v_r \geq 0 \wedge t \leq \varepsilon)$$

Safe Curve

::: Model and Proof

Theorem: $\psi_{ps} \rightarrow [dw_{ps}] \left((v_r = 0) \vee \left(\|p_r - p_o\| > \frac{v_r^2}{2b} + V \frac{v_r}{b} \right) \right)$

Initial Conditions \rightarrow [Hybrid System] (Requirements)

$$dw_{ps} \equiv (ctrl_o \parallel (sense_r; ctrl_r); dyn)^*$$

$$ctrl_o \equiv v_o = (*, *); ?\|v_o\| \leq V$$

$$sense_r \equiv ((\delta_r := 0; g_r := 0) \cup (\delta_r := \delta_r; g_r := g_r));$$

$$(\tilde{p}_r := (*, *); ?(\|\tilde{p}_r - p_r\| \leq U_p + \delta_r))$$

$$ctrl_r \equiv (a_r := -b)$$

$$\cup (?v_r = 0; a_r := 0; \omega_r := 0)$$

$$\cup (a_r := *; ?-b \leq a_r \leq A; \omega_r := *; ?-\Omega \leq \omega_r \leq \Omega;$$

$$p_c := (*, *); d_r := (*, *); p_o := (*, *); ?feasible \wedge safe)$$

$$feasible \equiv \|\tilde{p}_r - p_c\| > 0 \wedge \omega_r \|\tilde{p}_r - p_c\| = v_r \wedge d_r = \frac{(\tilde{p}_r - p_c)^\perp}{\|\tilde{p}_r - p_c\|}$$

$$safe \equiv \|\tilde{p}_r - p_o\| > \frac{v_r^2}{2b} + V \frac{v_r}{b} + \left(\frac{A}{b} + 1 \right) \left(\frac{A}{2} \varepsilon^2 + \varepsilon(v_r + V) \right) + U_p + g\Delta$$

Continuous Dynamics

$$dyn \equiv (t := 0; p_r^{x'} = v_r d_r^x, p_r^{y'} = v_r d_r^y, d_r^{x'} = -\omega_r d_r^y, d_r^{y'} = \omega_r d_r^x,$$

$$p_o^{x'} = v_o^x, p_o^{y'} = v_o^y, v_r' = a_r, \omega_r' = \frac{a_r}{\|p_r - p_c\|}, t' = 1, g_r' = 1, \delta_r' = \Delta$$

$$\& v_r \geq 0 \wedge t \leq \varepsilon)$$

::: Model and Proof

Theorem: $\psi_{ps} \rightarrow [dw_{ps}] \left((v_r = 0) \vee \left(\|p_r - p_o\| > \frac{v_r^2}{2b} + V \frac{v_r}{b} \right) \right)$

Initial Conditions \rightarrow [Hybrid System] (Requirements)

$dw_{ps} \equiv (ctrl_o \parallel (sense_r; ctrl_r); dyn)^*$

$ctrl_o \equiv v_o = (*, *); ?\|v_o\| \leq V$

$sense_r \equiv ((\delta_r := 0; g_r := 0) \cup (\delta_r := \delta_r; g_r := g_r));$

$ctrl_r \equiv (a_r := -$

✓ Verified in KeYmaera

$\cup (?v_r = 0; a_r := 0; \omega_r := 0)$

$\cup (a_r := *; ?-b \leq a_r \leq A; ?-\Omega \leq \omega_r \leq \Omega;$

$p_c := (*, *); d_r := (*, *); p_o := (*, *); ?feasible \wedge safe)$

Proof

Switching constraints

Design implications & trade-offs

$feasible \equiv \|\tilde{p}_r - p_c\| > 0 \wedge \omega_r \|\tilde{p}_r - p_c\| = v_r \wedge d_r = \frac{p_r - p_c}{\|p_r - p_c\|}$

$safe \equiv \|\tilde{p}_r - p_o\| > \frac{v_r^2}{2b} + V \frac{v_r}{b} + \left(\frac{A}{b} + 1\right) \left(\frac{A}{2} \varepsilon^2 + \varepsilon(v_r + V)\right) + U_p + g\Delta$

$dyn \equiv (t := 0; p_r^{x'} = v_r d_r^x, p_r^{y'} = v_r d_r^y, d_r^{x'} = -\omega_r d_r^y, d_r^{y'} = \omega_r d_r^x,$

$p_o^{x'} = v_o^x, p_o^{y'} = v_o^y, v_r' = a_r, \omega_r' = \frac{a_r}{\|p_r - p_c\|}, t' = 1, g_r' = 1, \delta_r' = \Delta$

$\& v_r \geq 0 \wedge t \leq \varepsilon)$

